July 17 2013

SIFMA SECURITIES INDUSTRY BUSINESS CONTINUITY TEST
Saturday, October 05, 2013
Test Day Script

Test Component	Derivatives		
Exchange/Utility	Montreal Exchange (MX)		
Test Day Contact	Technical questions: **Technical Help Desk**: Toll free 1-877-588-8489 / Local 514-871-7872 MX Business questions: **Market Operations Department**: Toll free 1-866-576-8836 / Local 514-871-7877		
Test Description	Firms will submit dummy orders for pre-determined products to the Montreal Exchange (MX). MX will validate the receipt of these orders as defined in the transaction acknowledgement protocol field below. - MX participants can use the following protocols for order entry: STAMP, FIX, SAIL - Market data will be disseminated via the HSVF - Also available for testing – ATR, Drop Copy, TMS, Clearing API, LOPR, FTP server Trade data will flow through from MX to CDCC's SOLA® Clearing system (Connectivity – trade processing for clearing). **Note**: Pre-test /connectivity test is scheduled for Saturday, September 07th , 2013 between 10:00 and 13:00		
System Date	Saturday, October 05, 2013		
Trade Date	Saturday, October 05, 2013		
Projected Start/End Times	Pre Open	09:00 EDT	MX pre-market in DR mode, orders accepted
	Opening	09:30 EDT	Market open in DR mode and accepting trades. Trade data will flow from the MX to CDCC's SOLA® Clearing system.
	Closing	13:00 EDT	Market close. End of testing. MX and CDCC will not delete orders and trades from the environment. We strongly encourage all participants to clean up all backend system after testing.
	FTP access	15:00 EDT	MX FTP servers will remain available until 15:00.
Systems to Be Used for Testing	MX DR Site		
Transaction Count	Minimum of 2 transactions		

Test Transaction	Transaction Type (Buy/Sell, Receive/ Deliver, Wire, etc.)	Security Identifier (Symbol/CUSIP/ISIN)	Quantity	Price	Transaction Status (was the order successfully entered on the correct exchange)		
1	B/S	BAX March 14 (BAXH14)	1	98.80 – 99.95	Success	Fail	Other
2	B/S	BB Jan 14 21 Call (BB 140118C21.00)	1	4.15 – 4.95	Success	Fail	Other
3	B/S	SXO DC 14 760 Put (SXO 141219P760.00)	1	42.00 – 55.00	Success	Fail	Other

MX to provide automated Market	MX will provide automated market volumes for bids/offers for the above instruments. Participants will be able to lift or hit MX market. Firm IDs (mnemonic) representing the MX will be 901 and 902.

Transaction Acknowledgment Protocol	For each order/transaction, MX Participants will receive an order/trade confirmation through the initial order entry protocol used. Note: receiving a rejection message implies a successful connectivity test as well.
Availability of Test Day Direct dial in line	**Technical Help Desk**: Toll free 1-877-588-8489 / Local 514-871-7872 **MX Market Operations Department**: Toll free 1-866-576-8836 / Local 514-871-7877
Completion of Test Acknowledgement Protocol	Once successfully completed, please send an email to **DR-BCSupport@m-x.ca** with the following: **Participation to** SIFMA **Firm name and ID** ABC / BDM004 **Application tested** ATR, TMS, HSVF etc. **Successful trade on** BAX, BB or SXO **Comments** Describe any issues you may have encountered during the test, including how they were resolved or if further investigation is required.

2013 Industry Test Utility Participants Support Questionnaire	
Company Name	Montreal Exchange (MX)
Will your support team join the SIFMA Support Conference Bridge on Oct 05? (9:30 AM – 3:00 PM)	**Yes (someone from MX will be on an opened Conf. bridge line and participate on an as-needed basis)**
What is the phone number for your support team on Oct 05?	Technical related questions => **Technical Help Desk**: Toll free 1-877-588-8489 / Local 514-871-7872
What is the email address for your support team on Oct 05?	
What is the name of your support person(s)	E-mail : **DR-BCSupport@m-x.ca** Business related questions => **Market Operations Department**: Local 514-871-7877 (Montreal) or Toll Free 1-866-576-8836

Contact Information for Industry Test Coordinators (list in order primary, secondary, Technical (IT), other)

	Name	Email address	Phone	Cell Phone	Fax
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