



Listed Options Symposium 2020

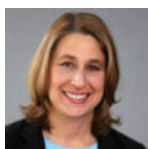
SIFMA's Listed Options Symposium examines the state of the options industry, market structure and investor sentiment in the listed options markets.

Thursday September 24, 2020

10:00am – 10:05am

Welcome Remarks

Speaker



Ellen Greene

Managing Director, Equity and Options Market Structure
SIFMA

10:05am – 10:25am

Keynote Speaker: CNBC Senior Markets Commentator, Michael Santoli

Speaker



Michael Santoli

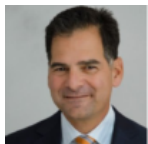
Senior Markets Commentator
CNBC

10:25am – 11:20am

Industry and Exchange Leaders Panel

While the response to COVID-19 has led to changes across the world, the options markets continue to evolve in response to an ecosystem that currently counts 16 listed options exchanges. This panel will examine the viability and growth of the exchanges and its impact on market makers. Panelists will also explore strike listings (will this ever get done?), areas where the industry and exchanges can work together and the market's response and resiliency to the emergence of COVID-19 in March 2020.

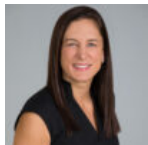
Moderator



Paul Jiganti

Managing Director of Business Development
IMC

Panelists



Arianne Criqui

Senior Vice President, Global Head of Derivatives and Global Client Services
Cboe



Greg Ferrari

Vice President, Head of US Options
Nasdaq



Pat Luongo

Director, Head of AES Options Sales, US
Credit Suisse



Andrew Schultz

Head of Strategic Options Business
SIG



Scott Spears

Senior Vice President, Head of Retail Derivatives Trading
Wells Fargo Advisors

11:20am – 11:45am

Lunch Break

11:45am – 12:35pm

Regulatory, Risk and Capital Panel

In 2020, the listed options exchanges and OCC continued their focus on strengthening options market resiliency while reducing risk to clearing firms. 2020 also brought an effective date for the long-awaited SA-CCR rule designed to improve the punitive treatment of risk weighted assets for market makers. Panelists will explore the impact of these changes as well as the current state of the options regulatory fee, options enforcement and how CAT may impact this and an update on the new Rule 606 reporting requirements.

Moderator

**Nicholas Losurdo**

Partner

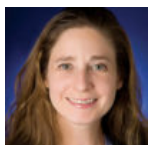
Goodwin Procter LLP

Panelists

**Gene DeMaio**

Executive Vice President

FINRA

**Joanna Fields**

Chief Executive Officer & Founder

Aplomb Strategies

**Mark Morrison**

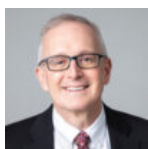
Director, Equity Asset Management Services

Bank of America

**John C. Roeser**

Associate Director, Division of Trading and Markets

U.S. Securities and Exchange Commission

**Scot Warren**

Executive Vice President and Chief Operating Officer

Options Clearing Corporation

12:35pm – 12:55pm

Remote Work Optimization / Return to Office

As the world comes to grips with the extended impact of COVID-19, businesses and teams are having to continue to adjust and react quickly. Companies and employees are adapting to **new more flexible ways of working**. EY will share perspectives and trends on company and workforce views and the evolving “new normals” of where and when work gets done.

Speakers

**Roselyn Feinsod**

Principal

EY

Andy Sears



Managing Director
EY

12:55pm – 1:05pm

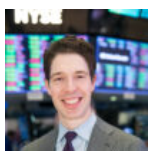
Break

1:05pm – 2:00pm

Options Market Growth Panel

Listed options continue to set record volumes in 2020 as new and existing customers take advantage of the risk management tools and trading strategies that listed options provide to institutional and retail investors. This panel will explore the growth in listed options and opine on what's next for options investors.

Moderator



Ivan Brown
Head of Options
NYSE

Panelists



Oscar Loynaz
Co-Founder
m+ funds



Faris Matalka
VP, Trading, Order Management and Risk
Charles Schwab & Co., Inc.



David Silber
Head of Institutional Equity Derivatives
Citadel Securities, LLC



Erik Swanson
Chief Executive Officer
Simplex Investments

2:00pm – 2:05pm

Closing Remarks

Speaker



Ellen Greene

Managing Director, Equity and Options Market Structure
SIFMA