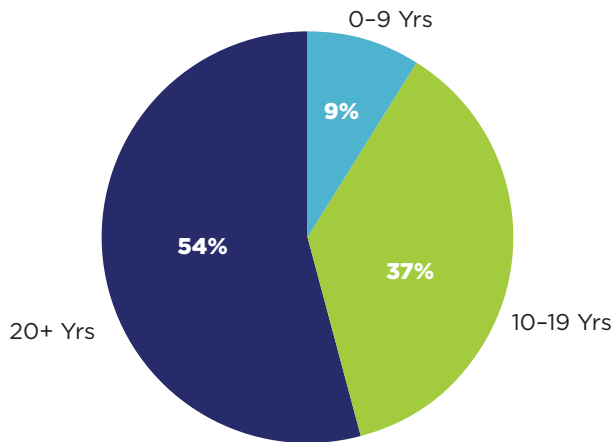


SECURITIES INDUSTRY INSTITUTE

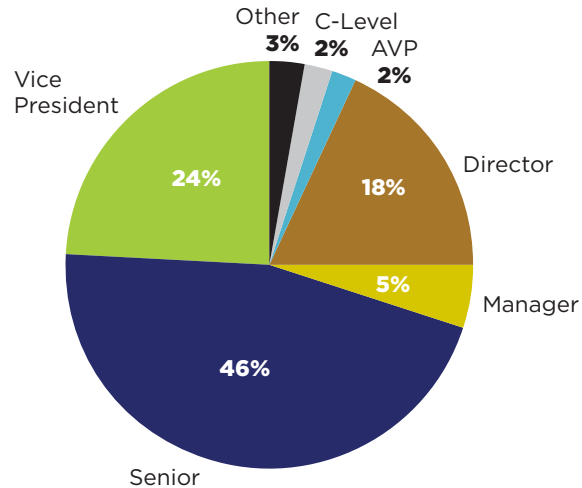
SII2022 EXCELLENCE IN LEADERSHIP DEVELOPMENT

Participant Profile

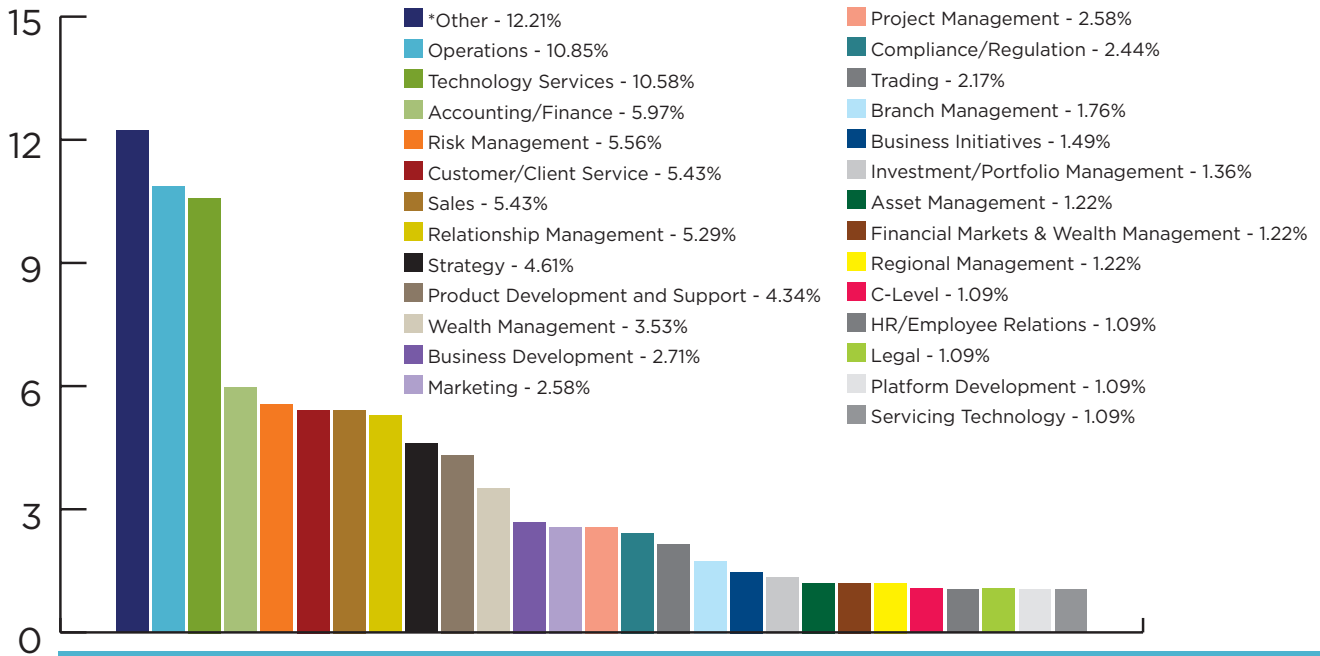
YEARS IN INDUSTRY



PARTICIPANT TITLES



PARTICIPANT PROFILE (BY FUNCTION)



*Other contains: Administration, Advanced Analytics, Alternative Investments, Anti-Money Laundering & Financial Crimes, Audit, Behavior Analytics, Capital Markets Operations & Transformation, Clearing/Transactions, Cybersecurity, Digital and Automated Investing, Diversity, Equity Capital Markets, Financial Advisor Recruiting, Financial Advisor Talent Acquisition, Financial Planning, Fixed Income, Fraud and Claims Risk Management, Government Relations, Industry Relationships, Internal Quality and Process Improvement, International Services, Investment Products and Trading, National Management, Partner, PR/Communications, Private Banking, Product Specialist, Public Finance, Regulator, Research, Retirement Planning, Stock Plan Services & Designated Brokerage, Supply Management, Training/Education, User-Centered Design, Valuations

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