

SIFMA and The Wall Street Tax Educational Corporation present

Global Tax Reporting Symposium

The Latest on Reporting and Withholding

OCTOBER 16-17, 2018 | SIFMA CONFERENCE CENTER, NYC

GOLD

Bloomberg

Networking Lunch – Tuesday, October 16th

Bloomberg offers a large suite of financial instrument data solutions to support firms in their compliance with various global tax regulations. This includes requirements coming from the United States' Internal Revenue Service such as FATCA and 871(m), as well as other global financial instrument tax obligations. As an extension of this tax content, Bloomberg's Order Management Solutions also offer the means to apply relevant tax rates on transactions. At Bloomberg, our team of tax experts are constantly reviewing and interpreting new and upcoming tax regulations to develop and enhance the solutions our clients need to effectively manage their regulatory reporting obligations.

Contact: Bloomberg L.P. / www.bloomberg.com



Networking Reception – Tuesday, October 16th

PwC's Financial Markets practice, is a global network of teams of over 500 financial products specialists operating in over a dozen cities across the U.S. and several key locations throughout the world. The Financial Markets practice interacts and has built lasting relationships with those in the financial services and corporate industries. This coupled with their ongoing conversations with the regulators, makes the Financial Markets practice uniquely placed to partner with their clients to deliver sustainable solutions whether that be in the regulated or non regulated space. Regulation, or a lack of, is the driving force of discussions throughout boardrooms across the world. FSR is working with their clients to understand how to manage the requirements of numerous regulations, as well as implementing effective plans to restructure businesses to meet these new needs on an ongoing basis. For non regulated entities, the Financial Markets practice is working with clients to help them take full advantage of the opportunities within the market. Financial Markets is comprised of subject matter experts on virtually all asset classes (including Residential and Commercial Mortgages, Auto Loans and Leases, Credit Cards, Student Loans, Derivatives, Commercial Loans and Bonds, Debt and Equity Securities, etc.). The team specializes in providing services around model governance and validation, accounting advisory, end-to-end transaction support and valuation, business processes and controls, tax and treasury, and capital funding strategies, all as they relate to financial markets." www.pwc.com/us

Contact: Cori Rosen, Marketing & Sales, PricewaterhouseCoopers LLP, 300 Madison Ave, New York, NY 10017 / 646.471.6434 / cori.d.rosen@pwc.com / www.pwc.com/us



Badge Lanyard

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EY professionals in our financial services practices worldwide align with key global industry groups, including our Global Wealth & Asset Management Center, Global Banking & Capital Markets Center, Global Insurance Center and Global Private Equity Center, which act as hubs for sharing industry-focused knowledge in order to help our clients address key issues. With a global presence and industry-focused advice, EY financial services professionals provide high-quality assurance, tax, transaction and advisory services to financial services companies worldwide.

Contact: Justin O'Brien, Ernst & Young LLP, 5 Times Square, New York, NY 10036 | 201.551.5033 | justin.obrien@ey.com | www.ey.com



Continental Breakfast – Wednesday, October 17th

At KPMG LLP (KPMG), we come to work every day because we are passionate about helping our clients address business challenges. The 35,000 partners and professionals who make up KPMG—part of KPMG International's global network of approximately 200,000 partners and professionals—have a rich understanding of the financial services industry. KPMG's Information Reporting & Withholding Tax Services professionals offer a wide range of information reporting services and technology solutions to help our clients comply with U.S. and foreign withholding requirements and reporting regimes, such as Chapter 3 regulations, FATCA, and CRS. In addition, by actively working with KPMG International member firm professionals around the world, we can advise you on local country rules and procedures, while helping you implement a consistent global approach. To learn more about this experienced team, which includes individuals who have worked at financial services companies, U.S. Treasury, the IRS, and the OECD, visit: www.kpmg.com/us/fatca-crs-aeoj.

Contact: Laurie Hatten-Boyd, Principal, Washington National Tax, Information Reporting & Withholding Tax Services, KPMG LLP, 1918 Eighth Avenue, Suite 2900, Seattle, WA 98101 | 201.913.4489 | lhattenboyd@kpmg.com | Cyrus Daftary, Principal, Information Reporting & Withholding Tax Services, KPMG LLP, Two Financial Center, 60 South Street, Boston, MA 02111 | cdaftary@kpmg.com | www.kpmg.com/us/fatca-crs-aeoj

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Tote Bag

Silver Management is a leading provider of business and technology solutions for the investment services industry. Our employees apply decades of securities industry and software development experience along with a passion for solving real-world problems. Our strategic partners provide complementary services, software, and market data to enhance our solutions. The Silver team delivers cost-effective brokerage operations, cost basis reporting, and wealth management solutions for our clients.

Contact: Eric Jacobson, Director, Client Relations & Business Development, Silver Cost Basis | 917.627.7426 | ejacobson@silvermanagement.com | www.silvermanagement.com

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Contact: SIX, 2 Grand Central Tower, 140 E 45th Street, New York, NY 10017 | www.six-group.com

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Digital Symposium Guide & Wi-Fi for Attendees

Wolters Kluwer has the in-depth tax expertise and proven technology you need to meet the rigorous tax withholding and reporting requirements you face. Talk with us about GainsKeeper®—the cost basis reporting compliance solution of choice at blue chip brokerages. Learn about how our *Capital Changes 871M Automated Compliance Tool* meets new withholding requirements. Ask about our *Capital Changes 305C Alert Service* that identifies deemed dividends on convertible debt and preferred securities, to address the latest IRS guidance on reporting deemed dividends on IRS Forms 1099-DIV and 1042-S. Let us tell you how FundTax helps more than 6,500 US mutual and hedge funds automate calculations for wash sales, REITS, Straddles, PFIC, Wash Sale Avoidance and QDI/DRD Reporting for use in excise, fiscal and interim reporting. www.wolterskluwerfs.com/InvestmentCompliance.

Contact: Robert Flynn, Director of Sales, Wolters Kluwer, 130 Turner Street, Bldg. 3, 3rd Floor, Waltham, MA 02453 | 339.222.2953 | robert.flynn@wolterskluwer.com | www.wolterskluwerfs.com/InvestmentCompliance

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Welcome Hospitality – Tuesday, October 16th

Broadridge is the leading provider of technology-driven solutions for the financial industry. Our Tax Services solutions integrate with your existing processes to provide end-to-end tax reporting and compliance. Whether your firm is looking for specific tax data, support with complex calculations, or an enterprise-wide tax reporting solution, you can leverage our comprehensive network of people, experience and technology to manage your firm's regulatory and tax reporting requirements.

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Contact: George Grippo, Director, Account Management, Broadridge Financial Solutions, Inc., 51 Mercedes Way, Edgewood, NY 11717 | 631.274.2946 | george.grippo@broadridge.com | www.broadridge.com

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Afternoon Networking Break – Tuesday, October 16th

Deloitte's Global Information Reporting (GIR) practice is comprised of professionals with extensive tax technical knowledge and experience with process and technology. Deloitte provides a wide range of compliance and advisory services to US Withholding Agents (USWAs) and Foreign Financial Institutions (FFIs) required to comply with FATCA legislation. FATCA implementation requires significant changes to documentation, withholding, depositing, and reporting procedures. Deloitte's GIR service offerings include: Section 871(m) impacts, FATCA, Information Reporting & Withholding (IRW) Advisory, Information Reporting (IR) Outsourcing, Report of Foreign Bank and Financial Accounts (FBAR) and Section 6050W: Information Reporting of Payments

Contact: Denise Hintzke, Global Tax Leader, Global Information Reporting, Deloitte Tax LLP | 212.436.4792 | dhintzke@deloitte.com | www.deloitte.com

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Morning Networking Break – Wednesday, October 17th

CTI Tax Solutions by IHS Markit was designed by a team of leading industry experts to deliver comprehensive tax consulting, outsourcing and technology solutions for the global marketplace. No matter what jurisdiction or regulatory obligation, your business encounters, CTI Tax Solutions enables customers to scale and streamline tax compliance processes. Through our secure electronic exchange, you can reduce the resource burdens of tax, onboarding and accounts payable teams while creating the efficiencies necessary to manage an ever-increasing scope of compliance processes.

From QI and FATCA to CRS due diligence, Section 871(m), Section 305(c), and other regulatory requirements, CTI Tax Solutions helps you achieve timely and accurate regulatory compliance including validation of Forms W-8, W-9, KYC documents and self-certifications, withholding rate calculations, GIIN/TIN matching and information return reporting. Our technology teams deliver quarterly system upgrades to continuously address the constantly evolving tax environment. This is all backed by our team of dedicated professionals who can assist with onboarding and outsourcing to save critical time and resources.

Contact: William Sheridan, Head of Business Development / CTI Tax Solutions, IHS Markit | 212.884.9500 | william.sheridan@ihsmarkit.com | www.ihsmarkit.com



VIP Dinner – Tuesday, October 16th

Thomson Reuters Trust Tax: The market-leading approach to fiduciary tax compliance

For more than 50 years, we have been the trusted resource that most fiduciaries and trust tax managers use. ONESOURCE Trust Tax from Thomson Reuters has the software to meet every U.S. federal and state requirement.

ONESOURCE Trust Tax provides wealth managers with an integrated compliance solution. Our wealth management software automates the fiduciary tax process from data management to tax filing and tax information furnishing. To accomplish this, our trust tax software interfaces with every trust accounting system available.

Contact: Gerald Urban, Client Sales Representative, Thomson Reuters | gerald.urban@thomsonreuters.com | www.thomsonreuters.com/en.html