Sanctions Ownership Research

STAY ON TOP OF SANCTIONS COMPLIANCE

Sanctions regulations generally say that organizations that are "owned or controlled" by people and firms on sanctions lists are also sanctioned. European and U.S. regulatory guidance is more specific majority ownership by a sanctioned party in the EU, and 50% or greater total ownership by one or more sanctioned parties in the U.S.

Dow Jones Risk and Compliance goes a step beyond in our Sanctions Ownership Research data.

- We identify if the sum of the sanctioned owners adds up to a majority stake or not, as defined by EU and U.S. regulators
- We include all individual ownership stakes of 10% or more

Additionally, Sanctions Ownership Research identifies firms that are "controlled" by persons sanctioned by the US or EU:

- Firms with sanctioned persons either in upper management or on the Board of Directors
- Firms with sanctioned persons who exert voting control

Sanctions Ownership Research not only covers individuals and firms subject to asset freezes, but also those who are subject to business restrictions due to their involvement in specific sectors of the global economy. This includes:

- Parties listed on the Office of Foreign Assets Control (OFAC) Sectoral Sanctions Identification (SSI) List, and its EU equivalent.
- ISINs (international Securities Identification Number) of debt and equity securities subject to restrictions under the U.S. and EU Ukraine-related sanctions programs.

WHAT YOU NEED TO KNOW:	EU-RELATED CONTENT	OFAC-RELATED CONTENT
Sanctioned Subjects	3001	6699
Individuals	1798	3321
Entities	1203	3381
Sanctioned subjects that own/control SOR entities	371	1330
SOR Entities SOR CATEGORIES:	5215	10111
Entities owned 50-100%	2241	5096
Entities owned 10-49.9%	932	1772
Entities where sanctioned subjects are board members or senior executives	120	601
KEY INFORMATION INCLUDED FOR EACH SOR PROFILE:		
Address	5000	9430
Original Script Name	4702	7565
Registration Number/Company ID	4386	8310
Registration Date	4813	9013
ISIN	484	548
DUNS Number	2156	3969
Countries of registration	119	162

Country Coverage

LOCATION BY COUNTRY OF REGISTRATION

Abkhazia Afghanistan Algeria Angola Anguilla Argentina Armenia Australia Austria Azerbaijan Bahamas Bahrain Belarus Belgium Belize Benin Bermuda Bosnia and Herzegovina Brazil British Virgin Islands	Egypt El Salvador Eritrea Estonia Ethiopia Finland France Gabon Gambia Georgia South Ossetia Germany Ghana Gibraltar Greece Guatemala Guernsey Guinea Guinea-Bissau Honduras
Bulgaria	Hong Kong
Burkina Faso	Hungary
Burundi	India
Cambodia	Indonesia
Cameroon	Iran
Canada	Iraq
Cayman Islands	Ireland
Central African Republic	Isle of Man
Chad	Italy
Chile	Japan
China	Jersey
Colombia	Jordan
Congo Republic	Kazakhstan
Costa Rica	Kenya
Cote d'Ivoire	Kuwait
Croatia	Kyrgyzstan
Cuba	Latvia
Curação	Lebanon
Cyprus	Liberia
Czech Republic	Libya
Democratic Rep. of the Congo	Lithuania
Denmark	Luxembourg
Ecuador	Macedonia

Madagascar		
Malawi		
Malaysia		
Mali		
Malta		
Marshall Islands		
Mauritania		
Mauritius		
Mexico		
Moldova		
Monaco		
Mongolia		
Montenegro		
Morocco		
Mozambique		
Myanmar		
Namibia		
Netherlands		
Niger		
Nigeria		
North Korea		
Norway		
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Pakistan		
Palestine		
Panama		
Paraguay		
Peru		
Philippines		
Poland		
Portugal		
Qatar		
Reunion		
Romania		
Russia		
Rwanda		
Samoa		
Saudi Arabia		
Senegal		
Serbia		
Seychelles		
Sierra Leone		

Singapore

Slovakia Slovenia Somalia South Africa South Sudan Spain St. Kitts and Nevis Sudan Swaziland Sweden Switzerland Syria Taiwan Tajikistan Tanzania Thailand Togo Tunisia Turkey Turkmenistan Uganda Ukraine United Arab Emirates United Kingdom United States Uruguay Uzbekistan Venezuela Vietnam Yemen

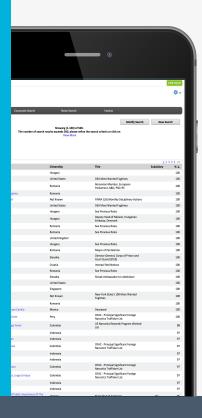
Zambia

Zimbabwe

DOW JONES RISK & COMPLIANCE

WatchList

Dow Jones' WatchList identifies high risk third parties to assist in complying with global regulations. This includes lists and data on regulatory sanctions, Politically Exposed Persons (PEPs) and Special Interest Persons (SIPs) who have been involved in a legal process in relation to defined criminal categories, relatives and close associates, exclusion, export control, travel restriction and other official lists.



Key product benefits:

COMPLY WITH GLOBAL REGULATIONS

Industry leading Know Your Customer data—an essential tool to comply with global Anti-Money Laundering (AML), Anti-Corruption and sanctions legislation. We uniquely consolidate and enhance profiles of high-risk entities to protect your business from regulatory scrutiny.

ACT WITH CONFIDENCE

Our content is built based on global and national regulatory standards providing distinct categorization to help you meet in-country regulatory standards and optimize filtering to reduce false positives.

GOING ABOVE AND BEYOND

Dow Jones' WatchList includes enhancements such as Sanctions Ownership Research highlighting entities owned or controlled by listed individuals and entities. Dow Jones goes beyond the literal to implied names based on regulatory guidance to protect your business.

Key product features:

ENHANCED DATA

Our data is enriched with information from Dow Jones Factiva and other quality news sources.

SANCTIONS DATA

Global coverage on all publicly available sanctions lists.

PROFILES ON CRIMINAL ACTIVITY

News about individuals charged, indicted or arrested for financial crime, organized crime, terrorism, narcotics, human trafficking, corruption and tax crimes sourced from Dow Jones Factiva.

CONSOLIDATED DATA

We consolidate all available data on a given person or entity into a single listing to streamline the screening workflow.

POLITICALLY EXPOSED PERSONS

Including their relatives and close associates, with coverage of international and domestic PEPs.