MCO
MyComplianceOffice

ADVANCE YOUR REPUTATION

with powerful compliance
software solutions

www.mycomplianceoffice.com

ADVANCE YOUR REPUTATION - with powerful compliance software solutions

"RISK COMES FROM NOT KNOWING WHAT YOU ARE DOING" - Warren Buffet

We believe that great governance is at the heart of great business, helping to advance your reputation. MyComplianceOffice is the solution that will synchronize your business needs with your regulatory responsibilities to deliver a comprehensive compliance program.

Business Needs

Regulatory Responsibilities

Compliance Program

Reduce your costs with our SaaS solution

Our automated processes will save you time and money, allowing you to manage your budget while reducing your risks. Using our SaaS platform can further reduce your costs by lowering the need for capital expenditure. The same solution also automates more processes and procedures in one single platform.

Reduce risk with enhanced oversight and control

Let us help you to mitigate your exposure to risk. The components of the solution seamlessly integrate to monitor all compliance related activities and deliver real-time oversight through one command center.
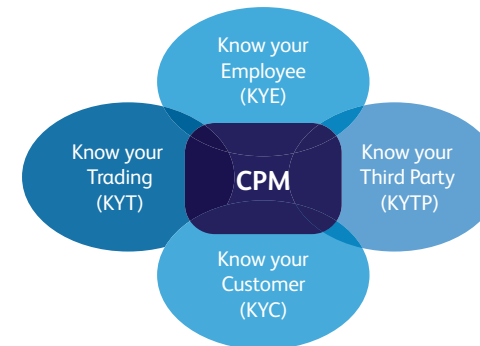
Build a culture of compliance

We know what it takes to engage personnel in your compliance program. We understand the complexities faced by the compliance team, not just in the implementation of a system but in the day-to-day management of the program. Our flexibility allows you to configure the program for your company's needs, including local language support.

State-of-the-art security and technology

Built using best of breed technologies including Oracle and Red Hat, MyComplianceOffice is an enterprise level platform leveraging the availability and security of CenturyLink SSAE16 Type II certified data centers. Our security practices are independently verified, with all data on the platform being securely managed under our ISO 27001:2013 certified information security program. MyComplianceOffice also partner with TRUSTe who review the privacy standards of our website and policies annually.

It is a business imperative as well as a regulatory responsibility to know what is happening in your organization. The more successful the business, the more difficult it can be to uncover this knowledge, often compounded by the expanding regulatory demands for documented oversight. We solve these demands with automated processes to show that you Know Your Employees (KYE), Know Your Third Parties (KYTP), Know Your Trading (KYT), and Know Your Customers (KYC).

Know your Employee (KYE)

Know your Trading (KYT)

CPM

Know your Third Party (KYTP)

Know your Customer (KYC)

Compliance Program Manager

Sitting at the heart of our software solution is the powerful Compliance Program Manager. It integrates all the activities, rules, alerts, issues and cases across the KYX components onto a single integrated platform to provide unparalleled analytics, reporting and monitoring via your personalized command center dashboards. The Compliance Program Manager also automates other non-standard tasks, activities, forms and ongoing processes into a single compliance calendar with a set of associated workflow and approval processes.

Know Your Employee (KYE)

It is important to demonstrate that you Know Your Employees (KYE) because regulation places a heavy responsibility on you to monitor, manage and record what they are doing. We engage employees with intuitive interfaces that enable them to fulfil their obligations with a minimum of effort. Our KYE solution has extensive functionality including:

- Personal trade monitoring
- Gifts and entertainment (G&E)
- Political contributions
- Outside business activities
- Other employee disclosures
- Attestation and certifications
- Employee onboarding tasks

Know Your Third Parties (KYTP)

The supply chain is becoming increasingly important to regulators, to your enterprise-level risk management and to the integrity of your organizational reputation. Anti-Corruption and Anti-Bribery legislation make you responsible for the activities of your third parties. Increasingly, cybersecurity is a major risk and is exacerbated when so many technical solutions are outsourced to suppliers. MyComplianceOffice offers you a comprehensive and cost effective solution to help mitigate third party risk.

Take a **DEMO** or **FREE TRIAL** by calling us now on
1-866-951-2280 or email **advance@mycomplianceoffice.com**

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MANAGING RISK
with MyComplianceOffice

Know Your Third Parties (KYTP)

With Know Your Third Parties (KYTP) operating in your organization you will be able to automate your initial and ongoing data capture and due diligence activities as part of your third party oversight compliance program. Our KYTP solution includes:

- Third party on-boarding activities
- Initial and ongoing third party data management
- Ongoing third party due diligence
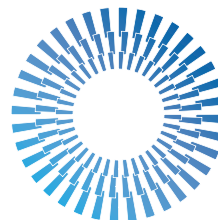- Anti-bribery / Anti-corruption program

Know Your Trading (KYT)

The issue of risk becomes especially sensitive when it originates from within the core trading operations of the firm, that very place from which your business, your reputation and your bottom line is built. We help you mitigate risk that can originate from breaches in market manipulation, insider trading, suitability, conflicts of interest and fund mandate rules.

Know Your Customer (KYC)

There is a significant regulatory responsibility that demands that you Know Your Customer (KYC) and that you can demonstrate this through documentary evidence that you have taken the necessary steps to identify them. This is not only upon acquisition of a customer but is also an ongoing due diligence need. Our automated solution includes:

- Customer on-boarding activities
- Identification checks
- Ongoing customer data management
- Suitability and appropriateness of trading activity

MyComplianceOffice was established in 2005 to specialize in compliance software solutions for business. We work with some of the world's most valuable organizations in the implementation and control of their compliance programs, operating across multiple legal jurisdictions and diverse cultures.

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