Global Relay Archive

CLOUD-BASED MESSAGE ARCHIVING

Stay compliant, organized and in control with a unified archive of all your messages

Global Relay Archive is a world-class compliance archiving and monitoring solution that seamlessly integrates with email and other electronic messaging systems — delivering superior message search, retrieval and review capabilities. This enterprise-class, cloud-based archiving solution addresses the demands of regulatory compliance, audits and eDiscovery while alleviating data management, storage, security, and business continuity concerns.

EMAIL	IM	FINANCIAL	MOBILE

Compliance for every message type in your business

SOCIAL MEDIA	ENTERPRISE	VOICE	WEB

Message Preservation and Management

Message archiving is essential. Email and many other message types are seen as business records. Proper management of these records is crucial to protect your firm's intellectual capital and to comply with regulatory requirements such as those of the SEC, FINRA, IIROC, FCA, SOX, HIPAA, etc.

A unified message archiving solution. Global Relay Archive is a Software-as-a-Service solution that provides secure off-site archiving and long-term storage for virtually every major electronic message type used in business and finance. It creates a permanent, tamperproof copy of all incoming, internal and outgoing electronic messages. Intuitive web-based search and retrieval features give users rapid access to current and historical message records, even if the original has been deleted. The extensive compliance functionality of Global Relay Archive provides powerful real-time monitoring and audit tools for regulatory compliance, eDiscovery and employee supervision.

Designed for Compliance

Ideal for firms in regulated industries. Global Relay Archive is specifically engineered to assist regulated firms in meeting the stringent legal and regulatory requirements for electronic recordkeeping, such as SEC Rule 17a-4, FINRA Rule 4511, FINRA Rule 3110 and others, as well as those mandated for Investment Advisors and Hedge Funds under SEC Rule 204-2 and 206(4)-7. Global Relay also provides customers with access to the expertise of its in-house Legal and Compliance Teams to help establish best practices for compliant messaging. Compliance personnel have access to an extensive suite of compliance review tools for message monitoring, filtering, flagging and reporting.

Added benefits for regulated firms. Having an easily-accessible and searchable record of all electronic communications makes it easier for firms to respond efficiently to audits and legal information requests. It also diminishes the likelihood of securities violations, SEC enforcement actions and other liabilities associated with inadequate, lost or deleted records. Simple, secure and powerful, Global Relay Archive works in the background to create a perfect record of "who said what, when".

Viewing Archived Messages within Global Relay Archive

ib 4 Users, 7 Messages, 4 Hours 7 Minutes

Monday, April 10, 2017 at 2:36 AM
To: ELIZABETHKEATING; ELIJAHMUHAMMAD; JEANNIEFOWLER; PHILLIPDAVIS

MESSAGE SUMMARY TABLE

Started: 2017-04-10 05:28:43 AM UTC | **Finished:** 2017-04-10 09:36:16 AM UTC | **Duration:** 4 Hours 7 Minutes

Company	Participant	Bloomberg ID	Email	Joined	Duration	Messages
AGImperial	JEANNIEFOWLER	jfowler@bloomberg.net	jeannie.fowler@agimperial.com	2017-04-10 05:28:43 AM UTC	2 Hours 19 Minutes	2
WaveLengthSecurities	ELIJAHMUHAMMAD	emuhammad42@bloomberg.net	emuhammad@wavelengthsec.com	2017-04-10 05:32:43 AM UTC	4 Hours 3 Minutes	4
DigisetCapitalServices	PHILLIPDAVIS	pdavis24@bloomberg.net	phillip.davis@digisetcapital.com	2017-04-10 05:37:33 AM UTC	28 Minutes	0
DigisetCapitalServices	ELIZABETHKEATING	elizabeth-keating@bloomberg.net	elizabeth.keating@digisetcapital.com	2017-04-10 06:21:08 AM UTC	3 Hours 15 Minutes	1

MESSAGE CONTENT

(2017-04-10 05:28:43 AM UTC)
JEANNIEFOWLER (AGImperial) has joined the conversation

(2017-04-10 05:29:43 AM UTC)
JEANNIEFOWLER (AGImperial) **has invited ELIJAHMUHAMMAD** (WaveLengthSecuritie
heads up

(2017-04-10 05:30:00 AM UTC)
JEANNIEFOWLER (AGImperial) **has invited PHILLIPDAVIS** (DigisetCapitalServices)
heads up

(2017-04-10 05:32:43 AM UTC)
ELIJAHMUHAMMAD (WaveLengthSecurities) has joined the conversation

(2017-04-10 05:34:43 AM UTC)
ELIJAHMUHAMMAD (WaveLengthSecurities)
Hi Jeannie

(2017-04-10 05:44:43 AM UTC)
JEANNIEFOWLER (AGImperial)
Have you checked on oil yet this morning?

(2017-04-10 05:46:43 AM UTC)
ELIJAHMUHAMMAD (WaveLengthSecurities)
Was just about to take a look, got any friendly advice?

Rich, Intuitive Message Display

Within Global Relay Archive, each message type is presented with clear, easy-to-read formatting. Virtually any aspect of a message is searchable — including message type, date, sender, recipient, subject, attachments, keywords, header, and a wide variety of specific attributes and metadata that are unique to each message type.

Here, an Instant Bloomberg® conversation is shown, including a handy Message Summary Table that provides at-a-glance details about each chat participant.

How It Works

A single source for every message. Global Relay Archive captures your firm's incoming, internal and outgoing email, instant messages, BlackBerry and Android mobile messaging, Bloomberg®, Thomson Reuters, social media messages and more. An auditable, evidentiary-quality copy of each message is then created, indexed, serialized and time-date stamped. This process securely organizes and preserves your intellectual business assets, reducing the risks that often result from poorly managed, lost or deleted messages.

All messages are securely archived in Global Relay's mirrored SOC audited east/west coast data centers, providing unlimited online storage for the long-term preservation, management and search of messages. Global Relay's proprietary search engine technology enables any message to be retrieved in seconds, regardless of its size or age.

Global Relay Search for Mobile Devices

Global Relay Search for BlackBerry, iPhone, iPad and Android lets users access every message they've ever sent or received — anytime, anywhere. These smartphone apps are designed with the same fast and powerful search features as are available via web browser, enabling users to find and restore any type of message in seconds.

Global Relay Search for Outlook

The Global Relay Archive for Outlook plug-in enables message search functionality via an easy-to-use interface, right within Microsoft Outlook. A single window in Outlook allows users to enter search criteria, view results, open messages, copy messages to folders, and create folders on the fly — all in one familiar place.

Benefits of Hosted Message Archiving with Global Relay

○ **Save IT department resources.** Never lose messages again. Global Relay Archive is a fool-proof backup and disaster recovery solution, saving time and money for IT departments.

○ **Reduce costs and avoid capital expenditures.** As an outsourced solution, Global Relay Archive requires no software, hardware or programming. It eliminates the expense of maintaining in-house message storage and can be deployed within hours of signing up.

○ **Improve message management.** When all of your firm's messages are automatically archived, dependence on disparate backup and storage systems and PST files is eliminated. Global Relay Archive provides central storage and organization, enabling advanced search and retrieval of all message types and attachments — even if the original has been deleted. Its extensive feature set is accessible via any web browser, BlackBerry, iPhone, iPad, Android or Microsoft Outlook.

○ **Maintain organization and control.** The real-time firm-wide monitoring capabilities of Global Relay Archive enable detection of inappropriate message content that violates your firm's policies or legislation. A side benefit is the measurable improvement in employee productivity.

○ **Reduce operational risks.** Global Relay Archive provides an indisputable chronological time-date stamped record to help safeguard you in the event of customer, supplier or employee issues, errors or allegations. It is your firm's proactive risk management and litigation readiness solution.

○ **Benefit from an Audit & eDiscovery tool.** Email and other message types can be legally admissible as evidence during legal proceedings. Global Relay Archive produces evidentiary-quality electronic records with full audit trails on message history.

About Global Relay

Global Relay is the leading provider of cloud-based electronic message archiving, supervision, and eDiscovery solutions for the global financial sector and other highly regulated industries. Global Relay delivers services to 23,000 customers in 90 countries, including 22 of the top 25 banks. Global Relay Archive supports, email, IM, Bloomberg, Thomson Reuters, social media, mobile messaging, and more - with mobile, Outlook and web access.

Message Types

Global Relay Archive supports 50 data types, including:

○ Email
○ Social Media (LinkedIn, LinkedIn Sales Navigator, Twitter, Facebook, Google+, Instagram, YouTube)
○ BlackBerry and Android Messaging (Text/SMS, PIN, Call logs)
○ Bloomberg® Messaging (Instant Bloomberg, Bloomberg Mail)
○ Thomson Reuters Messaging (Eikon, Thomson Reuters, Messenger)
○ Microsoft Lync/Skype for Business/ OCS
○ Pivot
○ ICE (YellowJacket)
○ FactSet
○ Jabber/XMPP
○ Cisco WebEx, Jabber, and Spark
○ Web
○ Chatter (Salesforce)
○ Yammer
○ Jive
○ Slack
○ Squawker
○ Global Relay Message
○ Symphony

For more information about Global Relay Archive and how Global Relay can provide your firm with secure, compliant cloud-based archiving solutions:

○ visit globalrelay.com

○ call 1.866.484.6630

○ email info@globalrelay.net

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