



## 2023 SIFMA C&L Society DE&I Advocate Award Application

### What is this award?

First awarded in 2022, the SIFMA C&L Society Diversity, Equity & Inclusion (DE&I) Advocate Award celebrates legal and compliance professionals who have demonstrated an exceptional commitment to building creative solutions that address DE&I inequities in the financial services industry and ensures the next generation of leaders reflects our diverse society.

The award is presented by the [SIFMA Compliance & Legal Society](#) at its [Annual Seminar](#). The next Annual Seminar will be held in San Diego, CA on March 12-15, 2023, and is focused on individuals who are disrupting the status quo in the development, implementation and support of DE&I initiatives.

Nominees should have demonstrated measurable success in supporting and encouraging the inclusion of women, minorities, persons with disabilities and/or persons of differing sexual orientation and gender identities.

### Who is eligible?

Any legal or compliance professional working for a financial services firm, outside counsel, regulator, or vendor that support the industry may be nominated. The nominee must be able to demonstrate tangible and sustainable results. SIFMA C&L Executive Committee members are not eligible for nomination.

Nominees will be assessed in the following categories:

- Innovation of DE&I ideas
- Thought leadership in the legal and compliance sector of the financial services Industry
- Leading and promoting diverse teams
- Leadership through creating an environment that eliminates barriers to communication and encourages everyone's contribution
- Hiring, retention and promotion of diverse candidates



## **Nomination**

Who? An individual may be nominated by another in the industry or submit a self-nomination.

How? By completing the application form in this document and submitting it to [clsociety@sifma.org](mailto:clsociety@sifma.org) by **Tuesday, November 1, 2022**.

The submitted nomination should include the following:

1. Name and contact information of nominee
2. Name of person submitting the nominee (if applicable)
3. Name of employer
4. Nominee's resume or LinkedIn profile (if resume is not available)
5. Statement of nominee's approach to solving DE&I-related issues (philosophy)
6. Description of instance(s) of innovative, unique or creative approach to tackling a DE&I initiative
  - a. Scope of the project
  - b. Participants or systems involved
  - c. Results (quantitative and qualitative, if possible)
  - d. Impact statement or press related to the initiative to demonstrate tangible results
7. A reference statement in support of the nominee from an individual other than the person making the nomination

Note: SIFMA membership and participation in SIFMA C&L conferences is not a requirement, but will be a factor taken into consideration in the review process. The application will make reference to the date and location of the C&L Annual Conference.

## **Selection**

The SIFMA C&L Annual Seminar DE&I sub-committee will review all intake forms and select 3-5 finalists. Finalists will be interviewed virtually by a panel of sub-committee members from November 16-30 (finalists will be contacted to schedule a suitable time).

The recipient will be notified on December 8 so that they will be able to arrange travel to appear at the SIFMA C&L Annual Seminar. The recipient will be asked to maintain confidentiality.

## **Award**

The award will be presented at the SIFMA C&L Annual Seminar, to be held in San Diego, CA on March 12-15, 2023.



## APPLICATION

Application due date: **Tuesday, November 1, 2022**. Please send to [clsociety@sifma.org](mailto:clsociety@sifma.org)

<b>1</b>	Name of nominee	
<b>2</b>	Contact information for nominee	
<b>3</b>	Name of person submitting the application (if not nominee)	
<b>5</b>	Nominee's employer	
<b>6</b>	Nominee's resume or LinkedIn profile (if resume not available)	
<b>7</b>	Statement of nominee's approach to solving DE&I-related issues (philosophy)	
<b>8</b>	Description of instance(s) of innovative, unique or creative approach to tackling a DE&I initiative	a) Scope of the project
		b) Participants or systems involved
		c) Results (quantitative and qualitative, if possible)
		d) Impact statement or press related to the initiative to demonstrate tangible results
<b>9</b>	A reference statement in support of the nominee from an individual other than the person making the nomination	

**All information provided in connection with this nomination will be made available to the SIFMA C&L Executive Committee Members and SIFMA staff responsible for administering the Diversity, Equity & Inclusion Advocate Award.**