

SII 2022 FAQs
March 6-11, 2022

REGISTRATION

What happens if a current participant is new to our firm?

Participants are eligible to continue if they move firms during their three-year participation. Participants are expected to notify the SII team to change their contact information. Participants new to your firm who wish to continue in the program must advise the firm. SII does not take responsibility for managing participants' enrollment process.

If an attendee leaves the firm, or is unable to attend, may we send someone else?

A firm can request a substitute provided the request is submitted in writing, no later than January 31, 2022. Substitutions are not guaranteed and must be approved by the SII team.

If a participant is unable to attend the program, will they be able to register for the following year?

The SII program is a three consecutive year program. If a participant is unable to attend in three consecutive years, an enrollment exemption request will be considered on a case-by-case basis. Please contact Kristin Sheehan or Erin Schroeder at sii@sifma.org to require an exemption.

Is there a limit of attendees per firm?

There is no limit per firm. Please contact Kristin Sheehan or Erin Schroeder at 212-313-1108 or sii@sifma.org to coordinate registration at the firm level.

What if a participant needs to cancel their registration?

All cancellation requests must be submitted in writing to the SII team at sii@sifma.org. Any cancellations after December 3, 2021, will result in a 100% loss of the tuition fee unless SIFMA is able to fulfill a waitlist request. Visit www.sifma.org/sii to view the full cancellation policy.

Do you have to be fully vaccinated to attend SII 2022?

In order to complete registration for in-person attendance for SII 2022, SIFMA and Wharton require registrants to be fully vaccinated against COVID-19; vaccines must be approved by the WHO and the final dosage administered no later than February 20, 2022.

SIFMA closely follows guidelines from the CDC as well as state and local officials. SII participants are subject to [SIFMA's COVID-19 Safety Acknowledgment](#) as well as [UPENN guidelines](#). The SII team will continue to monitor and send out periodic updates to all SII participants.

HOTEL

Does SII provide hotels for participants?

SII has partnered with hotels adjacent to the Wharton campus to house SII participants and provide discounted rates during Institute Week. Participants may reserve hotels as part of their SII registration. Hotel accommodations are not included in the tuition, and therefore participants are required to pay the hotel directly. [Click here for more details on SII hotels.](#)

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How does a participant reserve a hotel room?

Participants can reserve a hotel room during the registration process. SIFMA handles hotel reservations for all participants. Payment information is required on the registration form to guarantee the hotel reservation.

What does a participant do if they need to cancel their hotel reservation?

All hotel cancellations must be submitted in writing to the SII team at sii@sifma.org no later than January 21, 2022. Cancellations made directly with the hotel will not be honored.

CLASS SELECTION

When does class selection take place?

Participants will select their courses in January 2022. Dates for class selection, course descriptions and speaker information will be released in December 2021. Each Year will be given their own registration date with Year 3 receiving priority followed by Years 2 & 1.

Will I get my first choice during class selection?

Many SII sessions are capped to facilitate small interactive classes. Because of this, you may not get your 1st choice selections in Years 1&2 but by Year 3 you will be able to sign up for any session that is still on your SII wish list. The SII team recommends having a 1st, 2nd, and 3rd choice for each session timeslot so that the class selection process moves quickly.

What does a participant do if a class is full?

Select your 2nd or 3rd choice and then email sii@sifma.org to be added to a session waitlist. Once class registration is completed, the SII team will review all waitlist requests and make changes whenever possible.

What if a participant is unavailable during the time of class selection?

A participants should give their course selection form to a colleague to complete on their behalf. SII sessions can fill quickly so log in right at the time of selection.

CURRICULUM

What does the SII curriculum focus on?

Under the leadership of the SII Board of Trustees and the program's Academic Director Dr. Jeremy Siegel, the curriculum is designed to meet the specific challenges facing financial services professionals. The 3 major categories include Industry and Investment Knowledge, Leadership and Professional Development, and Strategy and Innovation.

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What are some examples of sessions a participant can take?

- Industry and Investment Knowledge
 - ESG and Impacting Investing in Today's Markets
 - Private Equity
- Leadership and Professional Development
 - Mastering Stress, Resilience and Change
 - Strategies for Life Success
- Strategy and Innovation
 - Finding New Growth Opportunities
 - Strategic Agility

What session formats and teaching methods are utilized?

SII uses a variety of formats such as small interactive sessions, group projects and workshops, simulations, and Year-specific DEI and Ethics sessions.

Are there any tests or papers?

The SII program does not have any tests or papers however some sessions require pre-reading and assessments to be completed prior to onsite arrival.

ON-SITE

When should participants arrive and depart?

Participants should arrive no later than 5:00pm on Sunday, March 6th and depart after 12:00pm on Friday, March 11th. Classes will end between 11:00am and 12:00pm on Friday.

Do participants have to get a COVID-19 test prior to arrival on campus?

As of 10/18/21, Wharton is requiring all participants, faculty, and vendors to get a COVID-19 test 72 hours prior to arrival on campus. The SII team will continue to monitor this requirement and update participants if the policy changes.

Where does a participant find class materials (course descriptions, presentations, speaker bios, etc.)?

SII utilizes a mobile app while on campus that houses all session materials. Participants will find all presentations, session surveys, speakers bios, etc. within the individual session page on the app.

How does a participant find each building and classroom onsite?

The mobile app has Google walking directions for all the campus buildings used throughout Institute Week.

Are participants able to arrive late or leave a day early?

No, participants are expected to arrive on Sunday and depart on Friday and attend all sessions. SII designated hotels have a FIVE NIGHT MINIMUM (March 6-11, 2022) stay policy and participants will be responsible for all five nights.

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What happens if a participant is called away for business or personal reasons?

Participants must email the SII team at sii@sifma.org to advise that they are leaving the program. A participant will be required to document the reason for departure and may be required to enroll next year at the same level of curriculum. Refunds will not be given for early departures.

Is graduation attendance mandatory?

Yes, the graduation breakfast is held before Friday sessions and all Year 3 participants are required to attend. SII certificates will be distributed at the breakfast.