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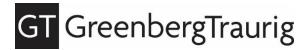


Closing Night Reception - Tuesday, March 20th

Exiger is a global regulatory and financial crime, risk and compliance company. Exiger arms financial institutions, multinational corporations and governmental agencies with the practical advice and technology solutions they need to prevent compliance breaches, respond to risk, remediate major issues and monitor ongoing business activities. Exiger works with clients worldwide to assist them in effectively managing their critical challenges while developing and implementing the policies, procedures and programs needed to create a sustainable compliance environment. A global authority on regulatory compliance, the company also oversees some of the world's most complex court-appointed and voluntary monitorships in the private and public sectors, including the monitorship of HSBC. Exiger has four principal business units being: Exiger Advisory; Exiger Diligence; Exiger Government Services; and Exiger Tech, including Al-based automated due diligence solutions DDIQ and Insight 3PM. Exiger operates through offices in New York City, Silver Spring (DC Metro), Miami, Toronto, Vancouver, London, Hong Kong, and Singapore.

Contact: Brandon Douglas, President, Exiger Tech, Global Head, Analytics, Exiger | 212.455.9400 | newyork@exiger.com | www.exiger.com

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Greenberg Traurig has one of the broadest and deepest broker-dealer practices in the country, with team members located in key geographic locations nationwide. We help our clients formulate strategies and take steps to limit litigation and regulatory risk. We regularly serve as lead defense counsel in complex, high stakes enforcement matters before the SEC, FINRA and state regulators. Our trial experience in court and arbitration across the nation is unparalleled: the members of our team have collectively tried to conclusion well over one thousand matters involving a wide variety of issues facing the financial services industry. The value we can provide is enhanced by our commitment to work closely with attorneys in related disciplines throughout the firm.

Contact: William D. Briendel, Co-Chair, Securities Litigation Practice, Greenberg Traurig LLP, MetLife Building, 200 Park Avenue, New York, NY 10166 | 212.801.2107 | briendelw@gtlaw.com | Tracy L. Gerber, Co-Chair, Securities Litigation Practice, 777 South Flagler Drive, Suite 300 East, West Palm Beach, FL 33401 | 561.650.7985 | gerbert@gtlaw.com | www.gtlaw.com | www



Event Mobile App Lunch & Learn – Tuesday, March 20th Two (2) Light Boxes

QuisLex is an award-winning legal services provider that specializes in managed document review, contract management, compliance services, legal spend management, and legal operations consulting. We employ operational excellence, Six Sigma based quality processes and ISO certified data security to support large-scale, complex legal projects for companies and law firms. Our full-time highly trained attorneys, process experts, statisticians and linguists work closely with our clients to help reduce cost, mitigate risk and maximize efficiency. QuisLex is regularly acknowledged as a leader in the legal services industry, and is proud to be recognized by Chambers and Partners as a Band 1 Legal Process Outsourcing Provider, New York Law Journal as a Top Managed Document Review Services Provider, and the IACCM as its Outstanding Service Provider for contract management solutions.

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At a time of significant change in the legal industry, Allen & Overy is determined to continue leading the market as we have done throughout our 87-year history. To support our clients' international strategies, we have built a truly global network now spanning 44 offices in 31 countries. We have also developed strong ties with relationship law firms in over 100 countries where we do not have a presence. This network makes us one of the largest and most connected law firms in the world, with a global reach and local depth that is simply unrivalled. Global coverage in today's market does not simply mean having offices in important cities around the world. For us, it means combining our international resources and sector expertise to work on cross-border transactions directly in the markets and regions important to our clients.

Contact: Katie Frost, Business Development Assistant, Allen & Overy LLP, 1101 New York Ave NW, Washington, DC 20002 | 202.583.3936 | katie.frost@allenovery.com | www.allenovery.com

BRESSLER AMERYROSS

Closing Night Reception - Tuesday, March 20th

Bressler, Amery & Ross, P.C. is a full-service law firm with offices in New York, New Jersey, Florida, and Alabama. Bressler's securities practice group is one of the largest in the country, with more than ninety experienced lawyers representing a wide range of financial services firms, including major global and domestic broker-dealers, independent broker-dealers, investment advisors, hedge funds and futures commission merchants. The firm specializes in complex institutional, retail and employment litigation, defending regulatory investigations and proceedings, conducting internal investigations and counseling clients on domestic and international regulatory, compliance, operational and transactional matters. Bressler's securities attorneys are active participants in a wide range of industry organizations and associations and frequent speakers at public conferences and clients' internal conferences. The firm focuses on working closely with in-house counsel and business clients to provide thoughtful, tailored strategies and excellent advice and service in a cost-effective manner.

Contact: Brian F. Amery, Managing Principal, Securities, Bressler, Amery & Ross, P.C., 170 State Street, New York, NY 10004 | 212.425.9300 | bamery@bressler.com | www.bressler.com

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Contact: www.cravath.com



After-Hours Reception - Tuesday, March 20th

Crowell & Moring LLP is an international law firm with approximately 500 lawyers representing clients in litigation and arbitration, regulatory, and transactional matters. The firm is internationally recognized for its representation of Fortune 500 companies in high-stakes litigation, as well as its ongoing commitment to pro bono service and diversity. The firm has offices in Washington, DC, New York, Los Angeles, San Francisco, Orange County, London, and Brussels.

Contact: Deborah Feinberg, Director, Practice Group Business Development & Management, Crowell & Moring LLP, 1001 Pennsylvania Ave., Washington, DC 20004 | 202.508.8995 | dfeinberg@crowell.com | www.crowell.com

Davis Polk

Networking Reception - Monday, March 19th

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Badge Lanyard

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FRIED FRANK

Networking Reception - Sunday, March 18th

Contact: www.friedfrank.com

KIRKLAND & ELLIS

Closing Night Reception - Tuesday, March 20th

Kirkland & Ellis is among the world's leading law firms, with more than 2,000 attorneys in 13 offices throughout the world. The firm has a premier government investigations practice, having represented clients in numerous significant matters involving securities and bank fraud, government contract procurement fraud, financial disclosure and accounting fraud, private funds, environmental crimes, commercial bribery, antitrust violations, privacy issues, qui tam and whistleblower retaliation, money laundering and FCPA and other anti-corruption laws.

Among Kirkland's attorneys are numerous senior officials from the Department of Justice and the Securities and Exchange Commission, including the former Deputy Attorney General of the United States and federal judge in the United States District Court for the Northern District of Illinois, and the former Director of the SEC's Division of Enforcement and Chief of the Securities and Commodities Fraud Task Force in the United States Attorney's Office for the Southern District of New York, as well as several attorneys who formerly practiced in general counsels' offices at leading companies.

Please visit www.kirkland.com for more information on Kirkland's Government, Regulatory & Internal Investigations practice.

Contact: Kirkland & Ellis LLP, 300 N. LaSalle Street, Chicago, IL 60654 | 312.862.2000 | www.kirkland.com



Networking Reception – Monday, March 19th

For nearly 40 years, Morgan Lewis's Securities Enforcement Practice has represented financial institutions, public companies, and senior executives in regulatory investigations and enforcement proceedings conducted by the US Securities and Exchange Commission (SEC), the US Department of Justice (DOJ), and other governmental agencies, as well as all major self-regulatory organizations, including FINRA. The group also conducts internal investigations and acts as an independent consultant to firms in matters arising out of regulatory settlements. Our team includes former high-ranking government prosecutors and veteran SEC lawyers. These include a former Chief Litigation Counsel for the Division of Enforcement, as well as more than 20 other former SEC attorneys. We also have practitioners who previously worked at the DOJ and FINRA, as well as several former government prosecutors from districts across the United States.

Contact: Timothy P. Burke, Partner, Head of Securities Enforcement and Litigation Practice, Morgan, Lewis & Bockius LLP, One Federal Street, Boston, MA 02110

[617.951.8620 | timothy.burke@morganlewis.com | www.morganlewis.com

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Paul Weiss

Networking Reception - Sunday, March 18th

Paul Weiss is a firm of more than 900 lawyers with diverse backgrounds, personalities, ideas and interests who provide innovative and effective solutions to our clients' most complex legal and business challenges. We take great pride in representing the world's leading companies in their critical legal matters and most significant business transactions, as well as individuals and organizations in need of pro bono assistance.

Contact: Brad S. Karp, Chairman, Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, NY 10019 | 212.373.3316 | <u>bkarp@paulweiss.com</u> | <u>www.paulweiss.com</u>

ROPES & GRAY

After-Hours Reception - Tuesday, March 20th

Ropes & Gray is one of the world's premier international law firms, with more than 1,200 lawyers serving clients across the globe. Our securities enforcement, litigation and regulatory practices are regularly recognized as some of the leading practices in the country by organizations including Chambers,

Benchmark and US News. We have over 100 lawyers who specialize in defense of regulatory investigations, internal investigations, preventative counseling and private securities actions. This team includes numerous lawyers who have held high-level positions within key US Attorneys' Offices, the SEC, and the DOJ. We have 150 years of experience representing financial services firms in their corporate, regulatory and litigation needs. We bring that experience to bear in providing our clients with innovative, cost-effective, sophisticated solutions to their most complex securities litigation and enforcement challenges. For more information, please visit https://www.ropesgray.com/practices/securities-and-futures-enforcement

Contact: Hannah Boyaggi, Practice Development Manager, Ropes & Gray LLP, Prudential Tower, 800 Boylston Street, Boston, MA 02199 | 617.854.2827 | hannah.boyaggi@ropesgray.com | www.ropesgray.com

SULLIVAN & CROMWELL LLP

Networking Reception - Monday, March 19th

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Contact: Sean Dowd, Managing Director, AlixPartners | 212.845.4029 | sdowd@alixpartners.com | Susan Markel, Managing Director, AlixPartners | 202.756.9016 | smarkel@alixpartners.com | https://www.alixpartners.com/services/investigations-disputes-risk/



Charging Lounge

DLA Piper is a global law firm with lawyers worldwide. It provides its clients with legal and business solutions locally, regionally and internationally using integrated teams of lawyers with experience that spans a broad range of disciplines. DLA Piper is widely recognized for its pioneering approach and extensive geographic reach, which enables the practice to look after the business issues that matter to its clients - whether they are large or small. Clients of DLA Piper include single-owner startups, local and household name companies, multinationals, financial institutions, FTSE and Fortune 500 enterprises and their subsidiaries, public bodies and governments.

Contact: DLA Piper, 401 B Street, Suite 1700, San Diego, CA 92101 | 619.699.2800 | daniel.hill@dlapiper.com | www.dlapiper.com



Lunch - Monday, March 19th

Today's complex global financial markets require swift and strategic approaches to address the priorities of financial institutions, and our attorneys continue to guide clients through the global uncertainties affecting financial institutions. Jones Day's One Firm Worldwide approach allows us to create multi-office cross-practice counseling, transactional and litigation teams to support our clients. All clients are Firm clients; we ensure that all matters are staffed appropriately for the job, regardless of geographic location or practice. Our commitment to client service has repeatedly earned the Firm first-place ratings from The BTI Consulting Group, an organization that monitors client satisfaction with legal services. Since the inception of the BTI Client Service ranking, Jones Day has ranked number one eight times. Our consistent high performance has earned the Firm a place among the elite firms elected to the BTI Client Service Hall of Fame.

Contact: Henry Klehm, Partner, Jones Day | 212.326.3706 | hklehm@jonesday.com | www.jonesday.com



Pocket Program Guide

Contact: www.kslaw.com



Lunch & Learn – Monday, March 19th Learning Lab – Monday, March 19th

Contact: www.accenture.com

WACHTELL LIPTON ROSEN & KATZ

Continental Breakfast - Tuesday, March 20th

Contact: www.wlrk.com

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CLEARY GOTTLIEB

Networking Refreshments (Session 1) - Monday, March 19th

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Women's Luncheon - Monday, March 19th

Clifford Chance US represents major US and global financial institutions on all aspects of financial services law and regulation, cyber, economic sanctions and export controls, anti-money laundering, anti-corruption, and other laws and regulations affecting domestic and international business. We offer a wealth of practical expertise, including former government lawyers from the DOJ, Federal Reserve, SEC, CFTC, DFS, Department of the Treasury, and the Department of Commerce, combined with decades of

advisory and litigation defense experience in front of these and other federal and state agencies and SROs. Our team is part of a fully-integrated global financial service and litigation practice that uniquely positions us to advise domestically and globally on complex, high stakes matters and in managing complex class actions and increasingly common parallel administrative and criminal proceedings when they arise.

Contact: Clifford Chance US LLP, 2001 K Street, NW, Washington, DC 20006 | www.cliffordchance.com



Luggage Tags

Debevoise & Plimpton is a premier law firm providing sophisticated regulatory, transactional, compliance and enforcement advice to a broad array of financial services clients. We help our clients navigate their most technical issues, manage critical enforcement and compliance challenges and complete complex transactions. Respected by enforcement agencies, our lawyers are renowned for their investigative skill and seasoned judgment. This makes the team a powerful advocate for clients, and leads to considerable success in matters involving investigations by the SEC, DOJ, New York Attorney General, UK Serious Fraud Office and other authorities around the world. With a team that includes 15 former Assistant U.S. Attorneys or DOJ lawyers, the immediate past Chair and Director of Enforcement of the SEC and three former SEC Unit Chiefs, our lawyers provide clients unmatched insights. We provide a holistic offering of regulatory, litigation and transactional solutions, evident from our 2017 Banking Practice Group of the Year selection by Law360. Debevoise's interdisciplinary team offers financial institutions experienced counsel for todays' evolving business climate.

Contact: Satish Kini, Partner, Debevoise & Plimpton LLP, 919 Third Avenue, New York, NY 10022 | 202.383.8190 | smkini@debevoise.com | www.debevoise.com



FITS Consulting, is a leading professional consulting firm, specializing in the financial services industry. Leveraging our experience, innovative thinking and service excellence, FITS delivers business value to our clients and the industry. Our industry practices span Capital Markets, Banking, Insurance, Wealth and Investment Management. Within our practice areas we focus on solutions ranging from: Strategy, Platform Conversion, Program Delivery, Risk and Regulatory, Compliance, Technology, Managed Services, and Credit Lending. FITS offers alternative solutions to the rising demands and costs of regulatory compliance. Our professionals provide comprehensive expertise and support which enables clients to react proactively to economic, market, and regulatory changes. Long-term client relationships are achieved through proven solutions driving business value using a broad range of core services and expertise. FITS consultants stand ready to navigate clients through the complex challenges faced in today's industry.

Contact: Henry Lange, President & CEO, FITS Consulting, 400 Morris Ave, Suite 264, Denville, NJ 07834 | 973.586.8877 | hlange@fitsconsulting.com | Jeffrey Powers, Senior Vice President, FITS Consulting, 400 Morris Ave, Suite 264, Denville, NJ 07834 | jpowers@fitsconsulting.com | www.fitsconsulting.com | <a href="mailto



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When a financial services company is faced with a securities, shareholder derivative, ERISA or other high-stakes class action, they need a partner who understands what is at stake and can think outside the box to achieve resolution. Goodwin's deep experience, creative thinking and tenacity has led to our involvement in precedent-setting securities cases in courts across the country. The results we achieve are best of breed and have helped shape the law nationally. Our cases range from defending companies in class action securities fraud litigation brought following restatements of earnings or the release of bad business news, to resolving shareholder derivative challenges, to multibillion dollar mergers and acquisitions. With an extraordinary collective reputation, we are well prepared to handle the full range of challenges facing our clients, tailored to meet your specific needs. Our team will support you with the services and representation you need so you can more effectively manage your business.

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Professional Headshot Photos

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Latham & Watkins has more than 2,600 lawyers located in the world's major financial and regulatory centers in more than 30 offices across the United States, Middle East, Europe and Asia. With more than

60 international practice groups and industry teams, Latham is consistently ranked in the top tier by leading legal and business publications such as The American Lawyer, Financial Times, Chambers and Partners, The Legal 500 and Asia Legal Business. Latham's Financial Institutions Group advises clients on cutting-edge transactions, sensitive regulatory issues and litigation matters, and distinguishes itself with its ability to comprehensively address the issues facing global financial institutions. Through its globally integrated regulatory, transactional, and litigation practices, it provides clients with the advice needed to navigate today's highly regulated markets. The team provides comprehensive global counseling on local regulations relating to banking, securities brokerage, derivatives, stock exchange matters, and other financial products and transactions.

Contact: www.lw.com



Neal, Gerber & Eisenberg LLP is a Chicago based law firm providing legal services to a broad spectrum of clients in business transactions, regulatory proceedings, and litigation matters. The Securities Litigation & Regulatory Practice Group has represented financial services firms and persons affiliated with them for over forty years with respect to a wide range of issues in litigation, arbitration, mediation, employment disputes, and regulatory matters throughout the country. Our in-depth knowledge of the financial services industry and regulatory environment, coupled with our extensive, national courtroom and arbitration trial experience, enables us to mount sophisticated, successful defenses and handle regulatory matters on a cost-effective basis across the country.

Contact: H. Nicholas Berberian, Partner, Neal, Gerber & Eisenberg LLP, Two North LaSalle Street, Chicago, IL 60602 | 312.269.8005 | nberberian@nge.com | www.ngelaw.com

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NORTON ROSE FULBRIGHT

Networking Refreshment (Session 2) - Monday, March 19th

Norton Rose Fulbright is a global law firm. We provide the world's preeminent corporations and financial institutions with a full business law service. We have more than 4,000 lawyers and other legal staff based in Europe, the United States, Canada, Latin America, Asia, Australia, Africa, the Middle East and Central Asia. With over 1,000 professionals dedicated to financial institutions, we advise many of the world's leading banks, asset managers, financial investors and insurance companies, both locally and on a global scale. We counsel our financial institutions clients on virtually every aspect of the industry, from day-to-day transactions to high-level and complex cross-border operations, taking a holistic approach to the

range of their legal requirements. Having substantial resources in leading financial centers and on-theground teams in many of the fastest growing emerging markets, we are well positioned to help clients realize their objectives wherever they are in the world.

Contact: Rodney Acker, Partner, Norton Rose Fulbright, 2200 Ross Avenue, Suite 3600, Dallas, TX 75201 | 214.855.7466 | rodney.acker@nortonrosefulbright.com | www.nortonrosefulbright.com

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Run for a Purpose - Monday, March 19th

Orrick, Herrington & Sutcliffe LLP is a global law firm focused on serving companies in the finance, technology, and energy & infrastructure sectors. Capitalizing on a wealth of financial services industry experience, our litigators and regulatory lawyers have achieved outstanding results for the world's major financial institutions in their most complex disputes, and regularly provide compliance counseling to avoid litigation and regulatory risk. A third of our global practice is dedicated to the financial services sector, enabling our litigators to draw on transactional teams with deep understanding of the products and market practices underlying the claims. With this insight, we focus on developing creative solutions – in and out of court.

Contact: www.orrick.com

SHEARMAN & STERLING LLP

Sport Bottle

Contact: www.shearman.com



Build a Bike Charity Event - Tuesday, March 20th

Contact: www.sidley.com



Welcome Hospitality - Sunday, March 18th

Contact: Skadden, Arps, Slate, Meagher & Flom LLP, 4 Times Square, New York, NY 10036 | www.skadden.com

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STROOCK

Networking Refreshments (Session 1) - Monday, March 19th

Contact: www.stroock.com



Networking Refreshments (Session 2) - Monday, March 19th

Weil, Gotshal & Manges LLP is a leader in the marketplace for sophisticated, global legal services. Weil's pioneering "one firm" approach, including the integration of multiple practice areas and approximately 1,100 attorneys across three continents allows the Firm to work with financial services companies to address and resolve their unique set of cutting-edge legal issues. Drawing on lawyers from across our practices, we partner with commercial and investment banks, broker-dealers, real estate companies, private equity companies, insurers, and issuers, and their directors and senior management, to provide cutting-edge counseling on sophisticated international transactions, complex securities, corporate, commercial, and regulatory matters, and sensitive internal and governmental investigations. We are well-known for representing some of the largest financial sector companies in an array of legal issues arising out of the recent financial crisis, and we continue to advise these and others on their most significant challenges in a continually evolving regulatory environment.

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Diversity Breakfast - Date TBD

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Premium Patron Light Box

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BRONZE SPONSORS



Lunch & Learn - Tuesday, March 20th

Actiance is the leader in communications compliance, archiving, and analytics. We provide compliance across the broadest set of communications channels with insights on what's being captured. Actiance customers manage over 500 million daily conversations across 70 channels and growing. Customers include the top 10 U.S., top 8 European, top 5 Canadian, and top 3 Asian banks. The Actiance advantage is customers stay ahead of compliance and uncover patterns and relationships hidden within their data.

Contact: Nuala Coogan, Senior Marketing Associate, Actiance, Inc., 1400 Seaport Blvd., Redwood City, CA 94063 | 650.631.6355 | ncoogan@actiance.com | www.actiance.com

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COVINGTON

Specialty Coffee Service - Monday, March 19th

Our distinctively collaborative culture allows us to be truly one team globally, drawing on the diverse experience of lawyers and advisors across the firm by seamlessly sharing insight and expertise. What sets us apart is our ability to combine the tremendous strength in our litigation, investigations, and corporate practices with deep knowledge of policy and policymakers, and one of the world's leading regulatory practices. This enables us to create novel solutions to our clients' toughest problems, successfully try their toughest cases and deliver commercially practical advice of the highest quality.

Contact: Name, Title, Covington & Burling LLP, One City Center, 850 10th St. NW, Washington, DC 20001 | 202.662.6000 | <u>kmunroe@cov.com</u> | <u>www.cov.com</u>



Specialty Coffee Service – Tuesday, March 20th

Financial Service litigators at Hogan Lovells are experienced at handling all aspects of investigations and regulatory enforcement, as well as civil litigation for financial institutions. Our strength lies in our ability to represent clients not only in multiple jurisdictions, but also in simultaneous regulatory and criminal government investigations and civil litigation. We represent major global banks in high-profile matters involving regulatory enforcement and litigation on both sides of the Atlantic, where our clients face simultaneous investigations and/or litigation in the U.S. and other countries. We singularly handle those matters as a unified global team, creating greater efficiencies for our clients and ensuring a coordinated global approach.

We are playing, or recently have played, a major role in the most significant cross-border matters facing banks today – including matters involving alleged manipulation of benchmarks and/or trading manipulation.

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Lunch & Learn – Monday, March 19th

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Financial Times - Newspaper Distribution

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Moore & Van Allen

Specialty Coffee Service - Monday, March 19th

With over 300 lawyers and other professionals headquartered in Charlotte, Moore & Van Allen offers regulatory advisory, white collar and investigations, IP, finance, litigation, bankruptcy, securities and other legal services. With more than 130 lawyers serving our financial institution clients, MVA's commitment is to deliver world class legal services with exceptional value. Our trial lawyers litigate class action, lending, ERISA, securities, employment and IP cases. Our internationally capable white collar and investigations group has led responses to government investigations across North and South America, Europe and Asia. Our regulatory advisory group represents the largest financial services firms in matters central to their relationships with their key regulators, including recovery and resolution planning and CCAR. Our finance group scores in the top tier of the lending league tables. We build relationships on partnership, listening, hard work, value, and common sense.

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New York Times - Newspaper Distribution

Murphy & McGonigle is a law firm specializing in sophisticated high-stakes commercial litigation; securities arbitration; securities enforcement and white collar criminal defense; and regulatory and compliance guidance for financial services firms. The Firm's focus is the efficient delivery of high quality, cost-effective legal services. Our lawyers have a depth of experience unmatched for our size and provide the highest level of client service. Our litigation and enforcement practices are supported by a sophisticated electronic discovery and data management consulting practice. Our clients benefit from the Murphy & McGonigle Innovation Lab which develops client solutions that combine our intellectual capital with technology. We pride ourselves on our low overhead, no-nonsense approach. We do not overstaff our cases and we know how to work within budgets. We listen to our clients, and we strive to earn our clients' trust and confidence every day.

Contact: Paul A. Merolla, Partner, Murphy & McGonigle, P.C., 1185 Avenue of the Americas, New York, NY 10036 | 212.880.3960 | pmerolla@mmlawus.com | www.mmlawus.com



Wall Street Journal - Newspaper Distribution

Schulte Roth & Zabel LLP is a full-service law firm with offices in New York, Washington, D.C. and London. As one of the leading law firms serving the financial services industry, the firm regularly provides regulatory and compliance advice to broker-dealers and other financial services firms. Well-known for representing many of the industry's most significant market participants, we also assist banks and hedge funds in their dealings with broker-dealers and act as regulatory counsel in connection with acquisitions involving broker-dealers. Our enforcement attorneys regularly represent broker-dealers, hedge funds and other market participants in a broad array of proceedings brought by the Securities & Exchange Commission, the Department of Justice, the Financial Industry Regulatory Authority, the Commodity Futures Trading Commission, state securities regulators and attorneys general and other government agencies. These proceedings include informal inquiries, formal investigations, grand jury investigations, administrative proceedings and trials. Our firm's litigators include former Assistant U.S. Attorneys, state prosecutors and senior members of the SEC's Division of Enforcement. SRZ is known to collaborate across practices to bolster the size and services we provide to our clients.

Contact: Charles J. Clark, Partner, Schulte Roth & Zabel LLP, 1152 Fifteenth Street NW, Suite 850, Washington, DC 20005 | 202.729.7480 | charles.clark@srz.com | Craig S. Warkol, Partner, Schulte Roth & Zabel LLP, 919 Third Avenue, New York, NY 10022 | 212.756.2496 | craig.warkol@srz.com | www.srz.com

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Light Box

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PREMIUM PATRONS

Deloitte.

Learning Lab – Monday, March 19th Learning Lab – Tuesday, March 20th

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DrinkerBiddle

Drinker Biddle & Reath LLP is a national, full-service law firm providing litigation, regulatory and business solutions to public and private corporations, multinational Fortune 100 companies and start-ups. Building on a rich 168-year history, our more than 635 lawyers across 12 offices uphold our firm's reputation for outstanding legal results and bring a sophisticated, forward-thinking approach to every client engagement. We draw upon a wealth of experience to assist clients in the financial services industry—including broker-dealers, investment banks, private banks, commercial lenders, private equity firms and asset managers—in staying ahead of today's ever-changing economic and regulatory environments. Our attorneys defend clients in litigations, arbitration, regulatory investigations and represent them in mediation. In addition, we guide clients in navigating the maze of state and federal compliance requirements, including the DOL Fiduciary Duty Rule.

Contact: Sandra Grannum, Partner, Drinker Biddle & Reath LLP, 600 Campus Dr., Florham Park, NJ 07932 | 973.549.7015 | sandra.grannum@dbr.com | www.drinkerbiddle.com

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Contact: www.maynardcooper.com



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Premium Patron

Navigant (NYSE: NCI) provides a wide range of services, spanning from consulting and compliance to litigation and investigative support, to help highly regulated industry organizations address their most critical business issues. Navigant's Global Investigations & Compliance practice assists clients with identifying, assessing and managing the compliance and business risks related to financial economic crimes, money laundering, sanctions, bribery and corruption matters, investigative due diligence, FATCA, and monitoring and investigations related to fraud, waste and abuse. With backgrounds encompassing compliance, law enforcement and prosecution, regulatory enforcement, accounting, and information technology, our professionals combine deep global investigative expertise, proprietary technology tools, and experience over a broad swath of industry sectors to develop tailored, strategic solutions.

Contact: Margaret Savoia, Director, Marketing, Navigant | 646.227.4224 | margaret.savoia@navigant.com | www.navigant.com

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SheppardMullin

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