



DIAMOND SPONSOR



Formal Luncheon

FICO (NYSE: FICO) powers decisions that help people and businesses around the world prosper. Founded in 1956 and based in Silicon Valley, the company is a pioneer in the use of predictive analytics and data science to improve operational decisions. FICO holds more than 180 US and foreign patents on technologies that increase profitability, customer satisfaction and growth for businesses in financial services, telecommunications, health care, retail and many other industries. Using FICO solutions, businesses in more than 100 countries do everything from protecting 2.6 billion payment cards from fraud, to helping people get credit, to ensuring that millions of airplanes and rental cars are in the right place at the right time. Learn more at <http://www.fico.com/independent/>

Contact: FICO Corporate Headquarters, 181 Metro Drive, San Jose, CA 95110 | 408.817.9100 | www.fico.com/independent/

Learn more about [FICO](#) (PDF)

PLATINUM SPONSORS



Welcome Reception, National Portrait Gallery

Broadridge, a global fintech leader with \$4 billion in revenue, provides communications, technology, data and analytics. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. We deliver two billion shareholder communications each year, enable multi-asset post-trade processing in 70 countries and connect 5,000 brands. Our unique vantage point lets us see ahead to help businesses navigate change and win in a complex landscape. We work side-by-side with our clients to help them get ahead of today's challenges and capitalize on what's next.

Contact: Broadridge Financial Solutions, Inc. | 800.353.0103 | www.broadridge.com/ready-for-next/

Learn more about [Broadridge Financial Solutions, Inc.](#) (PDF)



Welcome Reception, National Portrait Gallery

Fidelity Clearing & Custody Solutions® provides a comprehensive clearing and custody platform, brokerage, investment, and reporting services, trading capabilities, and practice management and consulting to registered investment advisors (RIAs), including strategic acquirers and professional asset managers, retirement recordkeepers, broker-dealer firms, banks, insurance companies, family offices, and wealthy families. The goal of Fidelity Clearing & Custody Solutions® is to help clients ensure that they are always future-ready by providing knowledgeable consulting, exceptional people and transformative technology.

Contact: John Phillips, EVP, Head of Bank & Broker-Dealer Sales, Fidelity Clearing & Custody Solutions, 200 Seaport Boulevard, Boston, MA 02210 | 617.563.2253 | john.d.phillips@fmr.com | <https://clearingcustody.fidelity.com>

Learn more about [Fidelity Clearing & Custody Solutions](#) (PDF)

GOLD SPONSORS

Deloitte.

Attendee Bags

In today's fast-moving digital economy, it's not enough to keep pace. Deloitte's experienced professionals help banking and capital markets leaders anticipate challenges, and develop and implement strategies that address regulatory reform, technological complexity, competitive dynamics, and market moves – turning critical and complex business issues into opportunities for growth, resilience, and long-term advantage. Deloitte's financial services industry practice comprises a global network of more than 40,000 professionals – bringing together diverse and experienced teams from across the industry and around the globe. In the US, our experienced team of banking and securities professionals deliver innovative services to help you navigate through today's complex landscape and your most important issues, including robotic process automation (RPA), cybersecurity, tax reform and regulatory reform, in addition to state of the art Audit and Tax services delivered by professionals with deep industry expertise.

Contact: Larry Rosenberg, Partner, Deloitte & Touche LLP | 212.436.4869 | lrosenberg@deloitte.com | www.deloitte.com/fsi

DTCC

Securing Today. Shaping Tomorrow.™

Co-Branded Registration Packet

With 45 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to financial markets. In 2017, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$1.61 quadrillion. Its depository provides custody and asset servicing for securities issues from 131 countries and territories valued at U.S. \$57.4 trillion. DTCC's Global Trade Repository service maintains approximately 40 million open OTC positions per week and processes over one billion messages per month through its group of licensed trade repositories.

Contact: Mark Vercruysse, Head of Global Relationship Management, DTCC, 570 Washington Blvd., Jersey City, NJ 07310 | 212.855.4151 | mvercruysse@dtcc.com | www.dtcc.com/

Learn more about [DTCC](#) (PDF)



Wi-Fi for Attendees

For more than 160 years, PwC has been committed to one goal – helping our clients thrive. With more than 236,000 employees and offices in 158 countries, we help global organizations and individuals alike create value in the world, by delivering quality audit, assurance, tax and advisory services. PwC's Financial Services practice helps companies address regulatory reform, financial reporting requirements, implementation of cost effective technologies, talent acquisition and increasing demands from stakeholders. Our professionals assist with many of today's largest, most challenging issues impacting asset and wealth management, banking and capital markets and insurance. As one of the leading professional services networks in the world, our reputation lies in building lasting relationships with our clients and delivering value in all we do.

Contact: Julien Courbe, US Financial Services Advisory Leader, PwC | 646.471.4771 | julien.courbe@pwc.com | www.pwc.com

SILVER SPONSORS



Wi-Fi for Attendees

Davis Polk & Wardwell LLP (including its associated entities) is a global law firm with offices strategically located in the world's key financial centers. For more than 165 years, our lawyers have advised industry-leading companies and global financial institutions on their most challenging legal and business matters. Davis Polk ranks among the world's preeminent law firms across the entire range of its practice, which spans such areas as capital markets, mergers and acquisitions, credit, antitrust and competition, litigation and enforcement, private equity, tax, financial regulation, investment management, restructuring, executive compensation, FinTech, intellectual property and technology, real estate, and trusts and estates. Davis Polk has more than 900 lawyers in offices located in New York, Northern California, Washington DC, São Paulo, London, Paris, Madrid, Tokyo, Beijing and Hong Kong. For more information, please visit: <http://www.davispolk.com>.

Contact: Christine R. Ondris, Business Development Analyst, Davis Polk & Wardwell LLP, 450 Lexington Avenue, New York, NY 10017 | 212.450.6419 | christine.ondris@davispolk.com | www.davispolk.com

Learn more about [Davis Polk](#) (PDF)



Wi-Fi for Attendees

eMoney Advisor, LLC (“eMoney”) is the leading provider of scalable wealth management solutions for financial professionals, firms, and enterprises of all sizes. Rooted in collaborative financial planning, eMoney’s technologies and services enable financial professionals to build stronger client relationships, streamline business operations, and drive revenue and growth. With more than 600 passionate, innovative, and dedicated employees in three locations— eMoney is transforming the wealth management experience for 50,000 financial professionals and 2.1 million end-clients nationwide.

Contact: eMoney Advisors, LLC | www.emoneyadvisor.com

Learn more about [eMoney Advisors, LLC](#) (PDF)



Morning Networking Refreshments

Promontory Financial Group, an IBM Company, excels at helping clients resolve critical issues, particularly those with a regulatory dimension. Promontory professionals have unparalleled regulatory experience and insight, and provide our clients with frank, proactive advice informed by best practices and regulatory expectations.

Promontory is a leading strategy, risk management, and regulatory-compliance consulting firm focusing on the financial services industry. Our professionals, with deep expertise gained through decades of leadership at regulatory bodies and Fortune 100 corporations, help entities understand and implement global and national financial services regulation. Founded in 2001 by Chief Executive Officer Eugene A. Ludwig, former U.S. comptroller of the currency, Promontory became a wholly owned subsidiary of IBM in 2016. Our unique domain expertise, combined with IBM’s world-class technology and scalable delivery capabilities, allows us to resolve challenging national and cross-border issues in banking, securities, commodities, financial instruments, markets, and insurance.

Contact: Conway Dodge, Managing Director, Promontory, an IBM Company | 202.370.0461 | cdodge@promontory.com | www.promontory.com

Learn more about [Promontory, an IBM Company](#) (PDF)

BRONZE SPONSORS



Exhibitor

Hearsay Systems offers the Hearsay Advisor Cloud for financial services, empowering advisors to efficiently and compliantly use social media, websites, text and email to engage with customers, build stronger relationships and grow their business. Its prescriptive technology processes and prioritizes data from across digital channels and data systems, providing actionable suggestions for advisors on how they should engage with clients next. Built for the enterprise, Hearsay connects these advisor-client interactions and data to corporate CRM systems and digital marketing programs and provides efficient compliance supervision and review workflows – all on a secure, enterprise-ready platform.

Contact: Chris Mills, VP of Marketing, Hearsay Systems | 415.692.6230 | cmills@hearsaycorp.com | www.hearsaysystems.com

Learn more about [Hearsay Systems](#) (PDF)



Fannie Mae[®]

Fannie Mae serves the people who house America. We are a leading source of financing for mortgage lenders, providing access to affordable mortgage financing in all markets at all times. Our financing makes sustainable homeownership and workforce rental housing a reality for millions of Americans. We listen to our customers and partners to understand their needs, putting them at the center of everything we do. We apply our experience and expertise to deliver innovative, smart solutions to help our customers succeed. We are moving forward with our partners to build a stronger, safer, more efficient housing finance system – one that continues to create housing opportunities for homebuyers and renters in all communities across the nation.

Contact: Fannie Mae | www.fanniemae.com

Learn more about [Fannie Mae](#) (PDF)