

# About AxiomSL™

PLATFORM FOR CHANGE

**VALUE-ADDED**

**REDUCE COST AND  
MANUAL WORKAROUNDS  
THROUGH AUTOMATION**



**ENSURE STEADY BUSINESS  
TRANSFORMATION VIA  
PROCESS AND CONTROL  
ENHANCEMENTS**



**LEVERAGE DATA LINEAGE  
TO STREAMLINE AND  
OPTIMIZE BUSINESS  
PROCESS**



**ACHIEVE FASTER TIME  
TO MARKET THROUGH  
VISUAL BUSINESS  
RULES AND RELIABLE  
DATA INTEGRITY**



**INTERFACE SEAMLESSLY  
ACROSS BUSINESS  
FUNCTIONS**



**BCBS 239 COMPLIANT**



**SAAS-ENABLED SOLUTION**

AxiomSL, global leader in risk data management and regulatory reporting solutions, was established more than 25 years ago, with offices worldwide. AxiomSL’s unique enterprise data management platform empowers firms to address data governance and risk aggregation while delivering the analytics and reporting required by multiple stakeholders across global and local regulations.

**CHALLENGES**

Managing an environment where regulations, risk, operations, technology and compliance are constantly changing, combined with exponential increases in structured and unstructured data, is very challenging, particularly when budgets are cut, granular and transparent data are required and continued growth remains a priority.

**PLATFORM**

In addressing the slew of contemporary regulatory mandates—such as Basel III & IV, BCBS 239 Principles, MiFID II, Comprehensive Capital Analysis & Review (CCAR), Liquidity (LCR, NSFR, ALM, etc.), IFRS 9/CECL, FRTB, CRS/FATCA and many more — financial institutions (FIs) need a robust “platform for change”. AxiomSL’s platform provides data and process lineage, data enrichment, automated workflow capabilities and delivers the analytics, reconciliation and validation required to face these mandates.

These business functionalities in addition to providing efficiency gains and resources saved, will enable firms to draw business intelligence from their regulatory compliance data gathering and reporting activities, plugging that intelligence back into their trading, risk management and operations. Top management sees an opportunity, but in order to realize it, they must address data governance frameworks and data lineage in a controlled environment.

AxiomSL’s data-driven collaborative platform, known for its robustness, adaptability and transparency, imposes no constraints on where the data is located and enables financial firms to avoid duplication and double storage.

**The constant state of change, whether it is regulatory or geopolitical, makes CEOs and senior executives realize it is not about developing new whizzy apps as point solutions, but it is about transforming businesses for future success.**

—ALEX TSIGUTKIN,  
GLOBAL CEO AXIOMSL

## **TRENDS**

As the quantum of structured and unstructured data grows and the requirement for transparency and traceability by regulators continues, the volume and the granularity of data demanded from regulated entities will also grow. Data must flow seamlessly through its products and lines of business, not only to support growth strategies but also to meet ever-expanding regulatory compliance mandates. All too often financial firms attempt to address increasing data volumes by creating more business unit silos within the enterprise. This data and process fragmentation results in major struggles for firms when aggregating, enriching, reconciling and validating vast amounts of information in order to accurately analyze and report their overall risk exposure and financial positions.

## **BENEFITS**

AxiomSL's data and process-driven technology allows firms to leverage their existing data and risk management infrastructure, which reduces operational and implementation costs and achieves faster time to market. With this strategic platform, firms can quickly cope with changes in regulations, risk, finance and compliance to meet the layers of complexity when aggregating risk data for capital adequacy, liquidity calculations and disclosure requirements across regional and global mandates.

AxiomSL's state of the art platform steps in and acts as an integration and application development layer, which is implemented with minimal internal disruption and without a "black box" mentality. This integrated platform delivers traceability, transparency, lineage and greater clarity about the organization's financial position and risk exposure required by regulators and internal management. In a nutshell, it delivers "trusted information" that regulators want top management at firms to attest.

## **NEXT STEPS**

To turn regulatory drivers into key business drivers, FIs should implement a data and process driven platform that will a) deliver the scalability to operate on larger data sets, and run risk analysis on longer historical time periods; b) improve data management to curate and store much larger sets of data, c) provide flexible infrastructure to adapt quickly to new and more stringent regulatory, business and technical mandates, d) reuse and leverage data and processes while avoiding siloed responses to deliver trusted information and e) provide data lineage that identifies the source of every input and demonstrates how this object navigates throughout the entire workflow process.

# ONE STRATEGIC PLATFORM FOR GOVERNANCE, RISK & COMPLIANCE



## FINREG & FINSTAT REPORTING

Global & Regional Regulatory Reports  
Broker Dealer Reporting  
Asset Management Reporting  
CFO Attestation  
Resolution Planning  
CCAR & DFST  
BCAR  
IFRS 9/CECL



## TAXES

FATCA  
CRS



## LIQUIDITY & INTEREST RATE

LCR  
NSFR  
ALM  
IRRBB  
FTP  
Broker Dealer Calculations



## OPERATIONS & TRANSACTIONS

Trade and Transactions  
MiFID II  
Global Shareholding Disclosures  
CAT (Consolidated Audit Trail)  
EMIR/SFTR/FinfraG



## CAPITAL & CREDIT RISK SOLUTIONS

Basel III & IV  
FRTB  
SA-CCR  
Large Exposure  
CCAR & DFST  
BCAR  
IFRS 9 /CECL  
AnaCredit  
Solvency II  
Broker Dealer Calculations

**Data Lineage ● BCBS 239 Compliant ●  
SaaS enabled solution for regulatory compliance**



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**AxiomSL is the global leader** in risk data management and regulatory reporting solutions for the financial industry, including banks, broker dealers, asset managers and insurance companies. Its unique enterprise data management (EDM) platform delivers data lineage, risk aggregation, analytics, workflow automation, reconciliation, validation and audit functionality, as well as disclosures. AxiomSL's platform supports compliance across a wide range of global and local regulations, including Basel III capital and liquidity requirements, the Dodd-Frank Act, FATCA/CRS, EMIR, FRTB, COREP/FINREP, CCAR, MiFID II, FDSF, BCBS 239, Solvency II, AIFMD, IFRS 9/CECL, MAS, APRA, REMIT, SFTR, central bank disclosures, and both market and credit risk management requirements. The company's technology and solutions have received numerous accolades, including success in the Best Reporting Initiative category of the American Financial Technology Awards, Best Implementation at a Sell-Side by Incisive Media, and highest recognition in the Customer Satisfaction section of the Chartis RiskTech100 rankings.