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The 2019 Guide to Products and Services for the Securities Industry





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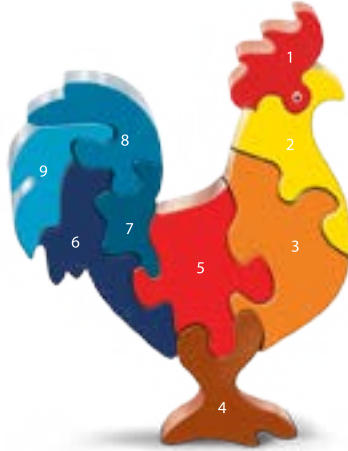
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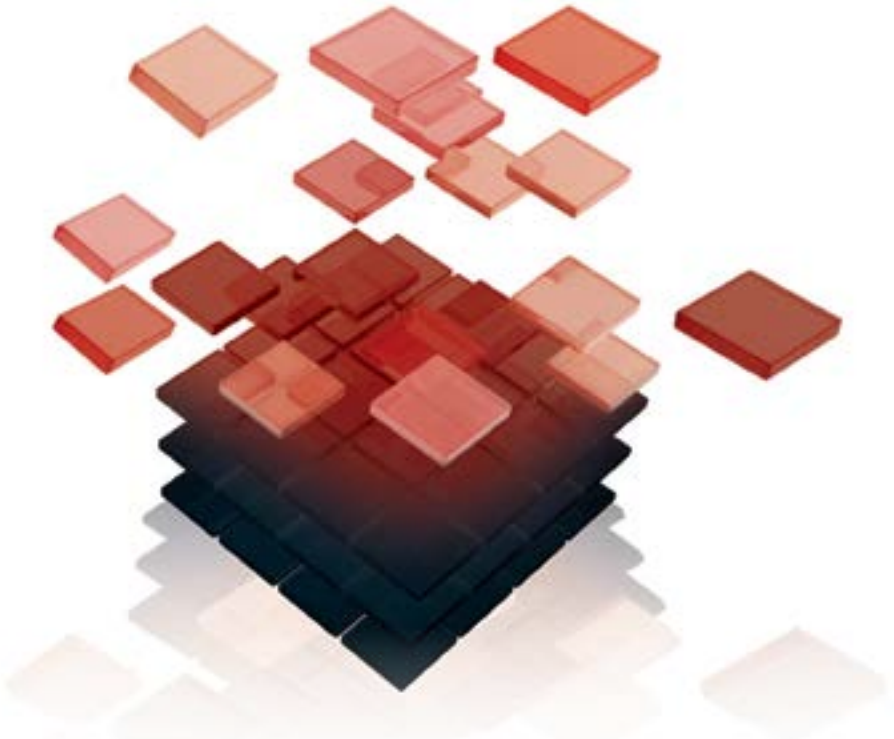
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n-Tier is a regulatory-focused software company that helps firms automate the validation processes required to ensure the accuracy and completeness of their regulatory reporting submissions. We provide clients with a RegOps platform coupled with deep regulatory expertise built-up through years of working on regulatory data validation and remediation programs across different brokerage firms. Used by some of the most well-known firms in the industry, our Compliance Workbench provides clients with a sustainable process focusing on 100% validation and auto-correction of each regulatory report. With unique in-depth experience in reporting requirements such as Blue Sheets, OATS, CAT, LOPR and AML, we provide clients with a flexible solution which centralizes the validation process across regulatory requirements.

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