



FOUNDATION



Thank You To Our 2021-2022 Partners

The SIFMA Foundation for Investor Education, Inc is an independently funded charitable organization. We acknowledge the following generous industry firms, foundations and institutions:

Angel Supporters [\$100,000 and up]

Baird
Broadridge Financial Solutions, LLC
Charles Schwab & Co
Fidelity Investments
Ameriprise Financial Services, Inc.
Bank of America Merrill Lynch
Edward D. Jones & Co., L.P.
Loop Capital
Morgan Stanley
UBS Securities LLC
Wells Fargo

Premium Supporters [\$99,999 to \$25,000]

Ally Financial
BetterInvesting on behalf of CLIMB
BlackRock
BNY Mellon Corporation (Pershing)
Citigroup Global Markets Inc.
Cray Foundation
FINRA
Honda Foundation
IMC
Mesirow Financial Inc.
PNC
Raymond James & Associates, Inc.
RBC Capital Markets, LLC/RBC Wealth Management
Societe Generale Corporate & Investment Banking
Truist Foundation

Preferred Supporters [\$24,999 to \$10,000]

Advisor Group
ABN AMRO Clearing Chicago LLC
Alliance Bernstein
BMO Capital Markets Corp.
BNP Paribas Securities Corporation
Calvin J. Kazanjian Foundation
Capital Research & Management Company
CIBC World Markets Corp.
Credit Agricole Securities (USA) Inc.
D.A. Davidson & Co.
DTCC
FHN Financial
HSBC Securities (USA) Inc.
Infosys Limited
Invesco
James Reynolds Jr.
MUTFG Securities Americas Inc.
Northern Trust Asset Management
PIMCO
Siebert, Williams, Shank & Company
SIFMA
Stifel, Nicolaus & Co., Inc.
The Blackstone Group
T. Rowe Price Associates, Inc.
TD Securities (USA) LLC

Thomas A. & Mary S. James Foundation
TIAA-CREF Individual & Institutional Services, LLC
Virtu Financial
William Blair

Blue Chip Supporters [\$10,000 and Below]

ABN AMRO Securities (USA) LLC
Academy Securities, Inc.
Access FinTech
Akin Gump Strauss Hauer & Feld LLP
Allen & Overy LLP
Ally Invest Securities LLC
Alpaca Securities, LLC
Alpha Financial Software
Aon Securities LLC
Appway
Arbor Research & Trading, LLC
Ares Management LLC
Ashurst LLP
Asia Pacific Financial Management Group, Inc.
Assured Guaranty
Avantax Investment Services, Inc.
Aviva Investors America LLC
Baker Group, LP
Baker & McKenzie LLP
Ballard Spahr LLP
BBVA Securities Inc.
Behavox
Belvedere Trading LLC
Berenberg Capital Markets LLC
Berkshire Global Advisors LP
Bessemer Investor Services
Betterment Securities
BIDS Trading L.P.
Bloomberg L.P.
Bloomberg Tradebook LLC
BNY Mellon Investment Management
Boenning & Scattergood, Inc.
Brean Capital, LLC
Brex Treasury LLC
BrokerTec Americas LLC
Brownstone Investment Group, LLC
Cadwalader, Wickersham & Taft LLP
CAIS Capital LLC
Capco
Capital One Financial Corporation
Carta Securities, LLC
Carty & Company, Inc.
Centennial Securities Company, Inc.
Chartwell TSC Securities, Corp
Chicago Clearing Corporation
Citadel Securities
Clear Street Markets LLC
Cleary Gottlieb Steen & Hamilton LLP
Clifford Chance US LLP
Coastal Equities, Inc.
Commerz Markets LLC
Commonwealth Australia Securities LLC

Cormark Securities (USA) Limited
Covington & Burling LLP
Cowen and Company, LLC
Cravath, Swaine & Moore LLP
CRB Securities, LLC
Credit Suisse Asset Management
Cutter & Company, Inc.
Dan Klores Communications, LLC
Dash Financial Technologies LLC
Davenport & Company LLC
Davy Securities Limited
DeepSea Inc.
Dentons US LLP
Digital Assurance Certification LLC
Dinosaur Financial Group, LLC
DNB Markets, Inc.
DoubleLine Capital LP
Droit Financial Technologies LLC
Eddid Securities USA Inc.
Estrada Hinojosa & Company, Inc.
ETC Brokerage Services
eToro USA Securities Inc.
Eurex / Deutsche Boerse
Euroclear Bank SA/NV
Eventus Systems
Exos Securities LLC
Fifth Third Securities, Inc.
Fireblocks
First Kentucky Securities Corporation
Frazer Lanier Company, Inc.
Freshfields Bruckhaus Deringer LLP
Global Relay
Goal Group Limited
Goodwin Procter LLP
Great American Advisors, Inc.
G. research, LLC
Griffin Financial Group, LLC
GTS Securities LLC
Guggenheim Partners Investment Management
Hartfield, Titus & Donnelly, LLC
Hearsay Systems
Hefren-Tillotson, Inc.
Hennion & Walsh, Inc.
Herndon Plant Oakley, Ltd.
HighTower Securities, LLC
Hunter Associates LLC
The Huntington Investment Company
IEX Services LLC
Independent Investment Bankers, Corp.
IntraFi Network LLC
InspereX LLC
Institutional Securities Corporation
Intelligent Cross, LLC
International Assets Advisory, LLC
IntraFi Network LLC
Investment Center, inc.
InvestorCOM
J.W. Cole Financial Inc.
J.W. Korth & Company
John Hancock Funds LLC
Jones Day

Katten Muchin Rosenman LLP
KeyBanc Capital Markets, Inc.
Kinetix Trading Solutions
Kirkland & Ellis LLP
KPMG LLP
Kroll Business Services
Latham & Watkins LLP
Latour Trading LLC
LCH
Legal & General Investment Management
Linklaters LLP
Lord, Abbett & Co. LLC
Lowenstein Sandler LLP
Luminex Trading & Analytics LLC
M&A Securities Group, Inc.
Macquarie Capital (USA) Inc.
Matrix Applications, LLC
Matthews South
Maurice Wutscher LLP
McDonald Partners, LLC
McGuireWoods LLP
Mediant
Milbank LLP
Mirae Asset Securities(USA) Inc.
MM Ascend Life Investors
MMC Securities LLC
McGonigle, P.C.
Moloney Securities Co., Inc.
Moore & Van Allen, PLLC
Morrison & Foerster LLP
Murphy & McGonigle, P.C.
nabSecurities, LLC
National Bank of Canada Financial Inc.
NatWest Markets Securities Inc.
Neuberger Berman LLC
Northern Trust Securities, Inc.
Northland Securities, Inc.
n-Tier Financial Services LLC
Nuveen, LLC
Odeon Capital Group LLC
Open to the Public Investing, Inc.
Options Clearing Corporation
Optiver US, LLC
OTC Link LLC
Paul Hastings LLP
Paxos Securities Settlement Company
Penserra Securities, LLC
Performance Trust Capital Partners, LLC
PFS Investments Inc.
Pillsbury Winthrop Shaw Pittman LLP
Piper Sandler Companies
PriceMetrix
Primerica
Prime Clerk LLC
ProShare Advisors LLC
Proskauer Rose LLP
Puma Capital, LLC
Purrington Moody Weil LLP
Putnam Investment Management
QuisLex
R3
R.W. Smith & Associates, LLC
Rafferty Asset Management
Redbridge Securities LLC
Regional Brokers, Inc.
Regions Securities LLC
Rhodes Securities< inc..
RiskSpan
Robinhood Financial, LLC
Robinson & Robinson, Inc.
Rockefeller Financial LLC
Roosevelt & Cross, Incorporated

RSM US LLP
S3
Samuel A. Ramirez & Co., Inc.
S&P Dow Jones Indices
Schulte Roth & Zabel LLP
SEI Investments Distribution Co.
Seward & Kissel LLP
Shield
SIMON Markets LLC
Simplex Trading, LLC
Sionic
SIX Financial Information USA Inc.
Skadden, Arps, Slate, Meagher & Flom LLP
Skience
Smarsh
SmartStream Technologies, Inc.
Smith Moore
SpeedRoute LLC
SpiderRock EXS, LLC
Squire Patton Boggs
Stash Capital LLC
State Farm VP Management Corp.
Stone Turn Group, LLP
Stroock & Stroock & Lavan LLP
SumRidge Partners, LLC
Swiss Re Capital Markets Corporation
Symbiont
Symphony Communications Services LLC
TAINA Technology
Tata Consultancy Services
Theta Lake, Inc.
Jon & Lizzie Tisch
Thrivent Investment Management Inc.
Thurston Springier Financial
Torstone Technology
Total Bank Solutions, LLC
Trade-PMR Inc.
Tradeweb LLC
Tradition Securities and Derivatives Inc.
Transcend Street Solutions
Trumid Financial, LLC
Two Sigma Securities, LLC
UiPath
UMB Financial Services Inc.
U.S. Bancorp Investments, Inc.
VALIC Financial Advisors Inc.
Vanguard
Vestmark
ViewTrade Securities, Inc.
Vision Financial Markets LLC
Voya Investment Management
Wealthforge Securities, LLC
Webush
Wiley Bros.-Aintree Capital, LLC
Wilke Farr & Gallagher LLP
Wilmington Trust
WoodRock Securities L.P.
Zanbato Securities LLC

We are grateful for the support from the following Individual donors:

Edith Alfenas
James Allen
James Anderson
Peter Antonucci
Seth Baerson
Alisa Borozenets
Bonnie Bowes
John Brine
Michael Buckley
Patrick Butman
Laura Chepucavage

Bryan Christian
Lucia Ciraolo
Cory Claussen
George Close
Vanessa Cooksey
Maura Creekmore
David Crow
Jim Crowley
Richard Daly*
Mark Daly
Heather Dean
Patrice DeCorrevont
Todd Digancin*
Gail Doolin
Terence Dunphy
John Durando
Michael Durbin
Marin Gibson
Ellen Greene
Tim Grob
Joshua Heimann
Adam Hoffman
Melissa Holleran
Lisa Kidd Hunt*
Robert J Popowski
Jeff Kalinowski
Janet Kelly
Daniel Kim
Kristen Kimmell*
Michael Kisber*
Andrew Komaroff
Ron Kruszewski
Kathleen Kuehns
Joan L Schwartz
Evan LaHuta*
John R. LaRue
Fernando Lopez
Ritu Malhotra
Mohamad Merilan
Peter Metcalf
Jennifer Mitchell
Melanie Mortimer*
Kathleen Murphy
Emilie Paisley-Choy
Danielle Papandrea
Brenda Pepper
David Peterson
Thomas Pluta
Robert Popowski
Judson Potter
Pressman Family
Carolann Purcell Allis
John R LaRue
Matthew Robertson
Alison Rooney
Claire Santaniello
Robert Schifellite
Michael Schroeder
Joan Schwartz
Mark Shannon
John Shen
Adam Steiger
Kevon Straine
Virginia Tanaka
Lawrence Thomas*
Michael Turner
Garani Ventakesh
James Wallin*
Geraldine Walsh
Dorothy Zajac
Melinda Zamparelli
Robyn Zivic

This list includes gifts made from January 1, 2021 through April 30, 2022.

*Denotes SIFMA Foundation Board Director