

**SIFMA BUSINESS CONTINUITY TEST**  
**Saturday, October 5, 2013**

**TEST DAY SCRIPT**

<b>Exchange/Utility</b>	MIAX Options Exchange
<b>Test Day Contact</b>	Trading Operations <b>(609) 897-7302</b>
<b>Test Description</b>	Each participating firm will send two orders and/or quotes for two pre-determined products (puts and calls in Boeing and Microsoft) to the exchange. The MIAX will process the trades and/or quotes, send execution/fill reports, quote acknowledgments and send trade files to the OCC. <i>Firms can also prearrange other symbols to be supported as well.</i>
<b>Trade Date</b>	October 5, 2013
<b>Projected Start/End Times</b>	8:00 a.m. ET - Accept Orders 9:30 a.m. ET - Opening 1:00 p.m. ET Close
<b>Systems to Be Used for Testing</b>	MIAX DR Site
<b>Transaction Count</b>	A minimum of 2 Order or Quote acknowledgements

Test Transaction	Transaction Type (Buy/Sell)	Security Identifier (Symbol)	Quantity	Price
1	B/S	MSFT Jan18,2014 47 Call	25	.05
2	B/S	MSFT Jan18,2014 47 Put	25	15.50
3	B/S	BA Nov16,2013 50 Call	50	57.00
4	B/S	BA Nov16,2013 50 Put	50	.05

<b>Transaction Acknowledgment Protocol</b>	An acknowledgment will be sent for each order, quote and trade.
<b>Availability of Test Day Conference Bridge Line</b>	No Bridge. Contact Trading Operations <b>(609) 897-7302</b>
<b>Completion of Test Acknowledgement Protocol</b>	When you have completed your testing, please notify the MIAX by emailing TradingOperations@MIAXOptions.com
<b>Other Information</b>	Connectivity testing can be done from 9:30 a.m. to 5:00 p.m. ET on September 30, 2013 through October 4, 2013. Please contact MIAX Trading Operations to schedule, TradingOperations@MIAXOptions.com.