



sifma

NOVEMBER 15, 2018

## LISTED OPTIONS SYMPOSIUM

12:00PM - 6:00PM | SIFMA Conference Center, NYC

### GOLD

#### Networking Reception

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Aplomb Strategies is a market structure, regulatory and technology (“RegTech”) consulting firm. We specialize in identifying trends in regulation to proactively design future proof technology for our financial clients. Aplomb’s client list includes exchanges, clearing firms, and registered entities such as broker dealers and hedge funds.

Aplomb Strategies helps financial institutions capitalize on global market structure changes. Our focus allows our client partners to understand and implement new organizational processes to address global regulatory change, mitigate workflow inefficiencies and implement cost optimization to drive opportunities for growth.

Aplomb advises senior management, recommends technology solutions, implements regulatory strategies and conducts end to end reviews of procedures to support compliance globally across asset classes (equities, listed options, futures, fx, fixed income and OTC derivatives).

In a world of rapid market structure change, find opportunity.

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## General Session Audio Visual and Webinar

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## Networking Lunch

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