

Communication Surveillance



The Communication Surveillance (CS) platform provides the ability to take complete control over your trading communications, and detect fraud or breaches of compliance rules. CS creates management overviews and highlights trends providing analysis and reports on new and emerging risks. By automatically presenting indicators for potential risks, protection against compliance breaching and fraud is optimized, while maximizing ROI.

Supervise

The Supervision module offers configurable queues of all communications reviewers are responsible for.

Investigate

The Investigation module enables compliance teams to look at data with configurable widgets which enables users to gain more insight into the communications, and to start investigations based on trade reconstructions.

Report

The Reporting module enables you to receive scheduled reports to detail number of interactions that have been ingested and the potential policy breaches which have been found.

Analyze all
Communications

Surveillance of all communications including calls, emails, chats and social media



Aggregate

After all communications are captured, the data is cleansed, structured and enriched allowing for effective management of all data including voice calls.

Analyze

Applies unique analytic models developed to uncover insights and understanding of the data. The output includes alerts, data points, and correlations are fed into the surveillance application for review. This multi-mode approach can uncover risks that wouldn't be found by a siloed solution allowing for more effective data management through its lifecycle.

Act

Consolidates all data into one application making compliance analysts more productive allowing them to efficiently review investigate and determine the appropriate actions on high risk activities. It also demonstrates proof of compliance to internal auditors and regulators that the data has been properly captured, identified, reviewed, investigated and acted on appropriately.

Communication Surveillance also provides advanced trade visualization of market and firm activity including trades, market news, and communications so that analysts can easily see the relations between activities.

