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Luncheon

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Morgan Lewis

Afternoon Networking Refreshments

For nearly 40 years, Morgan Lewis's Securities Enforcement Practice has represented financial institutions, public companies, and senior executives in regulatory investigations and enforcement proceedings conducted by the US Securities and Exchange Commission (SEC), the US Department of Justice (DOJ), and other governmental agencies, as well as all major self-regulatory organizations, including FINRA. The group also conducts internal investigations and acts as an independent consultant to firms in matters arising out of regulatory settlements. Our team includes former high-ranking government prosecutors and veteran SEC lawyers. These include a former Chief Litigation Counsel for the Division of Enforcement, as well as more than 20 other former SEC attorneys. We also have practitioners who previously worked at the DOJ and FINRA, as well as several former government prosecutors from districts across the United States.

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Evening Reception

Consilio is a global leader in eDiscovery, document review, risk management, and legal consulting services. The company supports multinational law firms and corporations using innovative software, cost-effective managed services and deep legal and regulatory industry expertise. Consilio has extensive experience in litigation, HSR second requests, internal and regulatory investigations, eDiscovery, document review, information governance, compliance risk assessments, cybersecurity, law department management, contracts management, legal analytics, paper discovery and digital printing, as well as legal recruiting and placement. Consilio and its global family of companies, Advanced Discovery, Altep, Millnet Document Services and Legal Placements Inc., employ leading professionals in the industry, applying defensible workflows with patented and industry-proven technology across all phases of the eDiscovery and risk management lifecycle. ISO 27001:2013 certified, the company operates offices, document review and data centers across Europe, Asia, and North America.

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Networking Continental Breakfast

Sidley's Securities & Derivatives Enforcement and Regulatory group advises and defends clients in a wide range of securities- and derivatives-related matters. With more than 150 lawyers in 10 offices worldwide, we provide comprehensive regulatory solutions in matters involving the SEC, the CFTC, FINRA, PCAOB, SROs, state attorneys general, state securities regulators as well as international securities regulators. Recognized by *U.S. News – Best Lawyers* as its 2018 "Law Firm of the Year" in Securities Regulation, our team combines the know-how of prominent counseling lawyers with the skills of nationally-recognized enforcement lawyers. Our multidisciplinary group combines strategic regulatory advice, transactional counseling and a deep knowledge of the financial services industry. Through our experience with a broad spectrum of regulatory challenges and our involvement in nearly every major securities and derivatives related enforcement initiative, we have built a powerful resource to meet clients' needs.

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