

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

In The Matter of:

The Application of SECURITIES INDUSTRY AND  
FINANCIAL MARKETS ASSOCIATION,

For Review of Action Taken by CAT LLC and Certain  
Self-Regulatory Organizations in Violation of Exchange  
Act Sections 19(d) and 19(f)

Admin. Proc. File No. \_\_\_\_\_

**NOTICE OF APPEARANCE ON BEHALF OF**  
**SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION**

Pursuant to Rule 102(d)(2) of the Commission's Rules of Practice, the attorneys listed below represent and appear on behalf of Securities Industry and Financial Markets Association, 120 Broadway, 35th Floor, New York, NY 10271, in the above-captioned proceeding.

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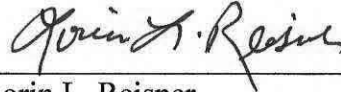
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Dated: New York, New York  
April 22, 2020

Respectfully submitted,

PAUL, WEISS, RIFKIND, WHARTON &  
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