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Contact: Brandon Douglas, President, Exiger Tech, Global Head, Analytics, Exiger | 212.455.9400 | newyork@exiger.com | www.exiger.com

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Contact: William D. Briendel, Co-Chair, Securities Litigation Practice, Greenberg Traurig LLP, MetLife Building, 200 Park Avenue, New York, NY 10166 | 212.801.2107 | briendelw@gtlaw.com | Tracy L. Gerber, Co-Chair, Securities Litigation Practice, 777 South Flagler Drive, Suite 300 East, West Palm Beach, FL 33401 | 561.650.7985 | gerbert@gtlaw.com | www.gtlaw.com

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**Lunch & Learn – Tuesday, March 20th**
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At a time of significant change in the legal industry, Allen & Overy is determined to continue leading the market as we have done throughout our 87-year history. To support our clients' international strategies, we have built a truly global network now spanning 44 offices in 31 countries. We have also developed strong ties with relationship law firms in over 100 countries where we do not have a presence. This network makes us one of the largest and most connected law firms in the world, with a global reach and local depth that is simply unrivalled. Global coverage in today's market does not simply mean having offices in important cities around the world. For us, it means combining our international resources and sector expertise to work on cross-border transactions directly in the markets and regions important to our clients.

Contact: Katie Frost, Business Development Assistant, Allen & Overy LLP, 1101 New York Ave NW, Washington, DC 20002 | 202.583.3936 | katie.frost@allenovery.com | www.allenovery.com

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Contact: Brian F. Amery, Managing Principal, Securities, Bressler, Amery & Ross, P.C., 170 State Street, New York, NY 10004 | 212.425.9300 | bamery@bressler.com | www.bressler.com

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CRAVATH

## Lunch – Tuesday, March 20th

Leading financial institutions, senior management and boards turn to Cravath for their most challenging and critical litigation, transactions and regulatory compliance matters. We understand our clients' businesses, and use our broad-based litigation and corporate experience to develop practical and creative solutions that meet our clients' immediate and long-term goals. Our litigators handle a wide range of matters, including securities, antitrust, M&A, bankruptcy, intellectual property, and general commercial litigation and arbitration, as well as government and internal investigations. Our corporate practice handles securities, M&A, banking and credit transactions, financial restructuring and reorganization, SEC compliance and disclosure, governance and board advisory, tax and executive compensation, intellectual property and environmental matters. Every client receives the full attention of an experienced, interdisciplinary team dedicated to successfully navigating a fluid economic and legal environment.

Contact: Daniel Slifkin, Partner, Head of Litigation, Cravath, Swaine & Moore LLP, Worldwide Plaza, 825 Eighth Avenue, New York, NY 10019 | 212.474.1438 | dslifkin@cravath.com | www.cravath.com

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Crowell & Moring LLP is an international law firm with approximately 500 lawyers representing clients in litigation and arbitration, regulatory, and transactional matters. The firm is internationally recognized for its representation of Fortune 500 companies in high-stakes litigation, as well as its ongoing commitment to pro bono service and diversity. The firm has offices in Washington, DC, New York, Los Angeles, San Francisco, Orange County, London, and Brussels.

Contact: Deborah Feinberg, Director, Practice Group Business Development & Management, Crowell & Moring LLP, 1001 Pennsylvania Ave., Washington, DC 20004 | 202.508.8995 | dfeinberg@crowell.com | www.crowell.com

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Davis Polk

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FRIED FRANK

**Networking Reception – Sunday, March 18th**

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KIRKLAND & ELLIS

## Closing Night Reception – Tuesday, March 20th

Kirkland & Ellis is among the world's leading law firms, with more than 2,000 attorneys in 13 offices throughout the world. The firm has a premier government investigations practice, having represented clients in numerous significant matters involving securities and bank fraud, government contract procurement fraud, financial disclosure and accounting fraud, private funds, environmental crimes, commercial bribery, antitrust violations, privacy issues, qui tam and whistleblower retaliation, money laundering and FCPA and other anti-corruption laws.
Among Kirkland's attorneys are numerous senior officials from the Department of Justice and the Securities and Exchange Commission, including the former Deputy Attorney General of the United States and federal judge in the United States District Court for the Northern District of Illinois, and the former Director of the SEC's Division of Enforcement and Chief of the Securities and Commodities Fraud Task Force in the United States Attorney's Office for the Southern District of New York, as well as several attorneys who formerly practiced in general counsels' offices at leading companies.
Please visit www.kirkland.com for more information on Kirkland's Government, Regulatory & Internal Investigations practice.

Contact: Kirkland & Ellis LLP, 300 N. LaSalle Street, Chicago, IL 60654 | 312.862.2000

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Morgan Lewis

## Networking Reception – Monday, March 19th

For nearly 40 years, Morgan Lewis's Securities Enforcement Practice has represented financial institutions, public companies, and senior executives in regulatory investigations and enforcement proceedings conducted by the US Securities and Exchange Commission (SEC), the US Department of Justice (DOJ), and other governmental agencies, as well as all major self-regulatory organizations, including FINRA. The group also conducts internal investigations and acts as an independent consultant to firms in matters arising out of regulatory settlements. Our team includes former high-ranking government prosecutors and veteran SEC lawyers. These include a former Chief Litigation Counsel for the Division of Enforcement, as well as more than 20 other former SEC attorneys. We also have practitioners who previously worked at the DOJ and FINRA, as well as several former government prosecutors from districts across the United States.

Contact: Timothy P. Burke, Partner, Head of Securities Enforcement and Litigation Practice, Morgan, Lewis & Bockius LLP, One Federal Street, Boston, MA 02110
| 617.951.8620 | timothy.burke@morganlewis.com | www.morganlewis.com

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Paul | Weiss

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Paul Weiss is a firm of more than 900 lawyers with diverse backgrounds, personalities, ideas and interests who provide innovative and effective solutions to our clients' most complex legal and business challenges. We take great pride in representing the world's leading companies in their critical legal matters and most significant business transactions, as well as individuals and organizations in need of pro bono assistance.

Contact: Brad S. Karp, Chairman, Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, NY 10019 | 212.373.3316 | bkarp@paulweiss.com | www.paulweiss.com

ROPES & GRAY

### After-Hours Reception – Tuesday, March 20th

Ropes & Gray is one of the world's premier international law firms, with more than 1,200 lawyers serving clients across the globe. Our securities enforcement, litigation and regulatory practices are regularly recognized as some of the leading practices in the country by organizations including Chambers, Benchmark and US News. We have over 100 lawyers who specialize in defense of regulatory investigations, internal investigations, preventative counseling and private securities actions. This team includes numerous lawyers who have held high-level positions within key US Attorneys' Offices, the SEC, and the DOJ. We have 150 years of experience representing financial services firms in their corporate, regulatory and litigation needs. We bring that experience to bear in providing our clients with innovative, cost-effective, sophisticated solutions to their most complex securities litigation and enforcement challenges. For more information, please visit https://www.ropesgray.com/practices/securities-and-futures-enforcement

Contact: Hannah Boyaggi, Practice Development Manager, Ropes & Gray LLP, Prudential Tower, 800 Boylston Street, Boston, MA 02199 | 617.854.2827 | hannah.boyaggi@ropesgray.com | www.ropesgray.com

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Contact: Sean Dowd, Managing Director, AlixPartners | 212.845.4029 | sdowd@alixpartners.com | Susan Markel, Managing Director, AlixPartners | 202.756.9016 | smarkel@alixpartners.com | https://www.alixpartners.com/services/investigations-disputes-risk/

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DLA Piper is a global law firm with lawyers worldwide. It provides its clients with legal and business solutions locally, regionally and internationally using integrated teams of lawyers with experience that spans a broad range of disciplines. DLA Piper is widely recognized for its pioneering approach and extensive geographic reach, which enables the practice to look after the business issues that matter to its clients - whether they are large or small. Clients of DLA Piper include single-owner startups, local and household name companies, multinationals, financial institutions, FTSE and Fortune 500 enterprises and their subsidiaries, public bodies and governments.

Contact: Daniel Hill, DLA Piper, 401 B Street, Suite 1700, San Diego, CA 92101 | 619.699.2800 | daniel.hill@dlapiper.com | www.dlapiper.com

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## Lunch – Monday, March 19th

Today's complex global financial markets require swift and strategic approaches to address the priorities of financial institutions, and our attorneys continue to guide clients through the global uncertainties affecting financial institutions. Jones Day's One Firm Worldwide approach allows us to create multi-office cross-practice counseling, transactional and litigation teams to support our clients. All clients are Firm clients; we ensure that all matters are staffed appropriately for the job, regardless of geographic location or practice. Our commitment to client service has repeatedly earned the Firm first-place ratings from The BTI Consulting Group, an organization that monitors client satisfaction with legal services. Since the inception of the BTI Client Service ranking, Jones Day has ranked number one eight times. Our consistent high performance has earned the Firm a place among the elite firms elected to the BTI Client Service Hall of Fame.

Contact: Henry Klehm, Partner, Jones Day | 212.326.3706 | hklehm@jonesday.com | www.jonesday.com

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## Pocket Program Guide

King & Spalding's Securities Enforcement and Regulation lawyers regularly counsel global banks, broker-dealers, asset managers and pension funds, as well as accountants, attorneys and other active advisors within the financial services industry, across the spectrum of compliance, regulatory and enforcement matters they face. We have deep experience with matters before the SEC, FINRA, CFTC, DOJ and other federal, state, and international and self-regulatory enforcement organizations. The group calls upon the talents of more than 40 former federal prosecutors and senior officials from the SEC and other regulatory and enforcement agencies. King & Spalding's Business & Securities Litigation lawyers regularly defend financial institutions, investment banks and corporate executives involved in high stakes matters. We have handled numerous commercial disputes and shareholder litigations filed throughout the country and have a proven track record of successful results—both at the motion to dismiss stage and, if necessary, all the way through trial.

Contact: Jac Calabro, Business Development Manager, King & Spalding LLP, 1185 Avenue of the Americas, New York, NY 10036 | 212.556.2250 | jcalabro@kslaw.com | www.kslaw.com

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### Learning Lab – Monday, March 19th

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Contact: www.accenture.com

WACHTELL
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### Continental Breakfast – Tuesday, March 20th

Wachtell, Lipton, Rosen & Katz is one of the most prominent business law firms in the United States. The firm's pre-eminence in the fields of mergers and acquisitions, takeovers and takeover defense, strategic investments, corporate and securities law, and corporate governance means that it regularly handles some of the largest, most complex and demanding transactions in the United States and around the world. The firm's litigation practice is consistently at the cutting edge of the leading commercial and corporate litigation battles dominating headlines, from complex takeover and merger litigation and significant white collar criminal investigations, to other sensitive litigation matters that include counseling boards of directors and senior management in the most sensitive situations. The firm features consistently in the top rank of legal advisors. Its attorneys are also recognized thought leaders, frequently teaching, speaking and writing in their areas of expertise.

Contact: Ariane G. Finkel (Arie), Director of Client Relations, Wachtell, Lipton, Rosen & Katz | agfinkel@wlrk.com | www.wlrk.com

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CADWALADER

## Networking Refreshments (Session 1) – Tuesday, March 20th

Cadwalader, Wickersham & Taft LLP, founded in downtown New York in 1792, is proud of more than 225 years of service to many of the world's most prestigious financial institutions and corporations. With 400 attorneys practicing in the United States and Europe, we offer clients innovative solutions to legal and financial issues in a wide range of areas, including antitrust, business fraud, compliance and regulation, corporate and commercial finance, derivatives and structured products, energy and commodities, ERISA, executive compensation and benefits, financial restructuring, governance, healthcare, insurance/risk-linked securities, intellectual property, investment management, litigation, M&A, not-for-profit, private equity, private wealth, securitization and asset based finance, tax matters, and white collar defense and investigations.

Contact: Jennifer Olson, Senior Business Development Manager, Cadwalader, Wickersham & Taft LLP, 227 West Trade Street, Charlotte, NC 28202 | jennifer.olson@cwt.com | www.cwt.com

CLEARY GOTTLIEB

## Networking Refreshments (Session 1) – Monday, March 19th

A leading international law firm with 16 offices located in major financial centers around the world, Cleary Gottlieb has been a leader for more than 70 years in providing the highest quality legal services to clients around the globe. Cleary is consistently selected by domestic and international financial institutions, multinational corporations, trade associations, exchanges, and other market participants to advise on their most challenging securities regulatory, transactional, litigation, and enforcement issues. Cleary has been ranked in the top tier for securities regulation, financial services, and derivatives by *Chambers USA* every year since the inception of these categories, and was named Financial Regulation Team of the Year by *International Financial Law Review* in 2016. Our experienced team includes two former General Counsel of the FDIC, several former senior SEC officials, and 10 former federal prosecutors.

Contact: www.cgsh.com

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## Women's Luncheon – Monday, March 19th

Clifford Chance US represents major US and global financial institutions on all aspects of financial services law and regulation, cyber, economic sanctions and export controls, anti-money laundering, anti-corruption, and other laws and regulations affecting domestic and international business. We offer a wealth of practical expertise, including former government lawyers from the DOJ, Federal Reserve, SEC, CFTC, DFS, Department of the Treasury, and the Department of Commerce, combined with decades of advisory and litigation defense experience in front of these and other federal and state agencies and SROs. Our team is part of a fully-integrated global financial service and litigation practice that uniquely positions us to advise domestically and globally on complex, high stakes matters and in managing complex class actions and increasingly common parallel administrative and criminal proceedings when they arise.

Contact: Clifford Chance US LLP, 2001 K Street, NW, Washington, DC 20006 | www.cliffordchance.com

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Debevoise & Plimpton is a premier law firm providing sophisticated regulatory, transactional, compliance and enforcement advice to a broad array of financial services clients. We help our clients navigate their most technical issues, manage critical enforcement and compliance challenges and complete complex transactions. Respected by enforcement agencies, our lawyers are renowned for their investigative skill and seasoned judgment. This makes the team a powerful advocate for clients, and leads to considerable success in matters involving investigations by the SEC, DOJ, New York Attorney General, UK Serious Fraud Office and other authorities around the world. With a team that includes 15 former Assistant U.S. Attorneys or DOJ lawyers, the immediate past Chair and Director of Enforcement of the SEC and three former SEC Unit Chiefs, our lawyers provide clients unmatched insights. We provide a holistic offering of regulatory, litigation and transactional solutions, evident from our 2017 Banking Practice Group of the Year selection by Law360. Debevoise's interdisciplinary team offers financial institutions experienced counsel for todays' evolving business climate.

Contact: Satish Kini, Partner, Debevoise & Plimpton LLP, 919 Third Avenue, New York, NY 10022 | 202.383.8190 | smkini@debevoise.com | www.debevoise.com

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FITS Consulting, is a leading professional consulting firm, specializing in the financial services industry. Leveraging our experience, innovative thinking and service excellence, FITS delivers business value to our clients and the industry. Our industry practices span Capital Markets, Banking, Insurance, Wealth and Investment Management. Within our practice areas we focus on solutions ranging from: Strategy, Platform Conversion, Program Delivery, Risk and Regulatory, Compliance, Technology, Managed Services, and Credit Lending. FITS offers alternative solutions to the rising demands and costs of regulatory compliance. Our professionals provide comprehensive expertise and support which enables clients to react proactively to economic, market, and regulatory changes. Long-term client relationships are achieved through proven solutions driving business value using a broad range of core services and expertise. FITS consultants stand ready to navigate clients through the complex challenges faced in today's industry.

Contact: Henry Lange, President & CEO, FITS Consulting, 400 Morris Ave, Suite 264, Denville, NJ 07834 | 973.586.8877 | hlange@fitsconsulting.com | Jeffrey Powers, Senior Vice President, FITS Consulting, 400 Morris Ave, Suite 264, Denville, NJ 07834 | jpowers@fitsconsulting.com | www.fitsconsulting.com

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When a financial services company is faced with a securities, shareholder derivative, ERISA or other high-stakes class action, they need a partner who understands what is at stake and can think outside the box to achieve resolution. Goodwin's deep experience, creative thinking and tenacity has led to our involvement in precedent-setting securities cases in courts across the country. The results we achieve are best of breed and have helped shape the law nationally. Our cases range from defending companies in class action securities fraud litigation brought following restatements of earnings or the release of bad business news, to resolving shareholder derivative challenges, to multibillion dollar mergers and acquisitions. With an extraordinary collective reputation, we are well prepared to handle the full range of challenges facing our clients, tailored to meet your specific needs. Our team will support you with the services and representation you need so you can more effectively manage your business.

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KPMG LLP, the audit, tax and advisory firm, is the U.S. member firm of KPMG International Cooperative ("KPMG International"). KPMG is a global network of professional services firms providing Audit, Tax and Advisory services. We operate in 154 countries and territories and have 200,000 people working in member firms around the world.

Contact: Tracy Whille, Principal, Advisory, KPMG LLP, 345 Park Avenue, New York, NY 10154 | 212.758.9700 | twhille@kpmg.com | www.kpmg.com

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Latham & Watkins has more than 2,600 lawyers located in the world's major financial and regulatory centers in more than 30 offices across the United States, Middle East, Europe and Asia. With more than 60 international practice groups and industry teams, Latham is consistently ranked in the top tier by leading legal and business publications such as The American Lawyer, Financial Times, Chambers and Partners, The Legal 500 and Asia Legal Business. Latham's Financial Institutions Group advises clients on cutting-edge transactions, sensitive regulatory issues and litigation matters, and distinguishes itself with its ability to comprehensively address the issues facing global financial institutions. Through its globally integrated regulatory, transactional, and litigation practices, it provides clients with the advice needed to navigate today's highly regulated markets. The team provides comprehensive global counseling on local regulations relating to banking, securities brokerage, derivatives, stock exchange matters, and other financial products and transactions.

Contact: Phoebe Medeiros, Industry Development Specialist – FIG, Latham & Watkins LLP, 885 Third Avenue, New York, NY 10022 | 212.906.3055 | phoebe.medeiros@lw.com | www.lw.com

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Neal, Gerber & Eisenberg LLP is a Chicago based law firm providing legal services to a broad spectrum of clients in business transactions, regulatory proceedings, and litigation matters. The Securities Litigation & Regulatory Practice Group has represented financial services firms and persons affiliated with them for over forty years with respect to a wide range of issues in litigation, arbitration, mediation, employment disputes, and regulatory matters throughout the country. Our in-depth knowledge of the financial services industry and regulatory environment, coupled with our extensive, national courtroom and arbitration trial experience, enables us to mount sophisticated, successful defenses and handle regulatory matters on a cost-effective basis across the country.

Contact: H. Nicholas Berberian, Partner, Neal, Gerber & Eisenberg LLP, Two North LaSalle Street, Chicago, IL 60602 | 312.269.8005 | nberberian@nge.com | www.ngelaw.com

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NORTON ROSE FULBRIGHT

## Networking Refreshment (Session 2) – Monday, March 19th

Norton Rose Fulbright is a global law firm. We provide the world's preeminent corporations and financial institutions with a full business law service. We have more than 4,000 lawyers and other legal staff based in Europe, the United States, Canada, Latin America, Asia, Australia, Africa, the Middle East and Central Asia. With over 1,000 professionals dedicated to financial institutions, we advise many of the world's leading banks, asset managers, financial investors and insurance companies, both locally and on a global scale. We counsel our financial institutions clients on virtually every aspect of the industry, from day-to-day transactions to high-level and complex cross-border operations, taking a holistic approach to the range of their legal requirements. Having substantial resources in leading financial centers and on-the-ground teams in many of the fastest growing emerging markets, we are well positioned to help clients realize their objectives wherever they are in the world.

Contact: Rodney Acker, Partner, Norton Rose Fulbright, 2200 Ross Avenue, Suite 3600, Dallas, TX 75201 | 214.855.7466 | rodney.acker@nortonrosefulbright.com | www.nortonrosefulbright.com

Learn more about Norton Rose Fulbright (PDF)

## Run for a Purpose – Monday, March 19th

Orrick, Herrington & Sutcliffe LLP is a global law firm focused on serving companies in the finance, technology, and energy & infrastructure sectors. Capitalizing on a wealth of financial services industry experience, our litigators and regulatory lawyers have achieved outstanding results for the world's major financial institutions in their most complex disputes, and regularly provide compliance counseling to avoid litigation and regulatory risk. A third of our global practice is dedicated to the financial services sector, enabling our litigators to draw on transactional teams with deep understanding of the products and market practices underlying the claims. With this insight, we focus on developing creative solutions – in and out of court.

Contact: Barry Levin, Partner, Orrick, Herrington & Sutcliffe LLP | blevin@orrick.com | www.orrick.com

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## Sport Bottle

Shearman & Sterling has been advising many of the world's leading financial institutions, governments and central banks for more than 140 years. Our teams work across disciplines and jurisdictions to provide the highest quality legal services, bringing their collective experience to bear on the important issues facing our clients. Our commitment to providing insightful and valuable legal advice to our clients has resulted in groundbreaking transactions in all major financial centers around the world and we have also advised on some of the world's most notable disputes. At Shearman & Sterling, we are uncompromising when it comes to delivering exceptional client service. We understand the importance of building strong global partnerships with clients and consistently look for innovative ways to enhance our value to them in everything we do.

Contact: Adam S. Hakki, Global Head of Litigation, Shearman & Sterling LLP, 599 Lexington Avenue, New York, NY 10022 | 212.848.4924 | ahakki@shearman.com | Russell D. Sacks, Partner, Shearman & Sterling LLP, 599 Lexington Avenue, New York, NY 10022 | 212.848.7585 | rsacks@shearman.com | www.shearman.com

## Build a Bike Charity Event – Tuesday, March 20th

Contact: www.sidley.com

Skadden

## Welcome Hospitality – Sunday, March 18th

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STROOCK

## Networking Refreshments (Session 1) – Monday, March 19th

For more than 140 years, Stroock has been firmly committed to helping our clients achieve their business goals. We are a team of 300 transactional, regulatory and litigation lawyers who work with some of the world's most prominent organizations. Built on the combined strength and national reputation of our litigation and regulatory team and our deep roots in the financial sector, we help financial institutions, boards of directors and audit committees, and individual senior officers and directors win cases, mitigate risks, comply with regulations and defend against enforcement actions throughout the United States and abroad. No matter the challenges that our clients face in the financial services sector, our team—which includes lawyers with decades of financial services experience, as well as former senior government officials, prosecutors and in-house counsel at global financial institutions— has the expertise and knowledge to provide guidance on high-stakes disputes and cutting-edge solutions related to litigation, regulatory and enforcement developments.

Contact: Michael Keats, Partner, Stroock & Stroock & Lavan LLP, 180 Maiden Lane, New York, NY 10038 | 212.806.5533 | mkeats@stroock.com | Samantha McNulty, Senior Manager, Recruiting, Personnel & Marketing, Stroock & Stroock & Lavan LLP, 2029 Century Park East, Los Angeles, CA 90067 | 310.556.5814 | smcnulty@stroock.com | www.stroock.com

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Weil

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Weil, Gotshal & Manges LLP is a leader in the marketplace for sophisticated, global legal services. Weil's pioneering "one firm" approach, including the integration of multiple practice areas and approximately 1,100 attorneys across three continents allows the Firm to work with financial services companies to address and resolve their unique set of cutting-edge legal issues. Drawing on lawyers from across our practices, we partner with commercial and investment banks, broker-dealers, real estate companies, private equity companies, insurers, and issuers, and their directors and senior management, to provide cutting-edge counseling on sophisticated international transactions, complex securities, corporate, commercial, and regulatory matters, and sensitive internal and governmental investigations. We are well-

known for representing some of the largest financial sector companies in an array of legal issues arising out of the recent financial crisis, and we continue to advise these and others on their most significant challenges in a continually evolving regulatory environment.

Contact: Jonathan Polkes, Partner and Co-Chair of Litigation, Weil, Gotshal & Manges LLP, 767 Fifth Avenue, New York, NY 10153 | 212.310.8881 | jonathan.polkes@weil.com | www.weil.com

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## Diversity Breakfast – Date TBD

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