



Join us as a supporting Sponsor during our series of  
**2018 C&L REGIONAL SEMINARS**

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SEAPORT HOTEL



**ST LOUIS**  
JUNE 11-12, 2018  
EDWARD JONES



**DENVER**  
JULY 26, 2018  
CHARLES SCHWAB



**CHARLOTTE**  
SEPTEMBER 24-25, 2018  
THE RITZ-CARLTON



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NOVEMBER 5, 2018  
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*SIFMA Compliance & Legal Society*

**[sifma.org/cl](http://sifma.org/cl)**

## Join us as a supporting Sponsor during our series of SIFMA 2018 C&L Regional Seminars

The 2018 Series of SIFMA C&L Regional Seminars will target compliance and legal professionals from the respective regional areas. The majority of attendees will include leading, legal and compliance professionals who occupy decision-making positions within their firms. Many of these individuals are the final decision makers when determining what products and services their firms will purchase that are relative to compliance and legal activities. We are anticipating attendance of 150 - 350+ senior level decision makers, many of which are Senior Compliance Officers representing the Financial Markets Industry.

Position your firm and gain maximum recognition as a Metal Tiered Sponsor by supporting two or more C&L Regional Seminars with investments that offer benefits across many platforms. The showcase of sponsoring firms throughout SIFMA's C&L Regional Seminars will provide participants with personal opportunities to meet and speak with your firm's representatives and cultivate relationships through relevant experiences.

### SIFMA's Sponsorship Package offers maximum value with the following benefits:

#### Pre-Seminar Benefits

- Pre-Seminar marketing exposure with prominent listing of company name, logo and firm profile on the sponsored seminar website
- Preliminary mailing lists of all seminar participants in electronic format sent in advance of each sponsored seminar. Each list will include registrants name, title, firm affiliation and postal address. This list is sent three weeks in advance of each seminar

#### Day of Seminar Benefits

- Complimentary seminar registration(s)
- Access to food and networking functions
- Exhibit Space - table display & two (2) exhibit only personnel badges
- Prominent brand recognition during the sponsored function (if applicable), with logo displayed on dedicated signage that includes both print and digital formats, strategically placed in various outlets that include registration area, general session slide deck, etc.
- Dedicated sponsor listing placed on the Digital Seminar Guide, branding company logo, listing contact name and company profile
- Sponsoring firm's corporate description and logo placed on seminar website

#### Post-Seminar Benefits

- Listing company name, placement of logo and firm profile on each C&L Society Regional Seminar website following the seminar for an additional three (3) months
- Complete mailing lists of all seminar participants sent at the conclusion of each sponsored seminar
- Acknowledgement of sponsorship, with placement of logo on all post-event marketing & survey email campaigns

#### Diamond Sponsor:

Sponsor Five (5) Regional Seminars and receive Diamond Sponsorship recognition. Includes two (2) additional seminar registrations at each sponsored seminar.

#### Platinum Sponsor:

Sponsor Four (4) Regional Seminars and receive Platinum Sponsorship recognition. Includes two (2) additional seminar registrations at each sponsored seminar.

#### Gold Sponsor:

Sponsor Three (3) Regional Seminars and receive Gold Sponsorship recognition. Includes two (2) additional seminar registrations at each sponsored seminar.

#### Silver Sponsor:

Sponsor Two (2) Regional Seminars and receive Silver Sponsorship recognition. Includes two (2) additional seminar registrations at each sponsored seminar.

TO RESERVE YOUR SPONSORSHIP,  
PLEASE CONTACT:

#### DIANA SERRI

Vice President  
Head of Exhibit &  
Sponsorship Sales  
SIFMA

212.313.1258  
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# SIFMA C&L SOCIETY ST. LOUIS REGIONAL SEMINAR

JUNE 11 – 12 | EDWARD JONES CONFERENCE CENTER

## AVAILABLE SPONSORSHIP OPPORTUNITIES

\$10,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

Includes: Three (3) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon **AVAILABLE**
- Networking Cocktail Reception **AVAILABLE**

\$8,000 | SIFMA Member Rate

\$10,000 | Non-Member Rate

Includes: Two (2) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Future Leaders Session, Reception - June 11 – **NEW AVAILABLE**
- Networking Continental Breakfast **AVAILABLE**

\$7,000 | SIFMA Member Rate

\$9,000 | Non-Member Rate

Includes: One (1) Seminar Registration  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments
  - Morning **SOLD!**
  - Afternoon **SOLD!** MCGUIREWOODS
- Badge Lanyard **SOLD!** GREENSFELDER  
ATTORNEYS AT LAW  
*Sponsoring firm responsible for production and delivery fees.*
- Cell Phone Recharge Zone **AVAILABLE**
- Digital Seminar Guide **AVAILABLE**
- Notebook/Writing Tablet & Pen **AVAILABLE**  
*Sponsoring firm responsible for production and delivery fees.*

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# SIFMA C&L SOCIETY DENVER REGIONAL SEMINAR

JULY 26 | CHARLES SCHWAB

## AVAILABLE SPONSORSHIP OPPORTUNITIES

\$7,500 | SIFMA Member Rate

\$9,000 | Non-Member Rate

Includes: Three (3) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon **AVAILABLE**
- Networking Cocktail Reception **AVAILABLE**

\$6,500 | SIFMA Member Rate

\$8,000 | Non-Member Rate

Includes: Two (2) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Continental Breakfast **AVAILABLE**

\$5,500 | SIFMA Member Rate

\$7,000 | Non-Member Rate

Includes: One (1) Seminar Registration  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments
  - Morning **AVAILABLE**
  - Afternoon **AVAILABLE**
- Badge Lanyard **AVAILABLE**  
*Sponsoring firm responsible for production and delivery fees.*
- Cell Phone Recharge Zone **AVAILABLE**
- Digital Seminar Guide **AVAILABLE**
- Notebook/Writing Tablet & Pen **AVAILABLE**  
*Sponsoring firm responsible for production and delivery fees.*

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# SIFMA C&L SOCIETY CHARLOTTE REGIONAL SEMINAR

SEPTEMBER 24 – 25 | THE RITZ CARLTON

## AVAILABLE SPONSORSHIP OPPORTUNITIES

\$10,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

Includes: Three (3) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon **SOLD!** McGUIREWOODS
- Networking Cocktail Reception **SOLD!** 

\$8,000 | SIFMA Member Rate

\$10,000 | Non-Member Rate

Includes: Two (2) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Continental Breakfast **SOLD!** **Moore&VanAllen**
- Wi-Fi for Attendees **SOLD!**  Integreon
- Future Leaders Breakfast Session – September 25 – **NEW AVAILABLE**

\$7,000 | SIFMA Member Rate

\$9,000 | Non-Member Rate

Includes: One (1) Seminar Registration  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments
  - Morning **AVAILABLE**
  - Afternoon **AVAILABLE**
- Badge Lanyard **SOLD!**
- Cell Phone Recharge Zone **SOLD!** **Morgan Lewis**
- Digital Seminar Guide **AVAILABLE**
- Notebook/Writing Tablet & Pen **AVAILABLE**  
*Sponsoring firm responsible for production and delivery fees.*

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# SIFMA C&L SOCIETY NEW YORK REGIONAL SEMINAR

NOVEMBER 5 | MARRIOTT MARQUIS

## AVAILABLE SPONSORSHIP OPPORTUNITIES

\$10,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

Includes: Three (3) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon **SOLD!** **McGUIREWOODS**
- Evening Cocktail Reception **SOLD!** **Consilio**

\$8,500 | SIFMA Member Rate

\$10,500 | Non-Member Rate

Includes: Two (2) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Continental Breakfast **SOLD!**
- Attendee Wi-Fi **AVAILABLE**  
*Offers sponsoring firm an opportunity to provide a customized SSID code for internet access.*

\$7,000 | SIFMA Member Rate

\$9,000 | Non-Member Rate

Includes: One (1) Seminar Registration  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments
  - Morning **SOLD!**
  - Afternoon **SOLD!** **Morgan Lewis**
- Badge Lanyard **SOLD!** **Katten**  
KattenMuchinRosenman LLP
- Cell Phone Recharge Zone **AVAILABLE**
- Digital Seminar Guide **AVAILABLE**
- Notebook/Writing Tablet & Pen **AVAILABLE**  
*Sponsoring firm responsible for production and delivery fees.*

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