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# **Regulation Best Interest –** How Firms are Managing Ops Changes

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Broadridge, a global Fintech leader with over \$4 billion in revenues and part of the S&P 500<sup>®</sup> Index, enables corporate governance, powers capital markets and helps wealth and asset management firms grow. We provide communications, technology, data and analytics that help our clients enrich engagement, navigate risk, optimize efficiency and drive revenue growth. We process \$5 trillion in fixed income and equity trades daily, manage shareholder voting in 90 countries and deliver essential communications for 5,000 brands. From our unique vantage point, working side by side, we help our clients get ahead of today's challenges and capitalize on what's next.

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