



2024 SIFMA C&L Society DE&I Advocate Award Application

What is this award?

First awarded in 2022, the SIFMA C&L Society Diversity, Equity & Inclusion (DE&I) Advocate Award celebrates legal and compliance professionals who have demonstrated an exceptional commitment to building creative solutions that address DE&I inequities in the financial services industry and ensures the next generation of leaders reflects our diverse society.

The award is presented annually by the [SIFMA Compliance & Legal Society](#) at its [Annual Seminar](#). The next Annual Seminar will be held in Orlando, Florida on March 17- 20, 2024, and is focused on individuals who are disrupting the status quo in the development, implementation and support of DE&I initiatives.

Nominees should have demonstrated measurable success in supporting and encouraging the inclusion of women, minorities, persons with disabilities and/or persons of differing sexual orientation and gender identities.

Who is eligible?

Any legal or compliance professional working for a financial services firm, outside counsel, or vendor that supports the industry may be nominated. The nominee must be able to demonstrate tangible and sustainable results. SIFMA C&L Executive Committee members are not eligible for nomination.

Nominees will be assessed in the following categories:

- Innovation of DE&I ideas
- Thought leadership in the legal and compliance sector of the financial services Industry
- Leadership and promotion of diverse teams
- Leadership through creating an environment that eliminates barriers to communication and encourages everyone's contribution
- Hiring, retention and promotion of diverse candidates



Nomination

Who? An individual may be nominated by another in the industry or submit a self-nomination.

How? By completing the application form in this document and submitting it to clsociety@sifma.org by **Tuesday, October 24, 2023.**

The submitted nomination should include:

1. Name and contact information of nominee
2. Name of person submitting the nominee (if applicable)
3. Name of employer
4. Nominee's resume or LinkedIn profile (if resume is not available)
5. Statement of nominee's approach to solving DE&I-related issues (philosophy)
6. Detailed and expansive description of instance(s) of innovative, unique or creative approach to tackling a DE&I initiative
 - a. Scope of the project
 - b. Participants or systems involved
 - c. Results (quantitative and qualitative). **This information is critical in the Committee's assessment of submitted applications.**
 - d. Impact statement or press related to the initiative to demonstrate tangible results
7. A reference statement in support of the nominee from an individual other than the person making the nomination

Note: SIFMA membership and participation in SIFMA C&L conferences is not a requirement, but will be a factor taken into consideration in the review process.

Selection

The SIFMA C&L Annual Seminar DE&I sub-committee will review all intake forms and select 3-5 finalists.

The recipient will be notified before November 21, 2023 so that they will be able to arrange travel to appear at the SIFMA C&L Annual Seminar, Marcy 17-20, in Orlando. The recipient will be asked to maintain confidentiality.

Award

The award will be presented at the SIFMA C&L Annual Seminar, to be held in Orlando, Florida on March 17-20, 2024.



APPLICATION

Application due date: **Tuesday, October 24, 2023**. Please send to clsociety@sifma.org

1	Name of nominee	
2	Contact information for nominee	
3	Name of person submitting the application (if not nominee)	
5	Nominee's employer	
6	Nominee's resume or LinkedIn profile (if resume not available)	
7	Statement of nominee's approach to solving DE&I-related issues (philosophy)	
8	Description of instance(s) of innovative, unique or creative approach to tackling a DE&I initiative	a) Scope of the project
		b) Participants or systems involved
		c) Results (quantitative and qualitative, if possible)
		d) Impact statement or press related to the initiative to demonstrate tangible results
9	A reference statement in support of the nominee from an individual other than the person making the nomination	

All information provided in connection with this nomination will be made available to the SIFMA C&L Executive Committee Members, SIFMA staff responsible for administering the Diversity, Equity & Inclusion Advocate Award, and the panelists on the DEI panel at the Seminar.