



# AML 2018

**ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE**

**FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY**

## SPONSORSHIP PROSPECTUS

[Sponsorship Opportunities](#)

[2017 PARTICIPANTS](#)

**TO RESERVE YOUR SPONSORSHIP, PLEASE CONTACT:**

**Diana Serri** | Vice President, Head of Sponsorship and Exhibit Sales, SIFMA  
212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

SIFMA's Annual Anti-Money Laundering & Financial Crimes Conference continues to be the leading forum for professionals from the securities industry, regulatory agencies and law enforcement to discuss current legal and regulatory developments and priorities in the AML and financial crime space. We hope you'll join us for this two-day event at the Marriott Marquis in New York City this February.

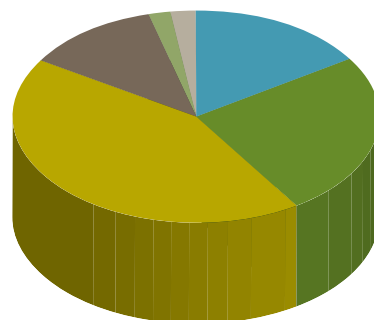
AML and financial crimes professionals continue to serve as a vital role within the compliance department at firms. Don't miss your opportunity to gather with colleagues and peers to see how firms are adapting to the new scrutiny, new regulations, and new questions from our regulators around the globe and join in the conversation about the path forward. Throughout the two-day program, participants will have the opportunity to hear directly from the industry's regulators; participate in closed-door breakout sessions and network with policy makers and peer compliance professionals.

The targeted audience includes leading experts from the financial services industry, regulatory agencies and law enforcement to discuss new trends in financial crime, emerging issues relating to anti-money laundering, elder fraud, economic sanctions and anti-bribery/anti-corruption compliance, and changes in regulatory expectations and requirements.

Industry partners with solutions in the anti-money laundering and financial crimes space are invited to participate as supporting sponsors of the event.

[www.sifma.org/aml2018](http://www.sifma.org/aml2018)

## 2017 SIFMA Anti-Money Laundering & Financial Crimes Conference Attendee Profile:



- C-Suite - 16%
- Managing Director and Senior Executive - 25%
- Vice President and Director - 43%
- General Counsel & Attorney - 12%
- Regulator - 2%
- Consultant - 2%



TO RESERVE YOUR SPONSORSHIP, CONTACT:

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)  
Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## SIFMA's 18th Annual Anti-Money Laundering & Financial Crimes Conference Sponsorship Package offers maximum value with the following benefits:

### Pre-Conference Benefits:

SIFMA's AML Conference is a heavily marketed event that reaches more than 75,000 individuals throughout the Seven-Month Marketing Campaign. Sponsors will benefit from pre-marketing exposure that includes:

- Prominent placement of logo and firm profile on the 2018 AML Conference website
- Prominent placement of logo on SIFMA's dedicated sends, which includes email campaigns highlighting dates, program, etc. sent to more than 75,000 individuals
- Opportunity to link thought leadership to SIFMA's event website
- Preliminary mailing lists of all conference participants sent in electronic format, which includes registrants name, title, firm affiliation and mailing address, sent four (4) weeks in advance of the 2018 AML Conference

### Conference Event Site Benefits:

- Access to food and networking functions
- Exhibit Space that includes a Table Display & Two (2) exhibit only personnel badges
- Prominent brand recognition during the sponsored function (if applicable), with logo displayed on dedicated signage, that includes both print and digital formats, strategically placed in various outlets such as registration area, general session slide deck, sponsor recognition video loop, etc.
- Recognition at the sponsored function (if applicable)
- Dedicated sponsor listing placed within the SIFMA AML Conference Pocket Guide and Event App, branding company logo, listing contact name, company profile
- Company listing and description on the 2018 SIFMA AML Conference website

### Post-Conference Benefits:

- Company listing, Corporate logo and contact details strategically placed on SIFMA's AML Conference website page
- Complete mailing lists of all 2018 SIFMA AML Conference attendees in electronic format sent at the conclusion of the conference
- Sponsor recognition on post-event highlights, sent to all registrants via email a few weeks following the event and remain on the website for 3-4 months past the conference
- Acknowledgement of sponsorship, with placement of logo on all post-event marketing & survey email campaigns that include "SIFMA Thank You for Attending" and "Survey" emails



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## SIFMA AML2018 Partner Workshops

SIFMA is pleased to offer contracted sponsors an opportunity to participate on the program agenda during this year's AML Conference by holding a Partner Workshop, which are scheduled as part of the conference program and allows you to meet face to face and share thought leadership content with new and existing clients. There are only three (3) opportunities available and all Partner Breakout Sessions offered are on a first-come/first-serve basis. All session titles and descriptions are subject to a pre-approval process by SIFMA.

### Partner Workshop package offers maximum value with the following:

- All workshop hosts must be a contracted Sponsor of the Conference
- Each workshop host will have the opportunity to speak exclusively to a group of up to 60 registrants.
- Your session may be conducted in any fashion that you would prefer to facilitate your presentation.

Partners are responsible for Internet Access Fees.

#### Investment:

\$6,000 SIFMA Member Rate

\$8,500 Non-Member Rate

**(4) SOLD!**

Booz | Allen | Hamilton



TO RESERVE YOUR SPONSORSHIP, CONTACT:

Diana Serri | 212.313.1258 | dserri@sifma.org

Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

**ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE**

**FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY**

## Diamond Sponsorship

Investment:

- \$19,000 SIFMA Discounted Member
- \$22,000 Non-Member Rate

Benefits Include:

- Four (4) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

## Gold Sponsorship

Investment:

- \$12,500 SIFMA Discounted Member
- \$15,000 Non-Member Rate

Benefits Include:

- Two (2) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

## Platinum Sponsorship

Investment:

- \$15,500 SIFMA Discounted Member
- \$18,000 Non-Member Rate

Benefits Include:

- Three (3) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

## Silver Sponsorship

Investment:

- \$10,000 SIFMA Discounted Member
- \$12,500 Non-Member Rate

Benefits Include:

- One (1) Complimentary Conference Registration
- One (1) Discounted Member Rate Conference Registration
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

*SIFMA does NOT guarantee any Speaking opportunities as part of a Sponsorship Benefit's package offered during the conference.*

**TO RESERVE YOUR SPONSORSHIP, CONTACT:**

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)

Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## DIAMOND SPONSORS

Booz | Allen | Hamilton



## PLATINUM SPONSORS

ALLEN & OVERY



Davis Polk



## GOLD SPONSORS

Debevoise & Plimpton

GIBSON DUNN

## SILVER SPONSORS



OTC Markets



## SPONSOR

TO RESERVE YOUR SPONSORSHIP, CONTACT:

Diana Serri | 212.313.1258 | dserri@sifma.org

Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## DIAMOND

### Investment

\$19,500 SIFMA DISCOUNTED MEMBER RATE

\$22,000 NON-MEMBER RATE

### Benefits Include

- Four (4) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

## Sponsorship

SIFMA AML Committee Dinner, Monday, February 12 - **SOLD!**



TO RESERVE YOUR SPONSORSHIP, CONTACT:

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)

Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML 2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## PLATINUM

### Investment

\$15,500 SIFMA DISCOUNTED MEMBER RATE  
\$18,000 NON-MEMBER RATE

### Benefits Include

- Three (3) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference



## Sponsorship

SIFMA AML 2018 Mobile App - **SOLD!**



Networking Luncheon, Monday, February 12 - **SOLD!**

**DavisPolk**

Networking Luncheon with Speaker presentation, Tuesday, February 13 - **SOLD!**

**Booz | Allen | Hamilton**

Welcome Reception, Monday, February 12 - **SOLD!**

**ALLEN & OVERY**

Wi-Fi for Conference Attendees - **SOLD!**



TO RESERVE YOUR SPONSORSHIP, CONTACT:

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)  
Vice President, Head of Exhibit & Sponsorship Sales | SIFMA





# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## GOLD

### Investment

\$12,500 SIFMA DISCOUNTED MEMBER RATE  
\$15,000 NON-MEMBER RATE

### Entitlements

- Two (2) Complimentary Conference Registrations
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

## Sponsorship

### Co-Sponsorship

**General Session Audio Visual, February 12 & 13 - One (1) AVAILABLE, One (1) SOLD!**

*Prominent brand recognition of sponsorship included on General Session slide deck, viewed by all attendees as they enter the Ballroom.*



### Continental Breakfast

*Networking opportunity offering prominent brand recognition, serving a variety of healthy breakfast choices along with hot & cold beverages, set in the Sponsor Showcase, allowing for one-on-one conversations with industry colleagues, clients and potential prospects.*

**Monday, February 12 - SOLD!**

**GIBSON DUNN**

**Tuesday, February 13 - SOLD!**

**Debevoise & Plimpton**



TO RESERVE YOUR SPONSORSHIP, CONTACT:

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)  
Vice President, Head of Exhibit & Sponsorship Sales | SIFMA

## SILVER

### Investment

\$10,000 SIFMA DISCOUNTED MEMBER RATE

\$12,500 NON-MEMBER RATE

### Entitlements

- One (1) Complimentary Conference Registration
- One (1) Discounted Member Rate Conference Registration
- Table Display and Two (2) Table Staff Badges for the duration of the Conference



## Sponsorship

### Attendee Lanyard - **SOLD!**

*What better way to leave an impression than to see 400+ attendees wearing your firm's name/logo around their neck for the duration of the conference? Name badge lanyards are worn around the neck of each attendee to gain access to sessions. Co-Branded, SIFMA pre-approved lanyard produced by sponsoring firm will be distributed with registration materials to all conference participants.*

### Attendee Tote Bag - **SOLD!**



### Charging Station - **SOLD!**



### Conference Pocket Guide - **AVAILABLE**

*Printed program guide includes detailed agenda, speaker bios, attendee list and offers Sponsoring firm a full-page advertisement strategically placed on outside back cover.*

TO RESERVE YOUR SPONSORSHIP, CONTACT:

**Diana Serri** | 212.313.1258 | [dserri@sifma.org](mailto:dserri@sifma.org)

Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## SILVER

### Investment

\$10,000 SIFMA DISCOUNTED MEMBER RATE  
\$12,500 NON-MEMBER RATE

### Entitlements

- One (1) Complimentary Conference Registration
- One (1) Discounted Member Rate Conference Registration
- Table Display and Two (2) Table Staff Badges for the duration of the Conference

\* SIFMA policy dictates that all branded sponsorship items must include SIFMA logo or the "Proud Support of SIFMA" creative. Guidelines will be included with final invoice.

### Sponsorship

Hotel Room Key Cards\* - **SOLD!**



Mobile Device Charging Sticks - **AVAILABLE**

*Co-Branded Charging Sticks, produced and provided by sponsoring firm, distributed to all conference attendees during registration.*

### Networking Refreshments (Morning & Afternoon)

*Networking Refreshments, hosted in the Sponsor Showcase area, allowing for one-on-one networking opportunities with industry colleagues and prospects, offering sponsoring firm prominent brand recognition.*

#### Morning Refreshments

Monday, February 12 - **SOLD!**



Tuesday, February 13 - **SOLD!**



#### Afternoon Refreshments

Monday, February 12 - **SOLD!**



Tuesday, February 13 - **SOLD!**



Note Pads / Writing Tablet & Pen\* - **SOLD!**



TO RESERVE YOUR SPONSORSHIP, CONTACT:

Diana Serri | 212.313.1258 | dserri@sifma.org  
Vice President, Head of Exhibit & Sponsorship Sales | SIFMA



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## Participating Firms SIFMA's 2017 Anti-Money Laundering & Financial Crimes Conference

ABN AMRO Bank  
ACA Compliance Group  
ACAMS  
Advisor Group  
Alabama Securities Commission  
AlixPartners LLP  
AllianceBernstein L.P.  
Ally Invest Securities LLC  
Ameriprise Financial Services, Inc.  
Apex Clearing Corporation  
AXA Equitable  
B.C. Ziegler and Company  
Bank of America Merrill Lynch  
Bank of China  
Bank of New York Mellon  
Barclays  
Barings LLC  
Bates Group LLC  
BBVA Compass  
BlackRock, Inc.  
Bloomberg L.P.  
Blueprint Regulatory Consulting, LLC  
BMO Nesbitt Burns

BNP Paribas  
Bradley Arant Boult Cummings LLP  
Bressler, Amery & Ross, P.C.  
Brown Brothers Harriman & Co.  
BTIG, LLC  
C.L. King & Associates, Inc.  
Canadian Imperial Bank of Commerce (CIBC)  
Cantor Fitzgerald & Co.  
Capital One Bank (USA), National Association  
Capital Research and Management Company  
Charles Schwab & Co., Inc.  
China Renaissance Securities (US) Inc.  
Citi  
Citigroup Inc.  
Clearpool Execution Services, LLC  
Clifford Chance US LLP  
Commerzbank AG  
Commonwealth Financial Network  
Compliance Support Services  
Compliance Week  
ComplyAdvantage  
COR Clearing LLC  
Cowen Execution Services LLC

Credit Suisse Securities (USA) LLC  
Crowe Horwath LLP  
Crowell & Moring LLP  
Daiwa Capital Markets America Inc.  
Danske Markets  
Davis Polk & Wardwell LLP  
Debevoise & Plimpton LLP  
Decoded Technologies Inc.  
Deloitte Services LP  
Depository Trust & Clearing Corporation (DTCC)  
Deutsche Bank Securities Inc.  
DST  
E\*TRADE Financial Corp.  
ED&F Man Capital Markets Inc.  
Edward D. Jones & Co., L.P.  
EisnerAmper LLP  
Equifax Information Services LLC  
Ernst & Young LLP  
Essex Financial Services, Inc.  
Euroclear Bank SA/NV  
Exane, Inc.  
Exiger  
Fast Company



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## Participating Firms SIFMA's 2017 Anti-Money Laundering & Financial Crimes Conference

Federal Reserve Bank of Chicago

Federal Reserve Board

Fidelity Investments

Financial Crimes Enforcement Network (FinCEN), US  
Department of the Treasury

Financial Industry Regulatory Authority Inc (FINRA)

First Republic Bank

Firstrade Securities Inc.

FMR LLC

FTI Consulting, Inc.

FTN Financial Securities Corp.

Gartland and Mellina Group Corp

General Electric Capital Corporation

Goldman, Sachs & Co.

Guggenheim Partners, LLC

Hess Legal Counsel LLC

HSBC Securities (USA) Inc.

ICAP Services North America LLC

IntegrityRisk International, LLC

Intercontinental Asset Management Group, Ltd.

INTL FCStone Financial Inc.

Investment Placement Group

J.P. Morgan Securities LLC

Jane Street Capital, LLC

Janney Montgomery Scott LLC

JDX Consulting

Jefferies LLC

John Hancock Funds, LLC

JPMorgan Chase & Co.

K&L Gates LLP

K2 Intelligence LLC

KCG Holdings, Inc

KeyBanc Capital Markets Inc.

KGS-Alpha Capital Markets, LP

Kroll Inc

Landesbank Hessen-Thueringen Girozentrale

Level ATS

Lime Brokerage LLC

Lloyds Banking Group

Lombard International

Lord, Abbett & Co. LLC

Lowenstein Sandler LLP

LPL Financial LLC

M&T Securities, Inc.

Macquarie Capital (USA) Inc.

MBSC Securities Corporation

Mesirow Financial, Inc.

MFS Investment Management

Millennium Management LLC

MML Investors Services, LLC

Moore & Van Allen, PLLC

Morgan Stanley & Co. LLC

MUFG Securities Americas Inc.

National Financial Services LLC

National Futures Association (NFA)

Nationwide Investment Services Corporation

Natixis

Navigant Consulting, Inc.

Neuberger Berman LLC

New York Life Insurance Company

NEX Group plc

Nomura Securities International, Inc.

Nordea Bank

Nuveen Investments, Inc.

Oppenheimer & Co. Inc.

OptionsHouse

OPUS

OTC Markets Group, Inc.

Patterson Belknap Webb & Tyler LLP

Pershing LLC, a BNY Mellon Company



# AML2018

ANTI-MONEY LAUNDERING & FINANCIAL CRIMES CONFERENCE

FEBRUARY 12-13, 2018 | MARRIOTT MARQUIS, NEW YORK CITY

## Participating Firms SIFMA's 2017 Anti-Money Laundering & Financial Crimes Conference

PFS Investments Inc.  
Pillsbury Winthrop Shaw Pittman LLP  
PIMCO  
Piper Jaffray & Co.  
ProEquities, Inc.  
Protiviti Inc  
Prudential Financial, Inc.  
Puma Capital, LLC  
PwC  
Raymond James & Associates, Inc.  
RBC Capital Markets, LLC  
RBS Securities Inc.  
Reed Smith LLP  
Robert W. Baird & Co. Incorporated  
Royal Bank of Scotland plc  
Rumberger, Kirk & Caldwell  
Safe Banking Systems, LLC  
Schulte Roth & Zabel LLP  
Scottrade, Inc.  
Securities America, Inc.  
Sidley Austin LLP

SIFMA  
SIX Financial Information USA Inc.  
SmartBrief  
SMBC Nikko Securities America, Inc.  
Societe Generale  
Standard Chartered Bank  
Standish Mellon Asset Management Company LLC  
State Street Bank and Trust Company  
Stifel, Nicolaus & Co., Inc.  
Stroz Friedberg LLC  
Sullivan & Cromwell LLP  
Sumitomo Mitsui Banking Corporation  
Swiss Re Capital Markets Corporation  
TD Ameritrade, Inc.  
The Dreyfus Corporation, a BNY Mellon Company  
The Guardian Life Insurance Company of America  
The New York State Insurance Fund (NYSIF)  
The Northern Trust Company  
The Wall Street Journal  
ThetaRay  
Thomson Reuters

U.S. Bancorp Investments, Inc.  
U.S. Bank  
U.S. Commodity Futures Trading Commission (CFTC)  
U.S. Department of the Treasury  
UBS AG  
UniCredit Capital Markets LLC  
United States Attorney's Office  
US Securities and Exchange Commission (SEC)  
USAA  
Vanguard Group, Inc.  
ViewTrade Securities, Inc.  
Vision Financial Markets LLC  
W. H. Reaves & Co., Inc.  
Wedbush Securities Inc.  
Weeden & Co., L.P.  
Wells Fargo & Company  
Willkie Farr & Gallagher LLP  
WilmerHale  
Wintrust Wealth Management  
Wunderlich Securities, Inc.