



GOLD

Morning Networking Refreshments

Sponsored by

McGUIREWOODS

McGuireWoods LLP is a leading international law firm with 1,100 lawyers in 22 offices worldwide. It ranks as the top firm in the business of law in Financial Times' prestigious North America Innovative Lawyers report. The firm has been recognized 13 times on BTI Consulting's "Client Service A-Team" — elite firms singled out for client service excellence based on unprompted feedback from clients in major companies. Its full-service public affairs arm, McGuireWoods Consulting LLC, offers infrastructure and economic development, strategic communications and grassroots advocacy, and government relations solutions.

Contact: McGuireWoods LLP | Gateway Plaza, 800 East Canal Street, Richmond, Virginia 23219
804.775.1357 | mscully@mcguirewoods.com | www.mcguirewoods.com

Learn more about [McGuireWoods LLP](#) (PDF)

Future Leaders Refreshments & Reception

Sponsored by

Moore&VanAllen

With over 300 lawyers and other professionals, more than 130 of whom regularly serve financial services clients, Moore & Van Allen offers a comprehensive suite of world class legal services with significant value. Our internationally capable White Collar & Investigations Group regularly leads responses to government investigations across North and South America, Europe and Asia. Our Regulatory Advisory Group represents financial services firms in matters central to their relationships with their key regulators, including addressing supervisory criticism, recovery and resolution planning, board governance, CCAR and data privacy. Our trial lawyers litigate cases, including class actions, on a wide array of topics for our financial services clients ranging from lending and securities disputes to claims involving ERISA, employment or intellectual property matters. Across Moore & Van Allen's platform, we build relationships based on partnership, listening, hard work, value and common sense.

Contact: Moore & Van Allen PLLC | 100 North Tryon Street, Suite 4700, Charlotte, NC 28202
704.331.1000 | www.mvalaw.com

SILVER

Continental Breakfast

Sponsored by

BRESSLER
AMERYROSS

For more than 35 years, Bressler, Amery & Ross, P.C. has provided its financial services industry clients with a national litigation, enforcement, and advisory practice, encompassing a wide range of securities and employment matters. With offices in New York, New Jersey, Florida, and Alabama, Bressler's Securities Practice Group is one of the largest in the nation, with over 85 experienced attorneys representing global, national, regional and independent broker-dealers, investment advisors and other financial institutions. Our firm successfully represents clients in federal and state courts, as well as before arbitration panels, regulatory agencies, and self-regulatory organizations. In addition, we have broad expertise conducting internal investigations and counseling clients on domestic and international regulatory, compliance, operational and transactional matters. We work closely with in-house counsel and business clients to develop cost-effective, thoughtful and tailored case strategies which we then implement with excellence and efficiency.

Contact: Andrew W. Sidman, Principal, Bressler, Amery & Ross, P.C., 17 State Street, New York, NY 10004
212.425-9300 | asidman@bressler.com | www.bressler.com

SPONSOR

Afternoon Networking Refreshments

Sponsored by

B A S S
B E R R Y 
S I M S

With more than 280 attorneys representing numerous publicly traded companies and Fortune 500 businesses, Bass, Berry & Sims has been involved in some of the largest and most significant litigation matters, investigations and business transactions in the country. For more information, visit www.bassberry.com.

Contact: Chris Lazarini, Member, Bass, Berry & Sims | 901.543.5702 | clazarini@bassberry.com

Learn more about [Bass, Berry & Sims](#) (PDF)

Luncheon

Sponsored by



Greenberg Traurig has one of the broadest and deepest broker-dealer practices in the country, with team members located in key geographic locations nationwide. We help our clients formulate strategies and take steps to limit litigation and regulatory risk. We regularly serve as lead defense counsel in complex, high stakes enforcement matters before the SEC, FINRA and state regulators. Our trial experience in court and arbitration across the nation is unparalleled: the members of our team have collectively tried to conclusion well over one thousand matters involving a wide variety of issues facing the financial services industry. The value we can provide is enhanced by our commitment to work closely with attorneys in related disciplines throughout the firm.

Contact: Miriam (Mimi) G. Bahcall, Shareholder | 312.476.5135 | bancallm@qtlaw.com | Steven M. Malima, Shareholder | 312.476.5133 | malinas@qtlaw.com | Greenberg Traurig | <https://www.qtlaw.com/en>

Learn more about [Greenberg Traurig, LLP](#) (PDF)

Networking Reception

Sponsored by



The financial services industry has a large presence in St. Louis, which is home to several of the country's largest broker-dealers. Greensfelder is widely recognized within this group, having represented St. Louis firms for more than 20 years as well as several other firms throughout the nation. We represent firms in the Midwest and nationwide, litigating securities-related claims in federal and state courts and in arbitration. We defend brokerage firms in class actions, claims filed by multiple plaintiffs or claimants involving product failures and allegations of broker unapproved outside business activity, as well as claims that arise in connection with a broker's termination, including defamation, discrimination and wrongful termination claims. We routinely enforce arbitration provisions through motions to compel arbitration. We also regularly represent firms in connection with regulatory inquiries, investigations and enforcement actions including negotiating and defending cases filed by state, federal and industry regulators.

Contact: Donald D. McBride, Officer, Greensfelder, Hemker & Gale, P.C., 10 S. Broadway, Suite 2000 St. Louis, MO 63102 | 314.335.6810 | ddm@greensfelder.com | www.greensfelder.com

Learn more about [Greensfelder](#) (PDF)