



FOUNDATION

Thank You To Our 2022 Partners

The SIFMA Foundation for Investor Education, Inc is an independently funded charitable organization. We acknowledge the following generous industry firms, foundations, institutions and individuals:

Angel Supporters [\$100,000 and up]

Ameriprise Financial
Bank of America
BNY Mellon Corporation
Broadridge Financial Solutions, LLC
Charles Schwab Co, Inc.
Edward D. Jones & Co., L.P.,
Fidelity Brokerage Services LLC
Morgan Stanley
UBS Securities LLC
Wells Fargo

Premium Supporters [\$99,999 to \$25,000]

Ally Financial
Baird
Citigroup, Inc.
FINRA
Loop Capital
Market Axess
Mediant
Morgan Franklin Consulting
Nasdaq
Nomura Securities International
Osaic, Inc.
Raymond James & Associates
RBC Capital Markets, LLC
Skadden, Arps, Slate, Meagher & Flom LLP
Societe Generale Corporate & Investment Banking
Stifel, Nicolaus & Co., Inc.
The Cloud L. Cray Foundation
The Durst Organization
Virtu Financial
Wachtell, Lipton, Rosen & Katz

Preferred Supporters [\$24,999 to \$10,000]

AllianceBernstein L.P.
Allspring Global Investments
BMO Capital Markets Corp.,
Cowen and Company, LLC
Credit Agricole Securities (USA) Inc.
D.A. Davidson & Co.
Deloitte
Dodge & Cox
Elliott Investment Management L.P.
FHN Financial Securities Corp.
HSBC Securities (USA) Inc.
IMC-Chicago, LLC
Invesco
Lincoln Financial

MML Investors Services, LLC
Nuveen, LLC
PIMCO
T. Rowe Price Associates, Inc.
TD Securities (USA) LLC
Thomas & Mary James Foundation
TIAA-CREF Individual & Institutional Services, LLC
Voya Investment Management

Blue Chip Supporters [\$10,000 and Below]

ABN AMRO Clearing Chicago LLC
ABN AMRO Securities (USA) LLC
Academy Securities, Inc.
Access FinTech
AEL Financial Services LLC
Akin, Gump, Strauss, Hauer & Feld
Allen & Overy LLP
Alpaca Securities, LLC
Alston & Bird LLP
ALT 5 Sigma Inc.
James Anderson*
Aon Securities LLC
Arbor Research & Trading, LLC
Ares Management LLC
Asia Pacific Financial Management Group, Inc.
Assured Guaranty
Avantax Investment Services, Inc.
Aviva Investors Americas LLC
Axos Clearing LLC
Ballard Spahr LLP
BBVA Securities Inc.
Behavox
Berenberg Capital Markets LLC
Bessemer Investor Services, Inc.
Betterment Securities
Bloomberg L.P.
Bloomberg Tradebook LLC
Blue Ocean ATL
Sandra Bolton
Boston Consulting Group
Brean Capital, LLC
Brex Treasury LLC
BrokerTec Americas LLC
Michael Buckley
C.L. King & Associates, Inc.
CAIS Capital LLC
Cadwalader, Wickersham & Taft LLP
Capital One Financial Corp.
Carta Securities LLC

Carty & Company, Inc.
CastleOak Securities, LP
Centennial Securities Company, Inc.,
Chicago Clearing Corporation
Bryan Christian
CIBC World Markets Corp.
CISCO/Presidio
Citadel Securities
Cleary Gottlieb Steen & Hamilton LLP
Clear Street Markets LLC
Clifford Chance US LLP
George Close
Joe Crowley
Coastal Equities, Inc.
Commonwealth Australia Securities LLC
Vanessa Cooksey*
Cormark Securities (USA) Limited
Cravath, Swaine & Moore LLP
CRB Securities, LLC
Credit Suisse Asset Management, LLC
Cutter & Company, Inc.
Rich Daly*
Dash Financial Technologies LLC
Databricks, Inc.
Davenport & Company LLC
Davis Polk & Wardwell LLP
Davis Wright Tremaine LLP
Davy Securities Limited
DeepSee Inc.,
Dentons US LLP
Depository Trust & Clearing Corporation (DTCC)
Rupa Dharia*
Todd DiGanci*
Digital Assurance Certification LLC
DNB Markets, Inc.,
DoubleLine Capital LP
DriveWealth, LLC
Droit Financial Technologies LLC
Eddid Securities USA Inc.
EquiLend LLC
Equinix
Estrada Hinojosa & Company, Inc.,
ETC Brokerage Services, LLC
eToro USA Securities Inc.
Eurex / Deutsche Boerse
Euroclear Bank SA/NV
Eventus Systems, Inc.
Faegre Drinker Biddle & Reath
Fifth Third Securities, Inc.
First Kentucky Securities Corporation
Frebes, Johanna K.

Scott Freidenrich
 Futu Clearing Inc.
 Glad to be There Foundation
 Global Relay
 Tim Gokey
 Goodwin Procter LLP
 Ellen Greene
 GTS Securities LLC
 Virginia Guillian
 Holly Hassinger
 Hawkins Delafield & Wood LLP
 Hearsay
 Hennion & Walsh, Inc.,
 Herndon Plant Oakley, Ltd.
 HighTower Securities, LLC
 Hogan Lovells US LLP
 Hornor, Townsend & Kent, LLC
 HR Ratings de Mexico, S.A. de C.V.
 Hunter Associates LLC
 Lisa Kidd Hunt*
 IEX Group, Inc.
 Independent Investment Bankers, Corp.
 Insuperex LLC
 Intelligent Cross, LLC
 International Assets Advisory, LLC
 IntraFi Network LLC
 Institutional Securities
 J.W. Korth & Company
 Jane Street Capital, LLC
 Gina Jurecki
 John Hancock Investment Management
 Distributors LLC
 Jones Day
 J.T. Magan & Co., Inc.
 Kadavil Family Fund
 Katten Muchin Rosenman LLP
 Kestra Investment Services, LLC
 KeyBanc Capital Markets
 KPMG
 Kristen Kimmell*
 Kirkland & Ellis LLP
 Mike Kisber*
 Kroll Business Services
 Evan LaHuta*
 Latham & Watkins LLP
 Latour Trading LLC
 LCH
 Linklaters LLP
 Loffa Interactive Group
 Lowenstein Sandler LLP
 Luminex Trading & Analytics LLC
 M&A Securities Group, Inc.
 Macquarie Capital (USA) Inc.
 James Martin
 Matric Applications, LLC
 Matthews South, LLC
 Mayer Brown LLP
 McGuireWoods LLP
 Mediant
 Microsoft
 Milbank LLP

MM Ascend Life Investor Services, LLC
 MMC Securities LLC
 Moloney Securities Co., Inc.
 Moore & Van Allen, PLLC
 Morrison & Foerster LLP
 Melanie Mortimer*
 Susan Munn
 Munger, Tolles & Olson LLP
 nabSecurities, LLC
 Salil Nadgauda
 Kayo Naruse
 National Bank of Canada Financial Inc.,
 NatWest Markets Securities Inc.
 Newberger Berman LLC
 Nordea Securities LLC
 Northern Trust Securities, Inc.
 n-Tier Financial Services LLC
 Oak Ridge Financial Services Group, Inc.
 Odeon Capital Group LLC
 Open to the Public Investing, Inc.
 Options Clearing Corporation
 Optiver US, LLC
 OTC Link LLC
 Johnny Owens
 Amanda Parry
 Paul Hastings LLP
 Paul, Weiss, Rifkind, Wharton & Garrison
 Paxos Securities Settlement Company
 Penserra Securities LLC
 Damian Peter
 Performance Trust Capital Partners, LLC
 Andrea Pfenning
 PFS Investments
 Pillsbury Winthrop Shaw Pittman LLP
 Piper Sandler Companies
 PNC Capital Markets LLC
 Judson Potter
 Pricewaterhouse Coopers LLP
 Gail & Tom Price
 PriceMetrix
 Primerica
 Proskauer Rose LLP
 Prospect 33
 Public Strategies
 Puma Capital
 Purrington Moody Weil LLP
 Putnam Investment Management
 QuisLex
 R.W. Smith & Associates, LLC
 Rafferty Asset Management
 Realized Financial, Inc.
 Regional Arts Commission of St. Louis
 Regional Brokers, Inc.
 Regions Securities LLC
 Rhodes Securities
 Robinhood Financial, LLC
 Rockefeller Financial LLC
 Roosevelt & Cross, Incorporated
 S&P Dow Jones Indices
 S3
 Safra Securities LLC

Samuel A. Ramirez & Co., Inc.
 Sanford C. Bernstein & Co., LLC
 Saturna Brokerage Services, Inc.
 SEI Investments Distribution Co.,
 Seward & Kissel LLP
 Mark Shannon
 Siebert Williams Shank & Co., LLC
 Simplex Trading, LLC
 Sionic
 SIX Financial Information USA Inc.
 Skience
 Smarsh
 SmartStream Technologies, Inc.
 Smith Moore
 South Street Securities LLC
 SpiderRock EXS, LLC
 SS&C Market Services, LLC
 Stash Capital LLC
 State Farm VP Management Corp.
 Stone Turn Group, LLP
 Stroock & Stroock & Lavan LLP
 SVB Securities LLC
 Joe Sweeney*
 Swiss Re Capital Markets Corporation
 Symphony
 TAINA Technology
 tastyworks, Inc.
 Tata Consultancy Services
 The Baker Group, LP
 The Brattle Group
 The Frazier Lanier Company, Inc.
 The Huntington Investment Company
 The Investment Center, Inc.
 Theta Lake, Inc.
 Larry Thomas*
 Thrivent Investment Management Inc.
 Thurston Springer Financial
 Jon & Lizzie Tisch
 Torstone Technology
 Trade-PMR Inc.
 Tradeweb LLC
 Tradition Securities and Derivatives LLC
 Transcend Street Solutions
 Trumid Financial, LLC
 Two Sigma Securities, LLC
 U.S. Bancorp Investments, Inc.
 UiPath
 VALIC Financial Advisors, Inc.
 Vanguard Brokerage Services
 Velocity Clearing, LLC
 ViewTrade Securities, Inc.
 Vision Financial Markets LLC
 WealthForge Securities, LLC
 Webbush
 Wiley Bros.-Aintree Capital, LLC
 Wiley Edge
 William Blair
 Wilmington Trust
 Wise Components Inc.
 Anthony Woo
 Zanbato Securities LLC

*Denotes SIFMA Foundation Board Director