

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

In The Matter of:

The Application of SECURITIES INDUSTRY AND
FINANCIAL MARKETS ASSOCIATION,

For Review of Action Taken by CAT LLC and Certain
Self-Regulatory Organizations in Violation of Exchange
Act Sections 19(d) and 19(f)

Admin. Proc. File No. _____

CERTIFICATE OF SERVICE

I, Jeffrey J. Recher, hereby certify that on April 22, 2020, I caused true and correct copies of the following documents to be delivered to the recipients listed below in the manner indicated: (1) Application for Review of SRO Action That Violates Exchange Act Sections 19(d) and 19(f); (2) Declaration of Lorin L. Reisner In Support of SIFMA's Application Pursuant To Exchange Act Sections 19(d) and 19(f); (3) Appendix of Exhibits to Declaration of Lorin L. Reisner In Support of SIFMA's Application Pursuant To Exchange Act Sections 19(d) and 19(f); (4) SIFMA's Motion to Stay SRO Action Pending Commission Review of SIFMA's Application Pursuant to Exchange Act Sections 19(d) and 19(f) and Incorporated Memorandum of Law; (5) Certificate of Compliance with Word Limit; and (6) Notice of Appearance on Behalf of SIFMA.

By Hand Delivery and Electronic Mail

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Based on limitations relating to the COVID-19 pandemic and the number and locations of the parties, service was effected by FedEx or electronic mail.

Dated: New York, New York
April 22, 2020

Jeffrey J. Recher