



Press Policy – SIFMA 2019 AML Conference

At the SIFMA 2019 Anti-Money Laundering & Financial Crimes Conference, the following speakers/sessions are open to the press. The remainder of the conference is closed to the press.

Monday, February 4:

- Welcome remarks: Ira D. Hammerman, Executive Vice President & General Counsel, SIFMA, 8:45 a.m. – 8:55 a.m.
- Keynote Address: Kenneth A. Blanco, Director, Financial Crimes Enforcement Network (FinCen), 8:55 a.m. – 9:15 a.m.

Tuesday, February 5:

- Fireside chat with Michael Rufino, Executive Vice President, Head of Member Regulation - Sales Practice, FINRA, moderated by James Fiebelkorn, Vice President and Global AML Officer, Ameriprise Financial Services Inc., 8:15 a.m. – 8:45 a.m.

The Securities Industry and Financial Markets Association (SIFMA) welcomes media coverage of most of its conferences, meetings, seminars, workshops, dinners and other events on important industry issues and topics. These are open to press and speakers' remarks are on the record, unless otherwise noted. SIFMA recognizes that press coverage can help facilitate broader understanding of industry issues. At the same time, however, in order to meet the information and educational needs of its members, SIFMA enforces a few key policies regarding the media.

- **Reporters must register in advance.**
- **Press must hold their questions at SIFMA events until after members have first had an opportunity to ask their questions.**
- **Press, along with audience members, must identify themselves and the name of their publication when asking questions at open sessions.**
- **Press must wear conference name badges for the entire event.**
- **During open meetings, speakers and panelists may be identified and quoted. However, reporters must first obtain permission to quote audience members who ask questions or comment during a meeting.**
- **Members of the media must identify themselves as members of the press when speaking with attendees during informal conversations at meetings and mutually agree as to whether the conversation is to be used in a story.**
- **While most of its events are on the record, some meetings are for members only in order to permit SIFMA to conduct its activities.**
- **Media are free to use tape recorders and laptop computers as long as they do not interfere with the proceedings. Video cameras are also permitted with advance notice.**

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