



INTERNAL AUDIT ANNUAL CONFERENCE

HOSTED BY
SIFMA'S INTERNAL AUDITORS SOCIETY

OCTOBER 27–30, 2019

Eden Roc Miami Beach, Miami Beach, FL

SPONSORSHIP OPPORTUNITIES

INTERNAL AUDIT ANNUAL CONFERENCE

AUDIT AND RISK 20/20 • OUR VISION FOR THE FUTURE

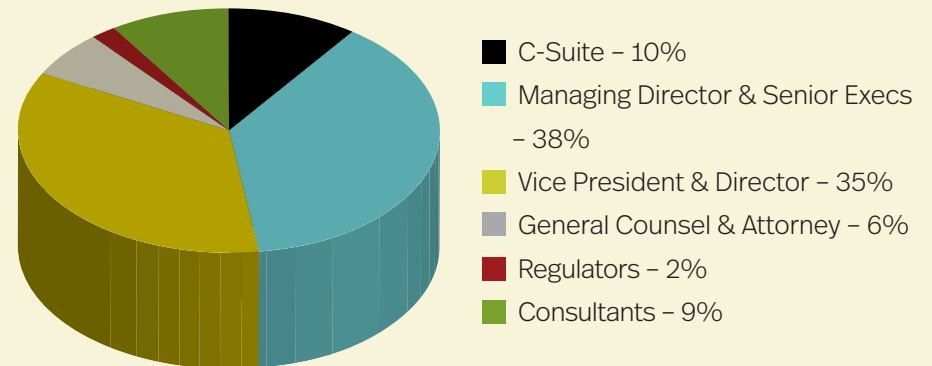
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The SIFMA Internal Auditors Society (IAS) Annual Conference will examine the rapid pace of change driven by technology and innovation, shifts in geopolitical landscape, changes in regulatory focus, and dynamic workforce demographics are having an unprecedented effect on all areas of the securities industry.

This year's SIFMA IAS Annual Conference will convene internal audit, risk management and compliance professionals to discuss the challenges of managing risk in a disruptive environment and share perspectives on how firms are addressing them.

THE TARGET AUDIENCE – Senior Executives representing SIFMA Member Firms, as well as regulators, responsible for Internal Audit, Risk Management and Compliance. Anticipated number of participants: 150+

IAS ANNUAL CONFERENCE 2018 ATTENDEE PROFILE



WHERE GREAT MINDS REUNITE

Lectures. Networking. Market Intelligence.

52

A Prestigious
List of Over
52 Speakers

23

23 Essential
Panels for
Senior Executives

150+

150+ Industry
Leaders
Participating

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PLATINUM



MetricStream

protiviti®
Face the Future with Confidence

GOLD



Deloitte.



SILVER

accume partners

CROSSCOUNTRY
CONSULTING



Michael Page



CONTRIBUTOR



SIQpartners



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SPONSORSHIP PACKAGES	DIAMOND	PLATINUM	GOLD	SILVER
Pre-Conference Benefits				
Prominent placement of logo on SIFMA's dedicated sends throughout the 9-month marketing campaign	✓	✓	✓	✓
Opportunity to link thought leadership to the event website	✓	✓	✓	✓
Preliminary mailing lists of all seminar participants who opt in to share their contact details will be sent in electronic format, in advance of the conference	✓	✓	✓	✓
Day of Conference				
Total Complimentary Registrations Offered	3	2	1	1
Promotional brochure in a pdf format, included on Digital eGuide	✓	✓	✓	✓
Dedicated Sponsor Listing placed on the IAS Annual Conference 2019 Website & Digital eGuide	✓	✓	✓	✓
Dedicated Sponsorship Listing by Tier in print & digital format, placed in various locations at the hosted venue	✓	✓	✓	✓
Dedicated Tabletop exhibit area	✓	✓	✓	✓
Post-Conference				
Company listing and firm description on the IAS Annual Conference 2019 Conference website	✓	✓	✓	✓
Company mailing lists of all conference attendees who opt in to share their contact details, sent in excel format at conclusion of the conference	✓	✓	✓	✓
Acknowledgment of sponsorship, with placement of logo on all post-event marketing & survey email campaigns that include "SIFMA Thank You for Attending" and "Survey" emails	✓	✓	✓	✓
2019 Sponsorship Cost	\$20,000 SIFMA Member Rate \$22,500 Non-Member Rate	\$11,000 SIFMA Member Rate \$12,000 Non-Member Rate	\$7,500 SIFMA Member Rate \$8,500 Non-Member Rate	\$6,500 SIFMA Member Rate \$7,500 Non-Member Rate



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DIAMOND	SPONSORSHIP	AVAILABILITY
<p>INVESTMENT \$20,000 SIFMA MEMBER RATE \$22,500 NON-MEMBER RATE</p> <p>Three (3) Complimentary Conference Registrations (Value \$4,485 member/\$5,085 non-member)</p> <p>Table Display & Two (2) Table Personnel Registrations</p>	<p>Closing Dinner</p> <p>Networking dinner that includes prominent brand recognition, open bar and dinner set in a relaxed atmosphere offering attendees the opportunity to network one-on-one with industry colleagues and potential prospects. The dinner will include a theme that will provide a Miami flair setting.</p>	<p>AVAILABLE Tuesday, October 29, 2019</p>

To reserve your sponsorship, please contact:

Tamara Bailey | 212.313.1240 | tbailey@sifma.org
Assistant Vice President, Sponsorship Sales | SIFMA

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PLATINUM	SPONSORSHIP	AVAILABILITY
INVESTMENT \$11,000 SIFMA MEMBER RATE \$12,000 NON-MEMBER RATE Two (2) Complimentary Conference Registrations (Value \$2,990 member/\$3,390 non-member) Table Display & Two (2) Table Personnel Registrations	General Session Audio Visual Exclusive sponsorship of the General Session Audio Visual will provide your firm with prominent brand recognition on General Session slide deck, signage and program material. This is a unique opportunity for your firm to be branded via the highest-attended session at the conference. Opportunity to provide a seat drop.	SOLD Monday – Wednesday, October 28–30, 2019
	Welcome Reception Networking reception that includes prominent brand recognition, open bar and hors d'oeuvres set outside (*weather permitting) offering attendees the opportunity to network one-on-one with industry colleagues pre the event.	AVAILABLE Sunday, October 27, 2019
	Networking Lunch Networking Buffet Lunch that includes prominent brand recognition, buffet stations along with refreshments served outdoors (*weather permitting), allowing for one-on-one networking opportunities with industry colleagues and prospects. Opportunity to provide a seat drop.	SOLD Monday, October 28, 2019 SOLD Tuesday, October 29, 2019
	Networking Reception Networking reception that includes prominent brand recognition, open bar and hors d'oeuvres set in a relaxed atmosphere offering attendees the opportunity to network one-on-one with industry colleagues.	AVAILABLE Monday, October 28, 2019 AVAILABLE Tuesday, October 29, 2019

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GOLD	SPONSORSHIP	AVAILABILITY
INVESTMENT \$7,500 SIFMA MEMBER RATE \$8,500 NON-MEMBER RATE One (1) Conference Registration (Value \$1,495 member/\$1,695 non-member) Table Display & Two (2) Table Personnel Registrations	Continental Breakfast Networking Continental Breakfast, that includes prominent brand recognition and a variety of breakfast options, allowing for one- on-one networking opportunities with industry colleagues and prospects.	SOLD Monday, October 28, 2019 SOLD Tuesday, October 29, 2019 AVAILABLE Wednesday, October 30, 2019
	Co-Branded Hotel Room Key Cards Co-Branded Hotel room key cards produced by SIFMA, distributed to all participants as they check-in their hotel rooms and includes sponsoring firm logo printed on the face of each card.	SOLD
	Cell Phone Re-Charge Zone This promotion opportunity will allow your company to be branded on the charging station kiosk as well as via video messaging. The recharge zone will be strategically placed within the sponsor showcase area.	SOLD
	Attendee WIFI Complimentary WIFI usage for all IAS Annual Conference participants during the event sessions available for the duration of the event. Offering sponsoring firm an opportunity to customize the password for access as well as acknowledgment of sponsoring firm on program materials and print and digital signage.	SOLD

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	Aluminum or Stainless Steel Reusable Straws NEW Co-branded on outside packaging, environmentally friendly metal straws, produced and provided by sponsoring firm, distributed to all participants during registration.	AVAILABLE
	Fruit Infused Hydration Stations NEW In an effort to Go-Green water stations will feature sponsoring firm's logo on cups and placed in a visible location.	SOLD

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SILVER	SPONSORSHIP	AVAILABILITY
INVESTMENT \$6,500 SIFMA MEMBER RATE \$7,500 NON-MEMBER RATE One (1) Conference Registration (Value \$1,495 member/\$1,695 non-member) Table Display & Two (2) Table Personnel Registrations	Welcome Refreshments Welcome Networking refreshment break, that includes prominent brand recognition and a variety of healthy snacks, allowing for one-on-one networking opportunities with industry colleagues prior to the event kick-off.	AVAILABLE Sunday, November 4, 2019
	Morning and Afternoon Networking Refreshments Networking refreshment break, that includes prominent brand recognition and a variety of healthy snacks, allowing for one-on-one networking opportunities with industry colleagues.	SOLD Monday, October 28, 2019 Morning AVAILABLE Tuesday, October 29, 2019
	Morning Networking Refreshments Networking refreshment break, that includes prominent brand recognition and a variety of healthy snacks, allowing for one-on-one networking opportunities with industry colleagues.	AVAILABLE Wednesday, October 30, 2019

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INVESTMENT \$6,500 SIFMA MEMBER RATE \$7,500 NON-MEMBER RATE One (1) Conference Registration (Value \$1,495 member/\$1,695 non-member) Table Display & Two (2) Table Personnel Registrations	Printed Pocket Guide and Digital Event Guide Printed Pocket Guide that offers sponsoring firm full-page ad that is strategically placed on the outside back cover. The sponsor's banner ad will be featured on screen and linked to an ad online (PDF). The digital eGuide includes a program, schedule, list of speakers and their bios, sponsoring firms with their company profiles and complete list of participants. In addition, the eGuide is a takeaway item and has proven to be referenced after the event.	SOLD
	Co-Branded Tote Bag SIFMA approved co-branded tote bag produced by sponsoring firm and distributed with registration materials to all conference participants during registration.	AVAILABLE
	Co-Branded Badge Lanyard SIFMA approved co-branded lanyard produced by sponsoring firm and distributed with registration materials to all conference participants during registration.	SOLD
	Notepads and Pens An easy effective way to get your message in the hands of every registered attendee. Popular hard-covered co-branded notepads produced and provided by sponsoring firm and distributed to all attendees at registration. Place your logo on the cover of the notepad and get exposure at and beyond the conference as attendees return to their offices and desks to review important notes, memory-joggers and names of contacts.	AVAILABLE

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SIFMA IAS Annual Conference Sponsorship Package offers maximum value with:

- Complimentary Full Conference Registration(s) (up to a \$1,495 Member/\$1,695 Non-Member value!) per sponsorship
- Access to food and networking events
- Table display & Two (2) table personnel registrations
- Online Directory listing, including company description
- Complete mailing list of all conference attendee who opt in to share their contact details, sent in excel format and is sent pre- and post the conference
- Company listing and description in the Digital eGuide
- Company listing and description on event website
- Company listing on sponsorship signage placed throughout venue
- Sponsor acknowledgment during Opening General Session



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SIFMA IAS ANNUAL 2018 PARTICIPATING FIRMS

ACA Compliance Group
ACA Technology Surveillance
Accume Partners
AllianceBernstein L.P.
American Stock Transfer & Trust Company, LLC
Ameriprise Financial, Inc.
Ameriprise Financial, Inc.
Apollo Global Management, LLC
Ares Management LLC
Assurant, Inc.
Banca IMI Securities Corp.
Bank of America Merrill Lynch
Berdon LLP
BitSight Technologies
Bloomberg L.P.
BNY Mellon
Cadaret, Grant & Co., Inc.
Charles Schwab & Co., Inc.
Chubb Corporation
Citi
Citigroup Inc.
CLS Bank International
CrossCountry Consulting
Daiwa Capital Markets America Inc.
Deloitte
Deutsche Bank AG
Deutsche Bank Securities Inc.
Deutsche Bank Trust Company Americas
DTCC
E*Trade
E*TRADE Financial Corp.

eDelta Consulting, Inc.
Edward D. Jones & Co., L.P.
EisnerAmper LLP
Ellevest
Ernst & Young LLP
Federal Reserve Bank of New York
Financial Industry Regulatory Authority Inc (FINRA)
Focal Point Data Risk, LLC
Franklin Templeton Investments
FS-ISAC Sheltered Harbor
Goldman Sachs & Co. LLC
Guggenheim Partners, LLC
HSBC Securities (USA) Inc.
Jackson National Life Insurance Company
JPMorgan Chase & Co.
KKR
Kohlberg Kravis Roberts & Co. L.P.
KPMG LLP
Kramer Levin Naftalis & Frankel LLP
Lloyds Banking Group
LPL Financial LLC
MarketAxess Corporation
Mesirow Financial, Inc.
MetricStream, Inc.
Mitsubishi UFJ Financial Group, Inc. (MUFG)
Morgan Stanley
Morgan Stanley & Co. LLC
MUFG Union Bank, N.A.
Northern Trust Securities, Inc.
Oaktree Capital Management, L.P.
Pershing LLC, a BNY Mellon Company

Pinnacle Financial Partners
Piper Jaffray & Co.
Principal Financial Group, Inc.
Promontory, an IBM Company
Protiviti Inc
Prudential Financial, Inc.
PwC
Raymond James Financial, Inc.
Resources Global Professionals
Royal Bank of Canada (RBC)
RSM US LLP
State Street Corporation
Stifel Financial Corp.
Synchrony Financial
TD Ameritrade Holding Corporation
TD Ameritrade, Inc.
Teneo Intelligence
The Carlyle Group
The Santa Fe Group
TIAA
TPG Global, LLC
U.S. Bancorp
U.S. Bank
UBS AG
UBS Wealth Management
Vanguard Group, Inc.
Wedbush Securities Inc.
Wells Fargo & Company
William Blair