



FINANCIAL MANAGEMENT SOCIETY

NATIONAL CONFERENCE ON THE SECURITIES INDUSTRY

OCTOBER 16-17, 2019 | NEW YORK LAW SCHOOL, NYC



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AxiomSL is a global leader in risk data management and regulatory reporting solutions, covering all regulatory bodies (SEC, FINRA, CFTC, NFA, FRB, UST and BEA etc.) on one strategic platform which seamlessly integrates the firm's source data across all departments while addressing external regulatory reporting requirements and internal reporting needs.

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Our experienced professionals are highly in tune with the intricacies of broker-dealer tax issues as well as assurance and consulting requirements. We are not only adept at proactively guiding clients through complexities of the rapidly shifting regulatory environment, but also shape broader industry guidance through our involvement in many industry organizations.

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