SII 2020
EXCELLENCE IN LEADERSHIP DEVELOPMENT
MARCH 8–13, 2020 | THE WHARTON SCHOOL, PA
SPONSORSHIP OPPORTUNITIES
The Securities Industry Institute® (SII) is the premier executive development program for securities industry professionals. For 69 years, SIFMA and The Wharton School have partnered to develop the industry’s high-potential rising leaders. Each March, SII brings together over 800 high-potential, rising talent from across the industry with a world-class facility at The Wharton School of The University of Pennsylvania.

Participants meet for one week over three consecutive years to develop leadership and managerial skills and enhance investment and industry knowledge. SII’s cost-effective talent development approach delivers actionable knowledge that is implementable today: the mission of the Institute is to equip each participant with practical information, ideas, and answers directly applicable to their present and future responsibilities. Its customized curriculum is redesigned each year to reflect the needs of an ever-changing industry landscape.

"I was a graduate of the Securities Industry Institute...and the three-year program changed my life. It gave me a sense of excitement and it broadened my horizons about what the industry was all about.”

– JOHN TAFT
VICE CHAIRMAN, BAIRD

“You bring this great stew of people together and watch them interact and engage and everyone learns in that kind of environment...You make incredible friendships over the years and not just networking and business relationships, but great friendships.”

– JOSEPH SULLIVAN
PRESIDENT & CEO, LEGG MASON

SPONSORSHIP CATEGORIES

Networking
Page 6
Keynote Speaker Presentations/Books
Page 8
Branding
Page 11
Years in Industry:
- 0–9 Yrs: 11%
- 10–19 Yrs: 48%
- 20+ Yrs: 41%

Participant Titles:
- AVP: 1%
- C-Level: 3%
- Director: 22%
- Manager: 8%
- Senior: 42%
- Other: 2%

2019 Participant Profile (By Function):
- *Other: 12.15%
- Operations: 12.03%
- Technology Services: 10.47%
- Accounting/Finance: 6.02%
- Wealth Management: 5.29%
- Customer/Client Service: 5.17%
- Sales: 4.81%
- Relationship Management: 4.69%
- Risk Management: 4.21%
- Strategy: 4.21%
- Product Development and Support: 4.09%
- Compliance/Legal/Regulation: 3.49%
- Branch Management: 2.77%
- Business Development: 2.53%
- Communications/Marketing: 2.53%
- Project Management: 2.53%
- HR/Diversity/Training: 2.29%
- Trading: 2.17%
- Business Initiatives: 1.93%
- Regional Management: 1.93%
- Clearing/Transactions: 1.81%
- Compliance/Legal/Regulation: 1.81%
- Investment/Portfolio Management: 1.44%
- Platform Development: 1.44%

SIFMA SECURITIES INDUSTRY INSTITUTE SPONSORSHIP PACKAGES
OFFER MAXIMUM VALUE WITH:

Pre-SII Benefits

- Exposure to more than 800 influencers and decision makers registered for the SII with prominent placement of logo and firm profile listed on the 2020 SII website, www.sifma.org/sii.
- Prompt placement on SIFMA’s email marketing campaigns to more than 5,000 influencers and decision-makers.
- Preliminary mailing list of all 2020 SII registrants (name, title, firm and postal address only). List sent four weeks in advance of the 2020 SII.*

Onsite Benefits (6 days)

- Prominent recognition during the sponsored function (if applicable), with logo displayed on dedicated print signage that is strategically placed in areas including registration area, general session slides, and keynote sessions.
- Acknowledgment of sponsorship during SII keynote sessions with special mention in opening and closing remarks.
- Reduced rate for 2020 SII registration(s)
- Access to all food functions offering additional networking opportunities.
- Opportunity to provide thought leadership for inclusion in the official 2020 SII mobile app.

Post-SII Benefits

- Final mailing list of all 2020 SII registrants (name, title, firm and postal address only). List sent at conclusion of 2020 SII.*
- Listing company name, placement of logo and firm profile on SIFMA 2020 SII website following the meeting for an additional three (3) months.
- Logo placement on all post-event email campaigns, including attendee survey as well as content roundup, sent to all participants as well as 5,000 influencers and decision-makers.

* Includes only U.S.-based participants who do not opt out during the registration process; does not include email addresses (view SIFMA’s Privacy Policy at www.sifma.org/privacy).
SIFMA'S 69TH ANNUAL SECURITIES INDUSTRY INSTITUTE SPONSORSHIP TIERS

<table>
<thead>
<tr>
<th>Sponsorship Tier</th>
<th>SIFMA Member Rate</th>
<th>Non-Member Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>DIAMOND</td>
<td>$45,000</td>
<td>$50,000</td>
</tr>
<tr>
<td>PLATINUM</td>
<td>$32,500</td>
<td>$37,500</td>
</tr>
<tr>
<td>GOLD</td>
<td>$22,500</td>
<td>$27,500</td>
</tr>
<tr>
<td>SILVER</td>
<td>$17,500</td>
<td>$22,500</td>
</tr>
<tr>
<td>BRONZE</td>
<td>$12,500</td>
<td>$17,500</td>
</tr>
</tbody>
</table>
THANK YOU TO OUR SPONSORS

DIAMOND

Broadridge®

SILVER

Fidelity®

BRONZE

BNY Mellon

Pershing

TOTAL BANK SOLUTIONS.
<table>
<thead>
<tr>
<th>NETWORKING</th>
<th>SPONSORSHIP</th>
<th>AVAILABILITY</th>
</tr>
</thead>
</table>
| DIAMOND                       | **THURSDAY, MARCH 12, 2020**  
CLOSING RECEPTION  
National Constitution Center  
The Closing reception offers sponsoring firm high visibility opportunity in a relaxed atmosphere at the historic National Constitution Center. This is ideal for a firm wishing to achieve maximum exposure and is attended by all 700+ SII participants.   | SOLD          |
| PLATINUM                      | **SUNDAY, MARCH 8, 2020**  
OPENING NIGHT NETWORKING RECEPTION  
Penn Museum  
The Opening Night Networking Reception, hosted at the Penn Museum, brings together all 800+ SII participants, allowing for one-on-one networking opportunities with industry colleagues. The historic Penn Museum will allow for great exhibits and great conversations amongst participants.  | AVAILABLE     |
| Gold                          | **MONDAY, MARCH 9, 2020**  
YEAR 1, 2, 3 NETWORKING RECEPTION  
Houston Hall  
The Networking Reception incudes prominent brand recognition creating a platform for one-to-one networking opportunities with industry colleagues.                                                                                       | AVAILABLE     |

DIAMOND  
$45,000 SIFMA Member Rate  
$50,000 Non-Member Rate  
Includes: Five (5) Reduced Rate Institute Registrations  

PLATINUM  
$32,500 SIFMA Member Rate  
$37,500 Non-Member Rate  
Includes: Two (2) Reduced Rate Institute Registrations  

Gold  
$22,500 SIFMA Member Rate  
$27,500 Non-Member Rate  
Includes One (1) Reduced Rate Institute Registration  

SPONSORSHIP OPPORTUNITIES
## NETWORKING SPONSORSHIP OPPORTUNITIES

<table>
<thead>
<tr>
<th>NETWORKING</th>
<th>SPONSORSHIP</th>
<th>AVAILABILITY</th>
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<tbody>
<tr>
<td><strong>SILVER</strong></td>
<td><strong>MONDAY, MARCH 9 – FRIDAY, MARCH 13, 2020</strong> (<em>with the exception of Inn at Penn on Friday, March 13th</em>)</td>
<td><strong>AVAILABLE</strong></td>
</tr>
<tr>
<td><strong>$17,500 SIFMA Member Rate</strong></td>
<td><strong>BREAKFAST</strong></td>
<td><strong>Monday, March 9 AVAILABLE</strong></td>
</tr>
<tr>
<td><strong>$22,500 Non-Member Rate</strong></td>
<td>Philadelphia Marriott, Inn at Penn, Aresty/Steinberg Conference Center, The Study and Sheraton Hotel</td>
<td><strong>Tuesday, March 10 AVAILABLE</strong></td>
</tr>
<tr>
<td>Includes: One (1) Reduced Rate Institute Registration</td>
<td>The breakfast sponsor will receive brand recognition throughout the entire SII week (Monday-Friday) at all five locations. In addition, this sponsorship includes a variety of breakfast options, allowing for one-on-one networking opportunities with industry colleagues. Signage will be displayed onsite.</td>
<td><strong>Wednesday, March 11 AVAILABLE</strong></td>
</tr>
<tr>
<td>Includes: Two (2) Reduced Rate Institute Registration</td>
<td><strong>WEDNESDAY, MARCH 11, 2020</strong> <strong>VIP RECEPTION AND DINNER</strong> R2L Restaurant</td>
<td><strong>Thursday, March 12 AVAILABLE</strong></td>
</tr>
<tr>
<td>Two (2) Reserved VIP Seats at the Dinner</td>
<td>Reception and Dinner allow for one-on-one networking opportunities with VIP’s that include, SII Trustees, industry and academic speakers and other distinguished guests. Prominent branding acknowledging sponsorship will be displayed at dinner.</td>
<td><strong>Friday, March 13 AVAILABLE</strong></td>
</tr>
<tr>
<td>Includes: One (1) Reduced Rate Institute Registration</td>
<td><strong>FRIDAY, MARCH 13, 2020</strong> <strong>GRADUATION BREAKFAST</strong> Inn at Penn</td>
<td><strong>AVAILABLE</strong></td>
</tr>
<tr>
<td></td>
<td>The Graduation Breakfast includes a guest speaker from Wharton, special presentations from the Chairman and Academic Director, and the awarding of graduation certificates.</td>
<td><strong>AVAILABLE</strong></td>
</tr>
</tbody>
</table>
# Featured Keynote Speaker Presentations/Books Sponsorship Opportunities

<table>
<thead>
<tr>
<th>Presentation/Books</th>
<th>Sponsorship</th>
<th>Availability</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Gold</strong></td>
<td>$22,500 SIFMA Member Rate  $27,500 Non-Member Rate  Includes One (1) Reduced Rate Institute Registration</td>
<td><strong>Jeremy Siegel's Book, Stocks for the Long Run Distributed to Year 1 Students</strong>  Stocks for the Long Run is a book on investing by Jeremy Siegel. Its first edition was released in 1994. Its fifth edition was released on January 7, 2014. According to Pablo Galarza of Money, “His 1994 book Stocks for the Long Run sealed the conventional wisdom that most of us should be in the stock market.” James K. Glassman, financial columnist for The Washington Post called it one of the 10 best investment books of all time. Sponsors branded logo will be displayed in the inside front cover of each book.</td>
</tr>
<tr>
<td><strong>Silver</strong></td>
<td>$17,500 SIFMA Member Rate  $22,500 Non-Member Rate  Includes: One (1) Reduced Rate Institute Registration</td>
<td><strong>Jeremy Siegel’s Book, “Future for Investors” Distributed to Year 3 Students</strong>  Future for Investors is a book that shatters conventional wisdom and provides a framework for picking stocks that will be long-term winners. While technological innovation spurs economic growth, it has not been kind to investors. Instead, companies that have marketed tried-and-true products for decades in slow-growth or even declining industries have superior returns to firms that develop “the bold and the new.” Industry sectors many regard as dinosaurs—railroads and oil companies, for example—have actually beat the market. Sponsors branded logo will be displayed in the inside front cover of each book.</td>
</tr>
<tr>
<td><strong>Silver</strong></td>
<td>**Monday, March 9, 2020</td>
<td>Keynote Speaker TBD**  This is a fantastic opportunity to leave a lasting impression with the SII ’20 attendees.</td>
</tr>
</tbody>
</table>
## FEATURED KEYNOTE SPEAKER PRESENTATIONS/BOOKS SPONSORSHIP OPPORTUNITIES

<table>
<thead>
<tr>
<th>PRESENTATION/BOOKS</th>
<th>SPONSORSHIP</th>
<th>AVAILABILITY</th>
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</thead>
<tbody>
<tr>
<td><strong>SILVER</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$17,500 SIFMA Member Rate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$22,500 Non-Member Rate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Includes: One (1) Reduced Rate Institute Registration</td>
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<td></td>
</tr>
<tr>
<td>**FRIDAY, MARCH 13, 2020</td>
<td>KEYNOTE SPEAKERS**</td>
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<tr>
<td><strong>JEFF BUSH WITH THE WASHINGTON UPDATE</strong></td>
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<tr>
<td>A dynamic and insightful speaker, Jeff Bush is known for his unique ability to decode difficult and confusing tax and fiscal information coming out of Washington. Jeff is a colleague of Andy Friedman and an integral part of <em>The Washington Update</em>, the industry thought leader that guides domestic clients through the complex and ever-changing political and tax environment and helps international audiences understand US politics.</td>
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<tr>
<td><strong>PHILIP MCKERNAN</strong></td>
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<tr>
<td>Philip works with entrepreneurs and business leaders all over the world. When people are seeking clarity about their future or want to move through roadblocks, seen and unseen, they call Philip. As a speaker, he has inspired and challenged the Canadian Olympic Team and The Pentagon to name a few. He is also the founder and is spearheading the One Last Talk™ movement. This is a fantastic opportunity to leave a lasting impression with the SII ‘20 attendees. In addition, to having your organization associated with remarkable featured closing speakers.</td>
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<tr>
<td><strong>PHILLIP MCKERNAN’S BOOK, ONE LAST TALK</strong></td>
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<td>In this book, McKernan goes beyond the event, and dives into what it means to discover your truth and speak it, why people should do this, and then deeply explains exactly how this can be done. If you feel living more authentically could allow you to have a greater impact on others, or you can’t find the words to speak your truth as boldly as you know you need to, this is the book for you. Make no mistake, the path McKernan lays out is simple, but not easy, because your greatest gift lies next to your deepest wounds. Sponsors branded logo will be displayed in the inside front cover of each book.</td>
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### SPONSORSHIP OPPORTUNITIES

#### PRESENTATION/BOOKS SPONSORSHIP OPPORTUNITIES

<table>
<thead>
<tr>
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<th><strong>AVAILABILITY</strong></th>
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</thead>
<tbody>
<tr>
<td><strong>$17,500 SIFMA Member Rate</strong></td>
<td><strong>JONAH BERGER’S BOOK, THE CATALYST: HOW TO CHANGE ANYONE’S MIND</strong></td>
<td><strong>AVAILABLE</strong></td>
</tr>
<tr>
<td><strong>$22,500 Non-Member Rate</strong></td>
<td><strong>COMING OUT MARCH 1, 2020</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Includes: One (1) Reduced Rate</strong></td>
<td><strong>DISTRIBUTED TO YEAR 1 STUDENTS</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Institute Registration</strong></td>
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</table>

**Everyone has something they want to change.** Marketers want to change their customers’ minds and leaders want to change organizations. Startups want to change industries and non-profits want to change the world. But change is hard. Often, we persuade and pressure and push, but nothing moves. Could there be a better way?  

This book takes a different approach. Successful change agents know it’s not about pushing harder, or providing more information, it’s about being a catalyst. Catalysts remove roadblocks and reduce the barriers to change. Instead of asking, “How could I change someone’s mind?” they ask a different question: “Why haven’t they changed already? What’s stopping them?”

*The Catalyst* identifies the key barriers to change and how to mitigate them. You’ll learn how catalysts change minds in the toughest of situations: how hostage negotiators get people to come out with their hands up and how marketers get new products to catch on, how leaders transform organizational culture and how activists ignite social movements, how substance abuse counselors get addicts to realize they have a problem and how political canvassers change deeply rooted political beliefs.

This book is designed for anyone who wants to change someone’s mind. It provides a powerful way of thinking and a range of techniques that can lead to extraordinary results. Whether you’re trying to change one person, transform an organization, or shift the way an entire industry does business, this book will teach you how to become a catalyst.

Sponsors branded logo will be displayed in the inside front cover of each book.

<table>
<thead>
<tr>
<th><strong>BRONZE</strong></th>
<th><strong>SPONSORSHIP</strong></th>
<th><strong>AVAILABILITY</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$10,000 SIFMA Member Rate</strong></td>
<td><strong>MONDAY, MARCH 9 – THURSDAY, MARCH 12, 2020</strong></td>
<td><strong>Monday, March 9</strong></td>
</tr>
<tr>
<td><strong>$15,000 Non-Member Rate</strong></td>
<td><strong>LUNCH &amp; LEARN</strong></td>
<td><strong>3 AVAILABLE</strong></td>
</tr>
<tr>
<td><strong>Includes: One (1) Reduced Rate</strong></td>
<td><em><strong>Exclusive to contracted sponsors, the SII Lunch &amp; Learn sessions offer an opportunity to bring together non-profit organizations that the financial industry supports. The Lunch &amp; Learn sessions will bring awareness to various non-profit organizations that provide financial literacy education and are designed to advocate for the next generation of financial professionals.</strong></em></td>
<td><strong>Tuesday, March 10</strong></td>
</tr>
<tr>
<td><strong>Institute Registration</strong></td>
<td><em><strong>Exclusive to contracted sponsors, the SII Lunch &amp; Learn sessions offer an opportunity to share thought leadership insight to a captive audience in a One-Hour or less Lunch &amp; Learn presentation. A meeting room with standard A/V as well as buffet lunch will be provided to host a maximum of 125 participants.</strong></em></td>
<td><strong>Wednesday, March 11</strong></td>
</tr>
</tbody>
</table>

**Topic, Title and Description will be subject to a pre-approval process by SIFMA.**
## Branding Opportunities

<table>
<thead>
<tr>
<th>Branding</th>
<th>Sponsorship</th>
<th>Availability</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Platinum</strong></td>
<td>SIFMA’s SII Mobile App&lt;br&gt;SIFMA’s approved Mobile App affords the sponsoring firm maximum exposure with branding on the app. The exclusive opportunity is downloadable at no additional cost to all participant’s and contains all program materials such as program agenda, bios, attendee list, list of all sponsors that includes firm descriptions and linked-in/twitter. This enhanced mobile app will also be used for Audience Response System. The SII mobile app is the only form of information available to participants as this is a paperless event.</td>
<td>Sold</td>
</tr>
<tr>
<td><strong>Silver</strong></td>
<td>Branded Water Bottles&lt;br&gt;SIFMA pre-approved co-branded reusable water bottles. Each comes with a handy cleaning tablet and information page on refill stations throughout campus. In addition to the branding exposure on the water bottle itself, your firm’s logo will be on the info page as well as the specialized section of mobile app. The branded water bottles will be provided to all participants during registration.</td>
<td>Available</td>
</tr>
<tr>
<td><strong>Bronze</strong></td>
<td>Monday, March 9 – Thursday, March 12, 2020&lt;br&gt;Branded Energy Booster Afternoon Snacks&lt;br&gt;The energy booster snacks will be located at 3 (three) different locations throughout the campus from Monday–Thursday. The sponsorship would include a variety of branded energy booster snacks for attendees to grab and go as they head to their next class. Signage displayed onsite.</td>
<td>Available</td>
</tr>
<tr>
<td></td>
<td>Mobile Device Charging Sticks&lt;br&gt;SII is a paperless event and therefore all materials are stored on the mobile app. Participants will use the app throughout the day to access session materials and charging sticks will come in very handy during the week. The SIFMA pre-approved co-branded charging sticks allow participants to charge their mobile devices on the go. Charging sticks are produced and provided by the sponsoring firm.</td>
<td>Available</td>
</tr>
<tr>
<td></td>
<td>Hotel Room Branded Key Cards&lt;br&gt;Inn at Penn, Marriott, The Study, Sheraton and Homewood Suites&lt;br&gt;SIFMA pre-approved co-branded hotel room key cards. Attendees will receive cards upon check-in.</td>
<td>Available</td>
</tr>
</tbody>
</table>
# Exellence in Leadership Development

SII 2020

**Sponsorship Opportunities**

TAMARA BAILEY  
Assistant Vice President, Sponsorship Sales, SIFMA | 212-313-1240 | tbailey@sifma.org

<table>
<thead>
<tr>
<th>Branding Opportunities</th>
<th>Sponsorship Opportunities</th>
<th>Availability</th>
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</thead>
<tbody>
<tr>
<td><strong>BRONZE</strong></td>
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<td></td>
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<tr>
<td>$10,000 SIFMA Member Rate</td>
<td>$15,000 Non-Member Rate</td>
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<tr>
<td>Includes: One (1) Reduced Rate Institute Registration</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>ATTENDEE CO-BRANDED TOTE BAG</strong></td>
<td>SIFMA pre-approved co-branded bag provided by sponsoring firm. The bag is an easy and effective way to get your message in the hands of every registered attendee.</td>
<td>AVAILABLE</td>
</tr>
<tr>
<td><strong>BUS TRANSPORTATION (BRANDING OPPORTUNITY)</strong> for Participants via the Marriott, Homewood Suites/ Wharton Campus</td>
<td>Sponsoring firm’s logo placed on each headrest. Get your message in front of the attendees as they ride the shuttle buses to the Wharton Campus each day. All participants use the bus to/from Thursday’s closing night reception</td>
<td>AVAILABLE</td>
</tr>
<tr>
<td><strong>BADGE LANYARD</strong></td>
<td>SIFMA pre-approved co-branded lanyard provided by the sponsoring firm. The lanyards will be worn by all attendees and this is a great way to get your message in the hands of every registered attendee.</td>
<td>SOLD</td>
</tr>
<tr>
<td><strong>BRANDED TRAVEL UMBRELLAS</strong></td>
<td>SIFMA pre-approved co-branded travel umbrellas produced and provided by sponsoring firm, distributed during registration to all participants.</td>
<td>AVAILABLE</td>
</tr>
<tr>
<td><strong>NOTEPADS AND PENS</strong></td>
<td>SIFMA pre-approved branded notepads and pens, provided by the sponsoring firm. This is an easy and effective way to get your message in the hands of every registered attendee.</td>
<td>AVAILABLE</td>
</tr>
<tr>
<td><strong>NEW ALUMINUM OR STAINLESS-STEEL REUSABLE STRAWS</strong></td>
<td>SIFMA pre-approved branded aluminum or stainless-steel reusable straws, provided by the sponsoring firm. Co-branded on outside packaging, environmentally friendly, metal straws, produced by sponsoring firm, distributed to all participants during registration.</td>
<td>AVAILABLE</td>
</tr>
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SIFMA SECURITIES INDUSTRY INSTITUTE 2019 PARTICIPATING FIRMS

Abbot Downing
Advisory Research Investment Management
Alliance Bernstein LP
Ally Financial
Amalga Systems Inc
Ameriprise Financial Services, Inc.
Ameritas Investment Corp
Australian Securities and Investment Commission
Baird
Bank of America Merrill Lynch
Bank of New York Mellon
Benjamin F Edwards & Co.
Bloomberg L.P.
BNY Mellon
Brandywine Global Investment Management
Broadridge Financial Solutions, Inc.
Brown Brothers Harriman (BBH)
California Public Employees Retirement System
Capital One
Carsen Donn
Cboe Global Markets
Cetera Advisor Networks, LLC
Charles Schwab
CIM Group
CIPF
D.A. Davidson Companies
Deloitte & Touche LLP
DST
DTCC
E*TRADE
Edward Jones
EY
Fidelity Investments
Fidelity Capital Markets
Fidelity Clearing & Custody Solutions
Financial Services Institute
First Republic Securities Company
Hartford Funds
Hefren-Tillotson, Inc.
HighTower Advisors
Hilliard Lyons
Hilltop Securities
HSBC
IBM
Intercontinental Exchange
Investment Placement Group
J.J.B. Hilliard, W.L. Lyons, LLC
Janney Montgomery Scott
Jennison Associates
John Hancock
Kevin Lynch
Legg Mason
Lincoln Financial
Lloyds Securities Inc.
LPL Financial
MassMutual Financial Group
Merrill Lynch / Bank of America
MML Investors Services, LLC
Moors & Cabot, Inc.
Morgan Stanley
NFS, LLC
Northwestern Mutual
OCC
Pacific Life
Pershing LLC, a BNY Mellon Company
PFS Investments
PIMCO
Pricewaterhousecoopers (PwC)
Primerica
Raymond James
RBC Capital Markets
RBC Wealth Management
Refinitiv
Scivantage
ScotiaWealth
Scout Investments
Securities Commission of The Bahamas
Securities Services, HSBC
Singapore Exchange Limited
Sloan Investment Management
State Street Bank
State Street Corporation
Stephens
Surety & Fidelity Association of America
Talisys
Tata Consultancy Services - TCS BaNCS
TD Ameritrade
Texas Capital Bank
The Securities Commission of The Bahamas
The Vanguard Group
Total Bank Solutions
Tradeweb Direct, LLC
Tristate Capital
U.S. Trust
UBS
US Trust
Vanguard
Wells Fargo
Westpac Banking Corporation
Woodbury Financial Services