



**CITRIN COOPERMAN®**

Accountants and Advisors

# CITRIN COOPERMAN FINANCIAL SERVICES PRACTICE

Citrin Cooperman is one of the fastest growing accounting and consulting firms in the United States. The firm has served clients in the financial services industry for over 30 years providing a hands-on leadership team that stays actively involved through all phases of the engagement.



**TOP  
25**

Largest Accounting & Consulting Firm in the U.S.



**25+**

Our employees speak more than 25 languages



**12  
LOCATIONS**

► **United States**

Bethesda, MD  
Braintree, MA  
Livingston, NJ  
Long Island, NY  
New York, NY

Norwalk, CT  
Philadelphia, PA  
Providence, RI  
White Plains, NY  
Woburn, MA

► **Citrin Cooperman International**

Ahmedabad, India  
Cayman Islands



**MORE THAN  
900  
PARTNERS AND STAFF**



## ACCOLADES:

- **Best Accounting Firms to Work For** Accounting Today
- **Best Places to Work in CT** Hartford Business Journal
- **Best Places to Work in NYC** Crain's New York Business
- **Best Places to Work in PA** Central Penn Business Journal
- **Best Places to Work in NJ** NJBIZ

AN INDEPENDENT FIRM ASSOCIATED WITH MOORE STEPHENS INTERNATIONAL LIMITED, CONSISTING OF MORE THAN 360 INDEPENDENT ACCOUNTING AND CONSULTING FIRMS WITH NEARLY 650 OFFICES IN MORE THAN 100 COUNTRIES.

## FOCUSED ON A RETURN FOR YOUR INVESTMENT

The financial markets are fast-paced and constantly evolving. They're also facing increasing regulatory scrutiny. Financial service firms need clear advice from their accountants and business consultants to navigate new regulatory requirements, mitigate business risks and meet their tax obligations.

Today's business climate is wrought with pressures and competition that was not anticipated years ago. Coupled with continued government regulatory mandates, it's important now, more than ever, to work with a team that stays abreast of the latest industry and regulatory developments. We provide services to many businesses in this arena, which include:

- ▶ Domestic and offshore hedge funds
- ▶ Private equity funds
- ▶ Venture capital funds
- ▶ Fund of funds
- ▶ Management companies
- ▶ Registered investment advisors
- ▶ Registered investment companies ("40 Act" Funds)
- ▶ Broker-dealers
- ▶ Specialty finance companies
- ▶ Fintech companies

## WHAT MAKES US DIFFERENT



Value added fee – the resources of a larger firm at reasonable rates



No standard checklists, customized approach to every engagement



Partner-led engagement team comprised of seasoned in-house industry experts – No "Bait and Switch"



Dedicated team of financial services professionals, who bring deep industry-specific knowledge



Client recognition for our responsiveness, technical knowledge, practical approach, and first-class service



Results and value collaborative services from one provider – reducing time commitments and professional costs

## OUR SERVICES

You need a recognized firm that understands what is important to your operations. Given our extensive experience in the financial services industry, Citrin Cooperman is positioned to help you achieve your highest-priority objectives.

### AUDIT & ASSURANCE

- ▶ Financial statement audits
- ▶ Financial statement preparation
- ▶ Agreed upon procedures
- ▶ Custody examinations
- ▶ Performance examination reports
- ▶ Financial accounting implementation
- ▶ IT and enterprise risk assessments
- ▶ Internal control assessments
- ▶ SSAE 16 (SOC 1,2,3)
- ▶ Forensic Accounting
- ▶ Employee benefit plan audits

### ADVISORY

- ▶ Regulatory advisory
- ▶ Risk advisory
- ▶ Budgeting, forecasting and modeling
- ▶ Financial reporting and analysis
- ▶ Performance management and process improvement
- ▶ Information technology business advisory
- ▶ Cyber security
- ▶ CFO, IT and Managed Services
- ▶ Outsourcing
- ▶ Strategy and business transformation
- ▶ Cost allocation studies
- ▶ Organizational structure set-up

### TAX

- ▶ Federal, state and local tax compliance
- ▶ Preparation of Schedule K-1
- ▶ Preparation of partnership tax allocations
- ▶ Fund tax structuring
- ▶ Tax Analysis of portfolio and holdings
- ▶ Tax planning and consulting
- ▶ Passive foreign investment company (PFIC) statement preparation
- ▶ FATCA consulting
- ▶ Foreign reporting compliance
- ▶ Tax accounting
- ▶ Transfer pricing studies

### TRANSACTION ADVISORY

#### BUY SIDE

- ▶ Quality of earnings reports
- ▶ Tax due diligence
- ▶ Deal structuring
- ▶ Valuation services
- ▶ Purchase price accounting
- ▶ Financial modeling
- ▶ M&A advisory services

#### SELL SIDE

- ▶ Vendor quality of earnings reports
- ▶ Financial statement preparation
- ▶ Data room assistance
- ▶ Tax planning
- ▶ Estate planning

Citrin Cooperman is the 22<sup>nd</sup> largest, full-service CPA firm in the United States. Headquartered in New York City, with offices throughout the Northeast, Citrin Cooperman has steadily built its business serving a diverse and loyal clientele since 1979. Our daily mission is to enhance the business and personal lives of our clients across the globe through our customized approach that includes offering a wide range of attest, assurance, tax, and business advisory services.

We are an independent member firm of Moore Stephens North America (MSNA), which is itself a regional member of Moore Stephens International Limited (MSIL). This global association consists of more than 360 independent accounting and consulting firms with nearly 650 offices in more than 100 countries. One call from Citrin Cooperman will put you in touch with knowledgeable professionals in the local market you are doing business in.

## INDUSTRY RECOGNITION



**2017 M&A AWARD FINALIST**  
Accounting/Due Diligence  
Firm of the Year



**2017 WINNER**  
Sustained Excellence in  
Transaction Advisory Services  
- USA



**2016 WINNER**  
Transaction Advisory Services  
Team of the Year - USA



**2015 & 2016 WINNER**  
Excellence in Buy-Side &  
Sell-Side Due Diligence - USA



**2015 & 2016 WINNER**  
CPA Firm of The Year

## MEET THE TEAM



**ALEXANDER REYES, CPA**  
Partner, Practice Leader, Financial Services  
[areyes@citrincooperman.com](mailto:areyes@citrincooperman.com) | 646.695.7842



**SYVLIE GADANT, CPA**  
Partner, Practice Leader, Transaction Advisory Services  
[sgadant@citrincooperman.com](mailto:sgadant@citrincooperman.com) | 973.218.2328



**STUART BENDER, CPA, MST**  
Partner  
[sbender@citrincooperman.com](mailto:sbender@citrincooperman.com) | 973.218.7185



**JOHN CAVALLONE, CPA**  
Partner  
[jcavallone@citrincooperman.com](mailto:jcavallone@citrincooperman.com) | 929.281.2657



**DAVID GRUMER, CPA**  
Partner  
[dgrumer@citrincooperman.com](mailto:dgrumer@citrincooperman.com) | 646.695.1514



**GUY MILLER, CPA, MBA**  
Partner  
[gmiller@citrincooperman.com](mailto:gmill@citrincooperman.com) | 973.218.7175



**JOSEPH PUGLISI, CPA**  
Partner  
[jpuglisi@citrincooperman.com](mailto:jpuglisi@citrincooperman.com) | 646.695.1537

## INDUSTRY AFFILIATIONS



**CITRIN COOPERMAN**  
**FINANCIAL SERVICES**  
**PRACTICE**



[CITRINCOOPERMAN.COM](http://CITRINCOOPERMAN.COM)