



FMS Regional Conference

SPONSORSHIP OPPORTUNITIES

June 10–June 12, 2020

Renaissance Chicago Downtown Hotel
Chicago, IL

To reserve your sponsorship, please contact:

Diana Serri

Managing Director, Head of Exhibit & Sponsorship Sales, SIFMA
212.313.1258 | dserri@sifma.org



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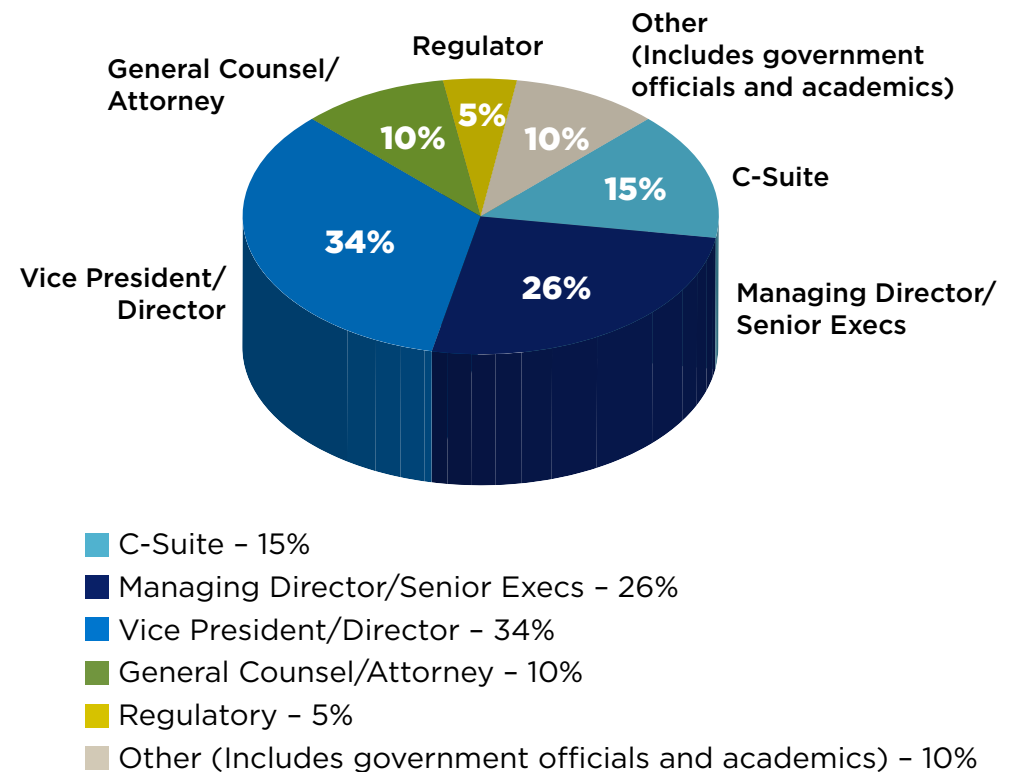
2020 Financial Management Society (FMS) Regional Conference Sponsorship Information

The annual Financial Management Society (FMS) Regional Conference gathers industry leaders, regulators and financial managers to discuss the latest developments in securities finance, regulatory priorities, accounting and tax.

Leading financial and risk management experts will share their insights into accounting and tax updates, regulatory reporting rules and other industry developments that are crucial to your role.

We invite you to participate through sponsorship opportunities available during this annual event.

2019 FMS REGIONAL CONFERENCE DELEGATE PROFILE



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SPONSORS

GOLD



SILVER





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SIFMA FMS Regional Conference 2020 Sponsorship Packages offer maximum value with the following benefits:

Pre-FMS Regional Conference Benefits

- Exposure to more than 200 influencers and decision makers registered for the FMS Regional Conference with prominent placement of logo and firm profile listed on the 2020 SIFMA FMS Regional Conference website, www.sifma.org/event/fms-regional-conference
- Prominent placement on SIFMA's email marketing campaigns to more than 5,000 influencers and decision-makers.
- Preliminary mailing list of all 2020 FMS Regional Conference registrants (name, title, firm and postal address only). List sent four weeks in advance of the FMS Regional Conference.*

Days of FMS Regional Conference Benefits

- Complimentary Conference Registration(s).
- Access to food and networking functions.
- Exhibit Table Display and two (2) exhibit only personnel badges.
- Prominent recognition during the sponsored function (if applicable), with logo displayed on dedicated print and digital signage, strategically placed in areas including registration area, general session slides, a sponsor recognition video loop, and more.
- Dedicated sponsor listing placed within the printed SIFMA FMS Regional Conference Pocket Guide.
- Opportunity to provide thought leadership for inclusion in the official FMS Regional Conference e-Guide.

Post-FMS Regional Conference Benefits

- Final mailing list of all 2020 FMS Regional Conference registrants (name, title, firm and postal address only). List sent at conclusion of the FMS Regional Conference.*
- Listing company name, placement of logo and firm profile on SIFMA FMS Regional Conference website following the meeting for an additional three (3) months.
- Logo placement on all post-event email campaigns, including attendee survey as well as content roundup, sent to all participants as well as 5,000 influencers and decision-makers.

** Includes only U.S. based participants who do not opt out during the registration process, does not include email addresses (view SIFMA's Privacy Policy at www.sifma.org/privacy).*

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PLATINUM

Sponsorship

Investment

**\$10,500 SIFMA
MEMBER RATE**

\$13,000 Non-Member
Rate

Entitlements

- Two (2) complimentary conference registrations
- Two (2) table staffer badges
- Table Display

Welcome Reception, June 10, 2020 Available

Welcome Reception that includes open bar and passed hors d'oeuvres. A great opportunity to network and meet with colleagues during the kickoff of the event.

Networking Luncheon, June 11, 2020 RESERVED

Networking Luncheon, allowing for one-on-one networking with industry colleagues. Acknowledgement on signage and firm's logo placed on slide presentation & lunch menu cards placed at each seat.

General Session Audio Visual, June 11–12, 2020 RESERVED

Exclusive sponsorship of the General Session Audio Visual will provide your firm with extraordinary visibility during General Session presentations. Acknowledgment on signage and inclusion of firm's logo on the general session presentation slide deck.



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GOLD	Sponsorship
<p>Investment</p> <p>\$8,500 SIFMA MEMBER RATE</p> <p>\$11,500 Non-Member Rate</p> <p>Entitlements</p> <ul style="list-style-type: none"> • One (1) complimentary conference registration • Two (2) table staffer badges • Table Display 	<p>Charging Station Available</p> <p><i>Co-Branded charging station placed near sponsor showcase, where continental breakfast and refreshment breaks are scheduled. Sponsoring firm's logo and video will be uploaded and displayed on digital monitor acknowledging sponsorship.</i></p> <p>WI-FI for Attendees SOLD!</p> <p><i>Complimentary WI-FI usage for all FMS Regional Conference participants during conference sessions available for the duration of the conference. Offering sponsoring firm an opportunity to customize the Password for access as well as acknowledgment of sponsoring firm included on a re-directed splash page, on program materials and digital signage.</i></p> <p>Charging Stick Available</p> <p><i>Co-branded charging sticks, produced by sponsoring firm, distributed to all conference attendees during registration.</i></p> <p>Continental Breakfast, June 11, 2020 RESERVED</p> <p>Continental Breakfast, June 12, 2020 Available</p> <p><i>Networking opportunity offering prominent brand recognition, a variety of healthy breakfast choices along with hot coffee and tea during one-on-one conversations with industry colleagues and potential prospects.</i></p>

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SILVER

Sponsorship

Investment

**\$7,000 SIFMA
 MEMBER RATE**

\$9,500
 Non-Member Rate

Entitlements

- One (1) complimentary conference registration
- Two (2) table staffer badges
- Table Display

Digital Event Guide RESERVED

Sponsoring firm's banner ad to be featured on screen and linked to an ad online (PDF). The digital event guide includes a program agenda, schedule, list of speaker and bios, sponsoring firms with their company profiles.

Co-Branded Badge Lanyard SOLD!

Co-branded SIFMA pre-approved badge lanyard produced by sponsoring firm and distributed to all seminar participants during registration. Branded lanyard allows delegates to display your firm logo throughout the conference.

Co-Branded Attendee Tote Bag Available

Co-branded Tote bag produced by sponsoring firm and distributed with registration materials. An effective measure to ensure your firm's brand has a presence during and after the conference.

Co-Branded Attendee Notepads and Pens SOLD!

As easy effective way to get your message in the hands of every registered attendee. Popular hard-covered and co-branded notepads produced and provided by the sponsoring firm will be distributed to all attendees at registration. Place your logo on the cover of the notepad and gain exposure at and beyond the seminar as attendees return to their offices and desks to jog their memories, review important notes and name of contacts.





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SILVER	Sponsorship
<p>Investment \$7,000 SIFMA MEMBER RATE \$9,500 Non-Member Rate</p> <p>Entitlements</p> <ul style="list-style-type: none"> • One (1) complimentary conference registration • Two (2) table staffer badges • Table Display 	<p>Morning Networking Refreshment Break, June 11, 2020 RESERVED</p> <p>Afternoon Networking Refreshment Break, June 11, 2020 Available</p> <p>Morning Networking Refreshment Break, June 12, 2020 Available</p> <p><i>Networking refreshment break, that includes prominent brand recognition and a variety of healthy snacks, during one-on-one networking with industry colleagues.</i></p>



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SIFMA FMS REGIONAL CONFERENCE 2019 PARTICIPATING FIRMS

ABN AMRO Clearing Chicago LLC
Advisors Asset Management, Inc.
American Portfolios
Axos Clearing LLC
B.C. Ziegler and Company
BDO
BMO Capital Markets Corp.
BMO Harris Bank
BNP Paribas Securities Corp.
Boenning & Scattergood, Inc.
Broadridge Financial Solutions, Inc.
Brown Smith Wallace, LLC
C.L. King & Associates, Inc.
Canadian Imperial Bank of Commerce (CIBC)
CFO Financial Partners
Charles Schwab & Co., Inc.
Chicago Tribune
Citadel LLC
Commerz Markets LLC
Credit Suisse Group AG

Crowe LLP
D.A. Davidson & Co.
Daiwa Capital Markets America Inc.
Dash Regulatory Technologies LLC
Davenport & Company LLC
Deloitte
Deutsche Bank Securities Inc.
Dixon Hughes Goodman, LLP
Dougherty & Company LLC
DST Market Services, LLC
E*TRADE Financial Corp.
Edward D. Jones & Co., L.P.
Ernst & Young LLP
Financial Industry Regulatory Authority Inc (FINRA)
Folio Investments, Inc.
FOLIOfn, Inc.
George K. Baum & Company
Grant Thornton LLP
Hilltop Securities Inc.
IMC-Chicago, LLC

Incapital LLC
INTL FCStone Financial Inc.
Janney Montgomery Scott LLC
Jefferies LLC
KPMG LLP
Legal & General Investment Management America Inc.
Loop Capital Markets LLC
LPL Financial LLC
Marathon Capital, LLC
Mesirow Financial, Inc.
MML Investors Services, LLC
Natixis
Nomura Holding America Inc.
Northwestern Mutual Investment Services, LLC
Options Clearing Corporation
Piper Jaffray & Co.
PricewaterhouseCoopers LLP
Raymond James Financial, Inc.
RMMilburn Consulting LLC

RSM US LLP
SA Stone Wealth Management Inc.
Schiff Hardin LLP
SG Americas Securities, LLC
Stephens Inc.
Stifel Financial Corp.
Stifel, Nicolaus & Co., Inc.
TD Ameritrade, Inc.
Texas Capital Bank
TIAA
TriState Capital Bank
U.S. Bancorp Investments, Inc.
U.S. Bank
U.S. Commodity Futures Trading Commission (CFTC)
US Securities and Exchange Commission (SEC)
Warren Averett, LLC

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