



FINTECH

TECHNOLOGY, INNOVATION AND SOLUTIONS
SEPTEMBER 24-25, 2019 | SIFMA CONFERENCE CENTER, NYC



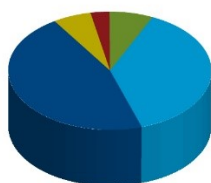
Confirming SIFMA’s Third Annual FinTech Conference, scheduled for September 24-25, 2019 in SIFMA’s Conference Center, New York City, we invite you to participate as a Supporting Sponsor. The event promises to attract senior industry executive and technology leaders from SIFMA members and partners for an event which will explore how FinTech and emerging technologies can reshape the securities industry.

Join innovators and industry leaders at the SIFMA Fintech Conference, who will discuss emerging technologies and how existing regulatory frameworks can accommodate these new developments. Experts will discuss how transformative technologies are moving beyond the lab and the risk and compliance considerations that need to be addressed to ensure successful implementation.

Panelists and speakers will explore key areas where FinTech is transforming the securities industry, including:

- Machine Learning and AI
- Smart Contracts
- Changing Regulatory Approaches to FinTech
- Risk management and oversight of new technologies

SIFMA FinTech 2018 ATTENDEE PROFILE



- C-Suite - 7%
- Managing Director and Senior Executive - 38%
- Vice President and Director - 46%
- General Counsel - 6%
- Regulator - 3%

Diamond Sponsorship Opportunities 2 Reserved – 3 Available

Investment: \$25,000 – SIFMA Member Rate

\$30,000 - Non-Member Rate

Diamond Sponsorship Benefits

- Speaking platform (panelist/moderator) for a single business head/senior executive.
- Private Meeting Room to host a private 1:1 meeting, Client demo's and pre-event sessions that will be promoted on the program agenda. Diamond sponsors are offered an additional opportunity to engage with conference attendees in advance of scheduled programming sessions. During this time, Diamond sponsors can meet with participants ahead of the start of conference programming over lunch and any content you would like to present within your meeting rooms. This is an opportunity to bring in guest speakers, organize a workshop, or just host conversations with attendees over lunch and or breakfast on day two.
- Co-Sponsorship of VIP Dinner (attendees include members of SIFMA's Tech Roundtable, conference speakers, and selected senior level conference attendees) acknowledgement of sponsorship which includes two (2) seats at the VIP Dinner, scheduled for Tuesday, September 24th.
- Buffet Lunch on Tuesday & Continental Breakfast on Wednesday, September 24 & 25, will be provided by SIFMA, placed within each meeting room assigned to Diamond Sponsors. Sponsor Sessions hosted within each private meeting room are only open to Conference Registrants not affiliated with the hosting Sponsor.
- Three (3) Complimentary Conference Registrations.

Platinum Sponsorship Opportunities

\$15,000 - Member Rate

\$20,000 - Non-Member Rate

Three (3) Complimentary Conference Registrations

Sponsorship: SIFMA FinTech Viewing Lounge – Reserved

The Fintech Viewing Lounge is designed to be a relaxed, informal viewing area, offering attendees the opportunity to come together and view General Session Speakers in an setting with comfortable seating allowing or 1:1 conversations and networking with attendees. The viewing area also offers additional branding opportunities within the for the sponsoring firm.

- **Sponsorship: Networking Cocktail Reception, Tuesday, September 24 – Available**
Networking Cocktail Reception, offering sponsoring firm prominent brand recognition, with an opportunity for attendees to come together and catch up on the day's events.

Gold Sponsorship Opportunities

\$10,000 - Member Rate

\$15,000 - Non-Member Rate

Two (2) Complimentary Conference Registrations

- **Sponsorship: Attendee Lanyard – Available**

What better way to leave an impression than to see 500+ Financial Services Executives wearing your firm's name/logo around their neck for the duration of the Annual Meeting? Name badge lanyards are worn around the neck of each attendee to gain access to all sessions.

** Co-Branded with SIFMA, pre-approved lanyards are produced by sponsoring firm and distributed with registration materials to all conference participants. Charger Cord Lanyards Suggested.*

- **Sponsorship: Re-Charge Zone – Available**
Co-Branded as a Proud Supporter of SIFMA, The Annual Meeting Charging Station is set in the Sponsor Showcase area offering participants the option to charge their personal devices while attending sessions or networking throughout the event.
- **Sponsorship: Digital Symposium eGuide & Wi-Fi For Attendees – Available**
SIFMA Digital Symposium Guide is the go-to resource to which attendees will turn for information on sponsors, symposium schedule, session descriptions, speaker bios and much more. Deadline applies. Wi-Fi Branding will be on signage in various outlets within the conference center, acknowledging sponsoring firm as the Wi-Fi Sponsor.

SIFMA's FinTech Sponsorship Package offers maximum: Pre-

Pre-Conference Benefits:

- Pre-Conference marketing exposure listing company name, prominent placement of logo and firm profile on SIFMA's FinTech Conference website.
- Preliminary mailing lists of all conference participants who don't opt out during the registration process, sent in electronic format three (3) weeks in advance of the Conference which will include participant name, title, firm affiliation and postal address.

Days of Conference Benefits:

- Complimentary conference registration(s)
- Prominent brand recognition during the sponsored function (*if applicable*), with logo displayed on dedicated signage, that includes both print and digital formats, strategically placed in various outlets that include registration area, general session slide deck, etc.
- Dedicated sponsor listing placed on the Digital Conference eGuide, branding company logo, listing contact name and company profile.
- Company listing and description on Conference website.

Post- Conference Benefits:

- Listing company name, placement of logo and firm profile on SIFMA's FinTech Conference website, following the Conference for an additional three (3) months.
- Complete mailing lists of all conference participants in electronic format sent at the conclusion of the conference.
- Sponsor recognition on "Thank You for Attending" and "Survey" emails.

To confirm your sponsorship, please contact:

Diana Serri
Vice President, Head of Exhibit & Sponsorship Sales
SIFMA
212.313.1258, dserri@sifma.org □

Participating Firms – SIFMA FinTech 2018

Accenture LLP	DTCC
Accern	E*Trade
AllianceBernstein L.P.	E*TRADE Financial Corp.
Ameriprise Financial, Inc.	Edward D. Jones & Co., L.P.
Arria NLG	Ernst & Young LLP
Bank of America Merrill Lynch	Federal Deposit Insurance Corporation (FDIC)
Barclays	Federal Reserve Bank of New York
BlackRock	Fidelity Capital Markets
Bloomberg L.P.	Fidessa Corporation
BMO Harris Bank	Financial Industry Regulatory Authority Inc (FINRA)
BNP Paribas	Financial Information, Inc.
BNP Paribas Securities Corp.	French Treasury
BNY Mellon	FTN Financial
Bottomline Technologies	Funding Circle
Bressler, Amery & Ross, P.C.	Futures Industry Association
Broadridge Financial Solutions, Inc.	Global Financial Markets Association (GFMA)
Capital One, N.A.	GlobeTax
Charles Schwab & Co., Inc.	Goldman Sachs & Co. LLC
Charles Schwab Corporation	Goldman Sachs Group, Inc.
Citi	Greenberg Traurig, LLP
Citigroup Inc.	Greenwich Associates, LLC
Clifford Chance US LLP	HSBC Securities (USA) Inc.
Commerz Markets LLC	IBM Corp
Computershare Inc.	IBM Global Business Services
Continental Advisor LLC	International Fund Services (NA) LLC, a State Street Company
Credit Suisse Group AG	J.P. Morgan Securities LLC
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Deloitte	L. Hennessey Associates
Deloitte & Touche LLP	Liquidnet, Inc.
Deloitte Advisory	LPL Financial Holdings Inc.
Delta Data	Macquarie Group
Depository Trust & Clearing Corporation (DTCC)	Manhattan College
DLA Piper LLP (US)	MarketAxess Corporation
DoubleLine Capital LP	MarketAxess Holdings Inc.
	McDermott Will & Emery LLP

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Merrill Lynch Wealth Management
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Mizuho Bank, Ltd.
Mizuho Securities USA LLC
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Morgan Stanley
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Morgan, Lewis & Bockius LLP
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Navigant Consulting, Inc.
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NRI
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Polsinelli PC
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Promontory, an IBM Company
Prudential Financial, Inc.
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Raymond James & Associates, Inc.
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State Street Corporation
Stephens Inc.
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