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OPS2019

MAY 6–9, 2019 | BOCA RATON, FLORIDA

EXHIBITORS

BNY MELLON

Booth #311

BNY Mellon is a global investments company dedicated to helping its clients manage and service their financial assets throughout the investment lifecycle. Whether providing financial services for institutions, corporations or individual investors, BNY Mellon delivers informed investment management and investment services in 35 countries. As of December 31, 2018, BNY Mellon had $33.1 trillion in assets under custody and/or administration, and $1.7 trillion in assets under management. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Follow us on Twitter @BNYMellon or visit our newsroom at www.bnymellon.com/newsroom for the latest company news. BNY Mellon's Pershing and its affiliates provide a comprehensive network of global financial business solutions to advisors, broker-dealers, family offices, hedge fund and '40 Act fund managers, registered investment advisor firms and wealth managers. Many of the world's most sophisticated and successful financial services firms rely on Pershing for clearing and custody; investment, wealth and retirement solutions; technology and enterprise data management; trading services; prime brokerage and business consulting. Pershing helps clients improve profitability and drive growth, create capacity and efficiency, attract and retain talent, and manage risk and regulation. With a network of offices worldwide, Pershing provides business-to-business solutions to clients representing approximately 7 million investor accounts globally. Pershing LLC (member FINRA, NYSE, SIPC) is a BNY Mellon company.

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Learn more about BNY Mellon

Broadridge

Booth #306

Broadridge, a global Fintech leader with over $4 billion in revenues, provides communications, technology, data and analytics. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. With more than 50 years of experience, Broadridge's infrastructure underpins proxy voting services for 90 percent of public companies and mutual funds in North America, supports security processing in over 80 countries including over $5 trillion in fixed income and equity trades per in North America, and connects more than 5,000 leading brands. Our unique vantage point at the center of the industry enables us to see ahead to help clients navigate change, make confident decisions and win in a complex landscape — and we earn their confidence every day with our consultative, proactive approach. Broadridge helps our clients get ahead of today's challenges and capitalize on what's next.

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Learn more about Broadridge

CGI

Booth #106/110

CGI Wealth360 is an end-to-end solutions suite that helps wealth managers anticipate change, offer creative options and increase efficiencies in managing their clients' investments by optimizing front-office sales, middle-office decision-making and back-office administration.

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Learn more about CGI

cgi

COMGRAPHICS, INC

Booth #107

Founded in 1980, CGI has evolved into an industry leader and comprehensive provider for processing of all documents/reporting related to this industry. Our diversified document solutions encompass a full array of both hard copy and web-based offerings that can be implemented rapidly and seamlessly. These dynamic solutions allow our client base the ability to provide their clients with completely customized documents, the highest production quality, and speedy delivery-all at a very competitive cost. CGI's offerings include custom programming/formatting, file processing, high speed/high quality laser imaging, a full range of fulfillment services, PDF rendering, and online web hosting services. Document archiving in various formats is also available. We also specialize in all tax document related services. CGI strives to be and has been most successful at becoming a true extension of the firms we serve. This philosophy, combined with our extensive knowledge of various data formats, and processing experience, allows our clients to have complete confidence in outsourcing the processing of their most important client data.

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http://www.cgichicago.com

Learn more about ComGraphics

Booth #302

CUSIP® is the universally accepted standard for uniquely classifying financial instruments across institutions and exchanges worldwide. The CUSIP system provides identification and descriptive information for over 58 million financial instruments supporting all phases of securities processing and analysis. From the front-office to the back-office, financial professionals rely on the origin and accuracy of CUSIP information to run their businesses smoothly and reliably.

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Learn more about CUSIP

Booth #500

Financial institutions of all sizes and scale partner with Deloitte to transform complex business challenges into opportunities for growth, resilience, and long-term advantage. As the emergence of new technologies are re-shaping the operations function, these technologies present limitless possibilities for the broker-dealer industry and have the potential to significantly transform, modernize and shape the future of work within operations. Operations executives have a critical role in driving this transformation for their organization and Deloitte can help ensure preparedness in a disrupted environment. Deloitte's Financial Services practice comprises a global network of more than 40,000 professionals – bringing together diverse and experienced teams from across the industry and around the globe. In the US, our banking and securities experts deliver comprehensive, integrated solutions to help you successfully navigate today's complex business landscape.

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Learn more about Deloitte

DFIN | mediant

Booth #202

Donnelley Financial Solutions (DFIN) is a leading global risk and compliance company. We provide insightful technology, industry expertise and data insights at every stage of business and investment lifecycles. As markets fluctuate, regulations evolve and technology advances, we deliver confidence with the right solutions in moments that matter. Our FundSuiteArc platform and its products – ArcFiling, ArcMarketing, ArcPro and ArcReporting – provide end-to-end solutions to our Global Investment Markets clients. These solutions help our clients create, manage and produce offering documents, financial reports and marketing communications, and file their data and information accurately and confidently with regulators.

Mediant is reimagining investor communications for companies who want better relationships with their clients. Our Broker Solutions enable broker-dealers to effectively manage proxy, post-sale prospectus, regulatory and voluntary corporate actions, with complete transparency and compliance. Powered by our 24/7 MIC platform and supported by our exceptional, client-first approach, Mediant helps brokers take control of investor communications in a seamless way.

We invite you to discover why so many firms are moving to smarter, better and faster technology by choosing DFIN and Mediant.

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Contact: Robin Brown, Mediant | 212.440.6802 | rbrown@mediantonline.com | www.mediantinc.com

Learn more about DFIN & Mediant

doxim

Booth #204

Doxim is a leading provider of SaaS customer engagement software for wealth management firms, banks, and credit unions. Doxim's Customer Engagement Platform helps transform client experience, communicate effectively throughout the client lifecycle, and improve cross-sell and upsell activities that drive portfolio growth and wallet share. The platform addresses key digitization challenges, from automated client onboarding, through improved, personalized communications to anytime, anywhere content access, tailored to a client's channel preferences. This eliminates costly traditional paper-based, manual processes and enables cost-effective provision of an omni-channel experience that improves client long-term loyalty and drives growth.

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http://www.doxim.com

Learn more about Doxim

Dreyfus | BNY MELLON

Booth #309

BNY Mellon Cash Investment Strategies (CIS), a division of The Dreyfus Corporation, is one of the industry's leading institutional managers of money market strategies. We provide institutional investors and intermediary's access to a broad array of domestic and offshore money market funds offering funds in all major asset categories, including prime, treasury, U.S. government, national and state-specific municipals and AMT-free municipals. Each money market fund combines the strength of our tenured investment management team with fundamental credit research and market risk oversight. CIS' investment professionals utilize an interactive, team-based decision-making approach that strives to deliver sound results in various market cycles. With a strong client-focus culture, we adhere to the highest ethical standards and our goal is to consistently build a foundation of trust with all of our investment partners.

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Learn more about Dreyfus

EY Building a better working world

Booth #200

EY is a leader in serving the financial services industry. The global network of EY firms understands the importance of asking great questions. It's how you innovate, transform and achieve a better working world. Finance and capital markets fuel our lives. No other sector can touch so many people or shape so many futures. That's why globally our network includes 26,000 people who focus on financial services. Our financial services teams are dedicated to providing assurance, tax, transaction and advisory services to the banking and capital markets, insurance, and wealth and asset management sectors. It's our global connectivity and local knowledge that ensures we deliver the insights and quality services to help build trust and confidence in the capital markets and in economies the world over. The better the question. The better the answer. The better the world works.

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Learn more about EY

eClerx Markets

Booth #201

For financial organizations across the world, eClerx Markets, offers consulting, technological innovation, and process management expertise to uniquely solve operational challenges. With nearly two decades of industry experience complemented by the application of smart automation and robotics, our team of experts deliver holistic solutions across the trade life cycle, change management, data analytics, compliance, cash securities operations, document digitization and generation, and outreach.

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http://www.eclerxmarkets.com

Learn more about eClerx Markets

Fannie Mae

Freddie Mac

Booth #502

The Single Security is a joint initiative between Fannie Mae and Freddie Mac (the Enterprises), under the direction of FHFA, to develop a single mortgage-backed security. The Enterprises will align key components of Fannie Mae's mortgage-backed securities (MBS) and Freddie Mac's participation certificates (PCs). The goal is to bring additional liquidity and fungibility to the "To-Be-Announced" (TBA) market and to reduce or eliminate the trading disparities that exist today between the Enterprises' TBA securities. The components of the Single Security initiative include aligning (1) key features, which will mainly follow Fannie Mae's MBS structure; (2) investor disclosures, which will generally follow Freddie Mac's PCs; and (3) certain policies and practices related to the removal of loans from securities. In addition, the Single Security effort will allow investors to exchange their legacy 45-day Freddie Mac Participation Certificates (PCs) for new 55-day Single Securities issued by Freddie Mac. The new uniform MBS, "UMBS," will be issued by both Enterprises. The go-live date is June 3, 2019 and forward trading has already commenced. Please stop by the Single Security booth to learn more, ask questions and determine your firms' and/or your clients' readiness. You don't want to be left behind!

Contact: www.fanniemae.com | www.freddiemac.com

Learn more about Fannie Mae & Freddie Mac

FIDELITY CLEARING & CUSTODY SOLUTIONS®

FIDELITY | CORPORATE ACTIONS SOLUTIONS

Booth #300

Fidelity Clearing & Custody Solutions® provides a comprehensive clearing and custody platform, brokerage, investment and reporting services, trading capabilities and practice management and consulting to registered investment advisors, including strategic acquirers and professional asset managers, retirement recordkeepers, broker-dealer firms, banks, insurance companies, family offices and wealthy families. Fidelity Clearing & Custody Solutions' goal is to help clients ensure that they are always future-ready by offering knowledgeable consulting, exceptional people and transformative technology.

Fidelity Corporate Actions Solutions is a trusted source for global corporate actions data and workflow tools that streamline activities across the lifecycle of an event and give clients the confidence they need to effectively process this time-sensitive information. We are a Fidelity Investments company and focus on quality, service excellence, and continual investment to meet the evolving needs of the clients we serve around the globe. We are also a service provider to many business units within Fidelity.

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http://fcas.fidelity.com/

Learn more about Fidelity Clearing & Custody Solutions

FINANCIAL INFORMATION INCORPORATED

Financial Technologies Solutions
Insight to Action

Booth #301

Financial Information Incorporated (FII) was established in 1911 and for over 100 years has been the leading provider of Corporate Actions and Historical Reference Services. FII clients consist of Banks, Brokerage and Accounting Firms, Law Offices, Government Agencies, College and Public Libraries and Private Investors. FII's Corporate Actions and Historical Reference Services are the premier source of data servicing the financial industry and considered to be the most comprehensive, accurate and timely available. FII is the creator and publisher of the Annual Guide to Stocks, which is known as the “Bible” to the securities industry. FII offers real-time data products, high-speed data delivery methods and high-tech data management systems. FII has compiled a vast network of information sources and has established solid relationships within the financial community. As FII pursues its strategic vision of the future, our long tradition of personal service and responsiveness to industry needs will continue to guide our client relationships. Financial Technologies Solutions, LLC (FTS) is the newest technology provider in the financial services industry. FTS provides clients Software Solutions, Consulting and Technical Services. Focusing upon Corporate Actions data and risk management tools, FTS has developed cost effective ISO based applications. Corporate Actions Announcement Processing System (CAAPS). Leaning heavily upon the ISO corporate action model CAAPS is designed around Data Consolidation, Event Management, Risk Management and Information Delivery. FTS has created a User Group consisting of retail and institutional banks and brokers to ensure the design principles meet industry challenges and operating best practices. Recognizing that not all clients process events in the same manner CAAPS provides individual customization for event content, comparison and communications. CAAPS is a secure Cloud Based solution. Each client will access their own Virtual Environment to ensure privacy of their information using encrypted (SSL) web site.

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Learn more about FII

FIS

Booth #111

FIS is a global leader in financial services technology, with a focus on retail and institutional banking, payments, asset and wealth management, risk and compliance, and outsourcing solutions. Through the depth and breadth of our solutions portfolio, global capabilities and domain expertise, FIS serves more than 20,000 clients in over 130 countries. Headquartered in Jacksonville, Fla., FIS employs more than 52,000 people worldwide and holds leadership positions in payment processing, financial software and banking solutions. Providing software, services and outsourcing of the technology that empowers the financial world, FIS is a Fortune 500 company and is a member of Standard & Poor's 500® Index.

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https://www.fisglobal.com/solutions/investment-banking-and-brokerage/compliance-and-tax-reporting

Learn more about FIS

Booths #108/109

FITS Consulting, a leading professional management consulting firm, specializes in the financial services industry. FITS establishes long-term collaborative relationships by providing clients with industry expertise through Project/Program Managers, Business Analysts, Systems Analysts, and Subject Matter Experts. Consultant expertise includes backgrounds in brokerage and banking operations, compliance, finance, risk management, and technology. Our core operations services include: Business Design and Transformation, System Conversions, Process Assessment/Re-Engineering, Regulatory/Compliance Remediation, Strategic Analysis, and Technology Support. FITS provides brokerage operations and systems knowledge to clients contemplating a transition to a self-clearing environment, platform integration, or system enhancements. FITS' professionals possess expertise with the major banking and brokerage system platforms and third-party applications. Leveraging experience, innovative thinking, and service excellence; FITS delivers a balanced business value to clients and to the industry. FITS consultants stand ready to navigate clients through the complex challenges faced in financial services. FITS Consulting: Providing consistent industry tested solutions since 1991.

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http://www.fitsconsulting.com

Learn more about FITS

Booth #304

GlobeTax is the leading provider of withholding tax recovery services for institutional and high-net worth investors receiving cross-border investment income. Through the firm's MIDAS™ platform, GlobeTax offers relief at source for all depositary receipts, foreign shares, and debt products, systematizing withholding tax reclamation for financial market participants across the custody chain. GlobeTax helps clients maximize investment returns by ensuring that investors receive all legal entitlements from excess cross border withholding tax with fees fully contingent upon successful recoveries. GlobeTax is also the market infrastructure for tax reclaims on ADRs, as the appointed agent for the issuing depositary banks. The firm has offices on four continents and clients in over 40+ countries, filing over 7 million claims a year.

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http://www.GlobeTax.com

Learn more about Globetax

Google Cloud

Booth #600

Google Cloud is widely recognized as a global leader in delivering a secure, open, intelligent and transformative enterprise cloud platform. Our technology is built on Google's private network and is the product of nearly 20 years of innovation in security, network architecture, collaboration, artificial intelligence and open source software. We offer a simply engineered set of tools and unparalleled technology across Google Cloud Platform and G Suite that help bring people, insights and ideas together. Customers across more than 150 countries trust Google Cloud to modernize their computing environment for today's digital world.

Contact: https://cloud.google.com/solutions/financial-services/

Learn more about Google Cloud

Grant Thornton

Booth #406

In this age of the connected customer, financial institutions are struggling to keep pace with increasingly complex digital consumer demands, while fending off new and different competitors and managing regulatory pressures. At Grant Thornton, our audit, tax and advisory professionals take on the challenges facing our clients every day; helping to strengthen their business and achieve genuine transformational change.

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Learn more about Grant Thornton

Gresham

Booth #205

Gresham's award-winning Clareti software platform has been designed to provide financial institutions with complete certainty in their data processing. Clareti is a highly flexible and fully scalable platform for ensuring the integrity of data across an enterprise. It is designed to address today's most challenging financial control, risk management, data governance and regulatory compliance problems. Gresham Technologies plc is a leading software and services company that specializes in providing real-time data integrity and control solutions. Listed on the main market of the London Stock Exchange (GHT.L) and headquartered in the City of London, its customers include some of the world's largest financial institutions, all of whom are served locally from offices located in Europe, North America and Asia Pacific.

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Learn more about Gresham Technologies

HELIX Financial Systems

Booth #507

Helix Financial Systems is a leading provider of financial software systems and know-how to the dealer, asset management, securities lending, banking and insurance communities.

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Learn more about Helix

IHS Markit

Booth #501

IHS Markit (Nasdaq: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 key business and government customers, including 80 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.

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Learn more about IHS Markit

Booth #410

InteliClear's 21st Century Post Trade Solutions (PTS) provides turnkey and custom multicurrency software for front, middle and back office operations for equity, option, fixed income, mutual fund, digital asset and cryptocurrency bookkeeping. PTS can clear, settle or reconcile, in real time, any traded product using FIX engine or customizable API's to ensure risk is mitigated while efficiently interfacing with appropriate counterparties. The processing engine is built upon an efficient relational database specifically designed for real-time, high volume, multi-currency functionality. All data elements are updated concurrently at transaction entry, thus making risk and interfaces with other systems more effective. Our PTS system offers a comprehensive correspondent clearing, self-clearing and omnibus solution for clearance and settlement that services the retail, institutional, proprietary and digital investment community. Modular offerings include most any subset of its full functionality including, Basic Equity Order Entry, Transaction Processing (trade compression, trade reconciliation, fee/commission calculations, billing), Cost Basis Accounting integrated with P&L and ACAT/CBRS, Margin (Reg T, day trader, portfolio, option optimization), Custody Processing (position management/aggregation, position reconciliation), Corporate Actions, Regulatory Reporting and Year End Tax Reporting can easily be incorporated into any existing workflow infrastructure.

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http://www.inteliclear.com

Learn more about InteliClear

Booth #402

PricingDirect® Inc., an affiliate of JPMorgan Chase & Co., offering valuation services for taxable fixed income securities and derivatives in markets around the world. PricingDirect benefits from direct access to the trading desks, research and technology of J.P. Morgan, a firm with broad global capabilities in primary and secondary markets. We offer our clients same-day (T+0) valuations for multiple market snapshots in the Americas, EMEA and Asia.

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Learn more about JP Morgan PricingDirect

Booth #101

Kingland develops and manages enterprise-class software solutions using its Kingland Platform. Leading banks, broker dealers, asset managers and financial utilities look to Kingland to create solutions for data management, risk management, regulatory compliance, customer insight challenges, and more. The Kingland Platform is a highly secure, cloud-optimized suite of software ranging from cognitive capabilities including artificial intelligence, natural language processing, and machine learning, as well as enterprise data management, analytics, and enterprise workflow capabilities.

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Booth #400

KPMG LLP's (KPMG) banking and capital markets practice is the firm's largest industry practice, with more than 4,000 experienced professionals in the U.S., including over 400 partners in audit, tax and consulting. KPMG's partners and professionals have deep industry experience which means they bring knowledge and understanding of the issues, enhanced by technical know-how and a tested track record of proactive client service. They can address the specific demands of businesses and apply extensive resources and industry experience to quickly identify and respond to key issues.

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https://home.kpmg/us/en/home.html

Learn more about KPMG

Booth #411

Kx is a division of First Derivatives, a global technology provider with 20 years of experience working with some of the world's largest finance and technology institutions. Kx technology, incorporating the kdb+ time-series database, is a leader in high-performance, in-memory computing, streaming analytics and operational intelligence. It supports institutions in designing, developing, implementing and supporting a broad range of mission critical data and trading systems across front, middle and back-office operations. Kx technology is widely adopted through the global financial community, at banks, hedge funds, trading houses and exchanges. It is employed across the entire range of data intensive arenas, from high frequency trading to market data storage and analysis, as well as in risk management activities and throughout the back office.

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Learn more about Kx

Booth #403

MarketSphere partners with organizations to manage escheatment liabilities and unclaimed property responsibilities providing customized services.

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Learn more about MarketSphere

Booth #203

Matrix is a fintech service bureau offering a suite of products and services for institutional fixed income trading. We work hands-on with our clients to identify an optimal service mix, from simple position management to high volume settlements. We leverage our extensive technical experience, a deep network across the finance industry and a team of ops, regulatory and legal gurus to deliver bespoke managed services for big-name firms worldwide. Contact us to see how we can help you streamline your operations, outsource your headaches and bring extreme efficiency to your business.

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Learn more about Matrix

Booth #308

NeoXam DataHub provides a set of functional modules which answer to the specific data management requirements of financial institutions such as investment and retail banks, asset managers, brokers, custodians or fund administrators. Consolidation and centralization of a securities master file fed from different sources, improved management of business entities (counterparties, issuers), the creation of a unique customer master file, integration of all trades and positions in a unique repository for better risk and compliance monitoring are only a sample of the issues that NeoXam DataHub is able to address.

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Learn more about NeoXam

Booth #310

NRI is a global top 10 Fintech company with annual sales exceeding $5 billion. Leveraging our consulting expertise, NRI provides state-of-the-art managed service and financial system solutions to transform financial institutions into digital companies equipped to thrive in the new digital era. With a 50-year track record of success and a team of 13,000 skilled professionals in more than 50 offices globally, NRI caters to a wide range of post-trade operational needs by mitigating cost and risk, and improving efficiency.

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Learn more about NRI

Booth #303

n-Tier is a regulatory focused data validation company that helps firms automate the validation processes required to ensure the ongoing accuracy and completeness of their regulatory reporting submissions. Our Compliance Workbench software provides clients with a sustainable process which focuses on 100% validation and auto-correction of each regulatory report. With unique in-depth experience in reporting requirements such as Blue Sheets, OATS, LOPR, CAT and AML, we provide clients a flexible solution which centralizes the validation process across different regulatory reporting requirements.

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Learn more about N-Tier

Booth #401

Move Fast. Break Nothing. OpenFin is the financial industry's operating system, enabling rapid and secure deployment, native experience and desktop interoperability. Used by the largest industry players through to the newest of FinTech innovators, OpenFin deploys more than 1,000 desktop applications to more than 1500 buy-side and sell-side firms. OpenFin investors include Bain Capital Ventures, DRW Venture Capital, Euclid Opportunities, J.P. Morgan, NYCA Partners and Pivot Investment Partners among others. The company is based in New York with offices in London.

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Learn more about OpenFin

Booth #312

Whether you are considering exploring your clearing options, changing from self-clearing to fully-disclosed (or vice-versa) or starting a broker-dealer, Oyster will help you make the best decisions with an assessment of your business, analysis to help drive decisions, and even walk you step-by-step through a vendor selection and conversion processes. Our tailored approach provides your firm with competitive intelligence, benchmarking, unbiased recommendations and best practices to help you manage the changes efficiently and effectively. For 10 years, Oyster has been assisting firms start, run, protect, and grow their business. Our consulting, outsourcing and software services have enabled our clients to transform into better managed and more profitable organizations. Oyster has helped clients like you to determine the appropriate platform strategy based on current business needs, and to map a future to success through organic growth, mergers and acquisitions.

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libby.hall@oysterllc.com http://www.oysterllc.com

Learn more about Oyster Consulting

Booth #405

Founded in 2013, PeerNova combines the expertise of financial industry veterans with the technical aptitude of Silicon Valley innovators to enable financial institutions around the world to solve some of their most prevalent challenges around post-trade processing. PeerNova's Cuneiform® Platform is a blockchain-based Distributed Ledger Technology (DLT) solution purpose-built for reducing friction both within and in-between financial institutions that execute high-value transactions in multiple asset classes, involving complex multi-party workflows and large datasets.

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http://www.peernova.com

Learn more about PeerNova

Booth #404

For more than 160 years, PwC has been committed to one goal – helping our clients thrive. With more than 236,000 employees and offices in 158 countries, we help global organizations and individuals alike create value in the world by delivering quality advisory, audit, assurance, and tax services. At PwC, we know that to succeed in a complex and rapidly changing environment, you need a nimble strategy and the right tools and technologies. We understand how to prepare for the unexpected and help our clients effectively and efficiently manage new regulatory challenges and risks when they emerge. Our team of experienced consultants, former industry executives and senior regulators are innovative leaders with the insight, industry experience and regulatory knowledge to help your organization accelerate growth and sustain advantage in the marketplace.

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Learn more about PWC

Booth #102

At Phyton Talent Advisors we strive to present the perfect candidate who will not only fulfill and exceed the job's requirements, but also seamlessly fit into your company's culture and organization. Working on behalf of both the professional and the employer, we invest time into each candidate to fully understand the scope of their career goals and professional interests. We would never advocate for someone we wouldn't want to work with ourselves. We pride ourselves on focusing on the long-term success of both the employer and the professional. When we attain this perfect harmony, we see the highest levels of success – the ability to attract and retain quality employees that are just as much invested in you as you are in them. We build world-class professional teams across industries. For employers, we can help you from the ground up to identify your talent roles and needs, streamline your hiring processes, and improve retention. With extensive industry-specific experience, we offer permanent, temp, temp-to-perm and statement-of-work talent solutions across the entire professional landscape. For professionals, while we have some of the best analytics and data available, we still rely equally on a personal approach. We get to know our candidates and their career goals to ensure the success of the individual to grow and evolve within the company's culture and organization. With a proven history of placing candidates in some of the hottest and fastest-growing industries, we can help you get to the next level in your career. At Phyton, we are more than just recruiters. We are trusted, strategic advisors with deep experience in providing top-tier talent solutions to the world's most competitive organizations.

Contact: Nicole Bambace, Managing Partner, Phyton Talent Advisors | 212.797.1148
nicole.bambace@phytontalent.com | https://www.phytontalent.com/

Learn more about Phyton Talent Advisors

Booth #207

The leading digital development agency - delivering better investor engagement. The Reach Agency has been a partner of the finance industry since it was founded. We specialize in providing compliant online solutions for the financial services industry with a key focus on helping our clients to engage investors and public stakeholders.

Contact: Calvin Rowley, CEO, The Reach Agency | 408.797.9756 | calvinr@thereachagency.com
http://www.thereachagency.com

Learn more about The Reach Agency

Booth #504

Refinitiv is one of the world's largest providers of financial markets data and infrastructure. Serving more than 40,000 institutions in over 190 countries, we provide information, insights, and technology that drive innovation and performance in global markets. Our 160-year Reuters heritage of integrity enables customers to make critical decisions with confidence, while our unique open platform, best-in-class data, and cutting-edge technology bring greater opportunity to our customers. By advancing our customers, we drive progress for the entire financial community.

Contact: Camilla Altamura, Refinitiv | camilla.altamura@refinitiv.com
https://www.refinitiv.com/en/wealth-management

Learn more about Refinitiv

Booth #503

Scivantage® is a SaaS provider of full End-to-End Tax solutions (including Cost Basis and Information Reporting) and Digital Wealth Management solutions. With proven expertise in online brokerage, tax and portfolio reporting, and wealth management platforms, Scivantage delivers intelligent and actionable information that goes beyond the boundaries of traditional financial software, helping improve investment decisions. Leading financial institutions, including broker-dealers, mutual fund companies, bank custodians, trust and prime brokers, depend on Scivantage's cloud-based technology to achieve critical business insights, generate new revenue and build stronger customer relationships.

Contact: Claudine Martin, Senior Vice President, Marketing, Scivantage | 617.671.1184
claudine.martin@scivantage.com | http://www.scivantage.com

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Booths #104/105

Isn't it time you brought your company's middle and back-office into the 21st Century and removed its reliance on the overnight batch and become real-time? Shadow Financial Systems' post-trade processing solution, ShadowSuite, is the only 21st Century alternative to the old guards' numerous legacy systems. ShadowSuite is the only truly real-time exceptions-based enterprise-wide post-trade processing solution. All functionality is fully integrated on one relational database supporting all asset classes, all financing transactions and all related functions like fees and commissions, corporate actions, dividends and interest, client and regulatory reporting, treasury and accounting regardless of currency, volume or business conducted using the most current technology available. ShadowSuite provides normalized consolidated data right out-of-the-box with 24hour access and online historical research capabilities. ShadowSuite does the work without an army of staff and is deployed as a cost-effective SaaS solution.

Contact: Joe South, President, Shadow Financial Systems | 732.877.6099 | jsouth@shadowfinancial.com
www.shadowfinancial.com

Learn more about Shadow Financial Services

Booth #800

SIFMA is the leading trade association for broker-dealers, investment banks and asset managers operating in the U.S. and global capital markets. On behalf of our industry's nearly 1 million employees, we advocate for legislation, regulation and business policy, affecting retail and institutional investors, equity and fixed income markets and related products and services. We serve as an industry coordinating body to promote fair and orderly markets, informed regulatory compliance, and efficient market operations and resiliency. We also provide a forum for industry policy and professional development. SIFMA, with offices in New York and Washington, D.C., is the U.S. regional member of the Global Financial Markets Association (GFMA).

Contact: membership@sifma.org | http://www.sifma.org

Booth #103

Authentic8 Founded in 2010 by principals from Postini, Authentic8 is redefining how the browser is used to access sensitive web data. Silo, our flagship product, is a secure, remote browser. Silo secures access to sensitive sites, creating an insulation layer between the computer and the web service. Silo helps manage login credentials, access controls and data use policies. Silo is built fresh at session start, and destroyed at session end, ensuring that users remain secure, compliant, and anonymous online. Try Silo risk free at www.authentic8.com

Contact: Emily Worth, Field Marketing Specialist, Authentic8 | 317.459.7007 | eworth@authentic8.com
http://www.silvercostbasis.com/

Learn more about Silo by Authentic8

SILVER
COST BASIS

Booth #206

Silver Management is a leading provider of business and technology solutions for the investment services industry. Our employees apply decades of securities industry and software development experience along with a passion for solving real-world problems. Our strategic partners provide complementary services, software, and market data to enhance our solutions. The Silver team delivers cost-effective brokerage operations, cost basis reporting, and wealth management solutions for our clients.

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Learn more about Silver Management

SS&C

Booths #408/409

SS&C delivers a combination of innovative technology and expert services to help investment and financial firms thrive in a dynamic global market. Through a combination of organic innovation and strategic acquisitions, we have assembled a comprehensive selection of technology and service capabilities, backed by industry-leading expertise. From this menu, we can build and support an operational platform customized to your needs, with deployment options based on your business objectives. From sub accounting and alternative investment services to risk and compliance intelligence, our solutions help our clients – broker-dealers, advisors, wealth managers, IBDs, aggregators and family offices and hedge funds – improve efficiency, reduce operational risk and optimize growth.

DST Market Services, LLC is a registered broker dealer, member of FINRA and SIPC. DST Market Services, LLC is a wholly owned subsidiary of SS&C Technologies Holdings, Inc.

Contact: David Burke, Vice President, SS&C | 860.559.2599 | dmburke@sscinc.com
https://www.ssctech.com/about-us/dst-market-services

Taskize

Booth #505

Taskize is the inter-bank problem solving network for operations that is replacing the tyranny of email, phone and chat as the new digital client service channel across financial services. Taskize provides a standard way of engaging with your clients, counterparts, outsourcers and colleagues for post-trade issue resolution. For the first time, you can securely assign and track work across firms, without having to know how to navigate them. By quickly routing work to the right people in the right role in the right bank, Taskize helps reduce issue resolution time, raise efficiency and improve client and employee satisfaction. Taskize also enables management to enhance middle-office and back-office control and risk management. The Taskize network is growing rapidly with 200 firms signed. It's easy to deploy, intuitive to use and brings immediate quantifiable value to operations staff. Whether you're a buy-side, sell-side, outsourcer, custodian, CSD or CCP - Taskize is purpose-built for all types of issue resolution.

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http://www.taskize.com

Learn more about Taskize

TATA CONSULTANCY SERVICES

Booth #700

Tata Consultancy Services is an IT services, consulting and business solutions organization that has been partnering with many of the world's largest businesses in their transformation journeys for the last fifty years. TCS offers a consulting-led, cognitive powered, integrated portfolio of IT, Business & Technology Services, and engineering. The TCS BaNCS universal financial solution from TCS Financial Solutions is designed to help financial services institutions enhance end customer experience, enabling them to embrace open and innovative technologies that embody true digital customer engagement. Deployed at more than 450 installations worldwide, it is the largest collection of components, enterprise and consumer apps for the financial industry made available through the cloud, helping firms become more agile and intelligent by leveraging the power of new and extended ecosystems. TCS BaNCS aspires to be better than established benchmarks, which is why we've embedded an Alpha ("á") consciously and prominently within our brand, to remind ourselves of the superior returns that we strive to deliver to our customers.

Contact: Sanjay Prasad, Head, Capital Markets and Wealth Management, TCS | 732.590.2601
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TORSTONE
SIMPLIFYING POST-TRADE

Booth #506

Torstone Technology simplifies the complexities of post-trade, by connecting global financial industry expertise with post-trade technology innovation. We are a leading global provider of cross-asset securities and derivatives post-trade processing technology. Torstone's modern, cloud-based, award-winning Inferno platform is fast, flexible and future-proof. It enables global financial firms to reduce their costs, achieve greater control, minimize risk, and drive operational efficiency. Combining many decades of investment banking expertise with in-depth global financial market and technology industry knowledge, we offer agile, secure, scalable, and cost-effective solutions. Use of our fully integrated Cloud-based technology means you spend less time on manual processing and maintaining regulatory compliance, and more time with your customers. We are a fast-growing company headquartered in London, with offices in New York, Hong Kong, Singapore, and Tokyo.

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Learn more about Torstone Technology

Vestmark

Booth #407

Headquartered outside of Boston, MA and founded in 2001, Vestmark is the leading provider of portfolio management and trading solutions for financial advisors and institutions, enabling them to efficiently manage and trade their clients' portfolios through an innovative SaaS platform. With over $1 trillion in assets and 4.5 million accounts, Vestmark is a trusted partner to some of the largest and most respected firms in wealth management.

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http://www.vestmark.com

Learn more about Vestmark

Wolters Kluwer

Booth #305

Wolters Kluwer has the in-depth tax expertise and proven technology you need to meet the rigorous tax withholding and reporting requirements you face. Talk with us about GainsKeeper®, our award-winning, tax lot accounting solution serving the brokerage, fund investment, active trader and individual investor communities. GainsKeeper® Cryptocurrency helps investors navigate virtual currency tax obligations. For unparalleled coverage of global corporate actions tax details, leaders in financial services have relied upon our Capital Changes team to understand the tax consequences of domestic and foreign corporate actions. Contact us to learn more, including about how our 871M, 305C, and 302 solutions can help you meet your tax reporting requirements.

Contact: Jim Richardson, Sr. Director, Product Management, Wolters Kluwer | 781.907.9671
jim.richardson@wolterskluwer.com | http://WoltersKluwerFS.com/capchanges

Learn more about Wolters Kluwer

Workiva

Booth #100

Workiva, the leading cloud provider of connected data, reporting, and compliance solutions, is used by thousands of enterprises across 120 countries, including more than 75 percent of Fortune 500® companies, and by government agencies. Our customers have linked over five billion data elements to trust their data, reduce risk, and save time.

Contact: Rachel Behrens, Senior Event Planner, Workiva | 888.275.3125 | rachel.behrens@workiva.com
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Learn more about Workiva

Booth #307

Xceptor is part of the revolution that is intelligent automation. AI-enabled and with a data-first focus, Xceptor deploys the best automation technology for the process at hand. We intelligently connect the right data, in the right format, at the right time. We extract and transform data; overhaul and optimize processes. We automate the complex. Simply. Locations in London, New York, Singapore, Cape Town and Sydney.

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