



## Diamond Sponsors

**Co-Sponsor Luncheon Speaker – Elise Jordan (April 12<sup>th</sup>)**

*Sponsored by*



**DOW JONES**

Wealth managers are advising their clients through a time of rapid transformation. In this changing environment and its emerging investment products, innovative financial tools and new investors, Dow Jones helps firms and their advisors thrive with a flexible suite of trusted news and information services. From prepping for a client’s annual planning and review meeting, to encouraging engagement in the investor portal, Dow Jones – with The Wall Street Journal and Barron’s – provides the authoritative news, analysis and data that enables firms and wealth managers to deliver the superior, personalized service that high-net-worth clients demand from their financial advisors.

*Contact: Steve Reiser, VP Corporate Sales, Financial Services, Dow Jones & Company, Inc., 1211 Avenue of the Americas, New York, NY 10036 / 212.416.3890 / [steve.reiser@dowjones.com](mailto:steve.reiser@dowjones.com) / [www.dowjones.com](http://www.dowjones.com)*

Learn more about [Dow Jones & Company, Inc.](#) (PDF)

## General Session Audio Visual

Sponsored by



eMoney Advisor, LLC (“eMoney”), based in Radnor, Pennsylvania, is the leading, scalable wealth management technology developed for financial professionals, firms and enterprises of all sizes. Rooted in collaborative financial planning, eMoney’s technology enables financial professionals to build stronger client relationships, streamline business operations and drive revenue and growth. Developed and supported by more than 600 passionate, innovative and dedicated employees in three locations, eMoney’s solutions transform the wealth management experience for more than 50,000 financial professionals and 2.1 million end-clients nationwide.

For more information, please visit: [www.emoneyadvisor.com](http://www.emoneyadvisor.com).

Contact: [www.emoneyadvisor.com](http://www.emoneyadvisor.com)

## Co-Sponsor Luncheon Speaker – Elise Jordan (April 12<sup>th</sup>)

Sponsored by



Federated is a leading global investment manager known for its disciplined investment approach, dedicated client service and broad array of financial solutions. Our investment experience spans domestic and international equity, fixed-income, alternative and money market strategies, distributed through a network of institutions, corporations, government entities, insurance companies, foundations and endowments, banks and broker/dealers.

Federated was founded more than 60 years ago with the belief that doing business the right way over time presents the best opportunity for future growth. Through every market cycle, our broad selection of high-quality investment strategies can help your clients achieve a balanced portfolio as they pursue their investment goals. Knowing how crucial strategic diversification and allocation are in reducing overall portfolio volatility, we continue to develop products that cover all asset classes, sectors and styles with the goal of long-term consistent performance.

We continue to increase our market footprint through organic growth and strategic acquisitions to take advantage of global opportunities.

Contact: Peter Eisenbrandt, Executive Vice President., Federated Investors, 1001 Liberty Avenue, 16<sup>th</sup> Floor, Pittsburgh, PA 15222 / 412.288.7054 / [peisenbrandt@federatedinv.com](mailto:peisenbrandt@federatedinv.com) / [www.FederatedInvestors.com](http://www.FederatedInvestors.com) / <http://www.federatedinvestors.com/FII/marketcommentary/views.do>

Learn more about [Federated Investors](#) (PDF)

### **Welcome Reception**

*Sponsored by*



Fidelity Clearing & Custody Solutions® provides a comprehensive clearing and custody platform, brokerage, investment, and reporting services, trading capabilities, and practice management and consulting to registered investment advisors (RIAs), including strategic acquirers and professional asset managers, retirement recordkeepers, broker-dealer firms, banks, insurance companies, family offices, and wealthy families. The goal of Fidelity Clearing & Custody Solutions® is to help clients ensure that they are always future-ready by providing knowledgeable consulting, exceptional people and transformative technology.

*Contact: John Phillips, EVP, Head of Bank & Broker-Dealer Sales, Fidelity Clearing & Custody Solutions /617.563.2253 / [john.d.phillips@fmr.com](mailto:john.d.phillips@fmr.com) / <https://clearingcustody.fidelity.com/app/home>*

Learn more about [Fidelity Clearing & Custody Solutions®](#) (PDF)

### **Co-Sponsor Closing Reception (April 12<sup>th</sup>)**

*Sponsored by*



Hearsay Systems offers leading Advisor Cloud solutions for financial services, empowering advisors to efficiently and compliantly use social media, websites, text and email to engage with customers, build stronger relationships and grow their business. Its prescriptive technology processes and prioritizes data from across digital channels and data systems, providing actionable suggestions for advisors on how they should engage with customers next. Built for the enterprise, Hearsay connects these advisor-client interactions and data to corporate CRM systems and digital marketing programs, and provides efficient compliance supervision and review workflows – all on a secure, enterprise-ready platform.

Hearsay is used by more than 150,000 advisors and agents at the world's largest financial services and insurance firms. The company is headquartered in Silicon Valley with offices throughout North America, Europe and Asia.

Contact: Tom Westhoff, VP of Sales – North America, Hearsay Systems, 185 Berry Street, Suite 3800, San Francisco, CA 94107, 415.692.6230 / [twesthoff@hearsaycorp.com](mailto:twesthoff@hearsaycorp.com), / [www.hearsaysystems.com](http://www.hearsaysystems.com)

Learn more about [Hearsay Systems](#) (PDF)

## **Gold Sponsor**

### **Wi-Fi**

Sponsored by

**PriceMetrix**<sup>™</sup>

PriceMetrix is the first choice in practice management software for North American wealth managers.

Our solutions use analytics and industry benchmarking to help advisors and their firms better serve their clients and grow their businesses.

PriceMetrix directly measures aggregated data representing seven million retail investors, 500 million transactions, and \$3.5 trillion in investment assets. PriceMetrix combines its patented process for collecting and classifying data, with proprietary measures of revenue, assets, and households to create the largest and most insightful retail wealth management dataset available today.

Contact: Doug Trott, Partner, President, PriceMetrix – Part of McKinsey & Company, 40 University Avenue, Suite 200, Toronto, ON M5J 1T1 / 416.955.0514 / [doug.trott@pricemetrix.com](mailto:doug.trott@pricemetrix.com) / [www.pricemetrix.com](http://www.pricemetrix.com)

Learn more about [PriceMetrix](#) (PDF)

## Silver Sponsors

### **Co-Branded Hotel Room Key Cards**

*Sponsored by*



BNY Mellon's Pershing and its affiliates provide a comprehensive network of global financial business solutions to advisors, broker-dealers, family offices, hedge fund and '40 Act fund managers, registered investment advisor firms and wealth managers. Many of the world's most sophisticated and successful financial services firms rely on Pershing for clearing and custody; investment, wealth and retirement solutions; technology and enterprise data management; trading services; prime brokerage and business consulting. Pershing helps clients improve profitability and drive growth, create capacity and efficiency, attract and retain talent, and manage risk and regulation. With a network of 23 offices worldwide, Pershing provides business-to-business solutions to clients representing more than 7 million investor accounts globally. Pershing LLC (member FINRA, NYSE, SIPC) is a BNY Mellon company.

*Contact: David Crow, Managing Director, BNY Mellon | Pershing, 1515 West 22<sup>nd</sup> Street  
Oakbrook Regency Tower, Oak Brook, IL 60523 / [DCrow@pershing.com](mailto:DCrow@pershing.com) / 630.472.6750 /  
[www.pershing.com](http://www.pershing.com)*

Learn more about [BNY Mellon's Pershing](#) (PDF)

## Printed Pocket Guide and Digital Event Guide

Sponsored by



Broadridge, a global fintech leader with \$4 billion in revenue, provides communications, technology, data and analytics. We help drive business transformation with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. For wealth managers, we seamlessly connect all parts of the business – from communications, marketing and administration to securities processing, revenue and expense management, and other operations — to enhance client satisfaction and advisor productivity, as well as compliance and operational efficiency. As a partner to 25,000 advisors servicing 17 million client accounts, we are uniquely positioned to help firms get ahead of today’s challenges and capitalize on what’s next.

Contact: John Markos, Vice President, Sales, Broadridge Financial Solutions, Inc., 250 1st Ave. Suite 201, Needham, MA 02494 / 781.400.8423 / [john.markos@broadridge.com](mailto:john.markos@broadridge.com) / [www.Broadridge.com](http://www.Broadridge.com)

Learn more about [Broadridge](#) (PDF)

## Co-Branded Notepads

Sponsored by



Renaissance Regulatory Services, Inc. (RRS) provides comprehensive compliance consulting and support services to broker-dealers, investment advisers, investment companies, hedge funds and registered private fund managers. Specializing in SEC and FINRA compliance audits, written supervisory procedures and internal controls, RRS provides the experience and insight to meet all your compliance needs. Our services are customized to fit your firm’s operations and in most cases, are performed on site. RRS’ staff and partners are former regulators from the SEC, FINRA/NASD and state regulatory agencies, as well as compliance professionals with extensive hands-on experience with SEC, FINRA, and state rules and regulations.

RRS is founded on the premise that the financial services industry is constantly changing. In order to “Manage Through Change,” firms must have the ability to quickly recognize and respond to the compliance and operational challenges that arise. The RRS team can support your firm’s mission by providing comprehensive compliance solutions.

Contact: Renaissance Regulatory Services, Inc., 1515 South Federal Highway, Suite 306, Boca Raton, FL 33432 / 561.368.2245 / [info@rrscompliance.com](mailto:info@rrscompliance.com) / <http://www.rrscompliance.com>

Learn more about [Renaissance Regulatory Services, Inc.](#) (PDF)

## Morning Networking Refreshments

Sponsored by



Throughout the course of the last year, Talisys has been developing a suite of point solutions in an effort to modernize the existing architecture available to wealth management firms. Through research and continued conversations with existing clients, we knew there was a dire need for a suite of products that would eliminate the existing inefficiencies in the industry, and with that came Talisys Online Products (TOP). TOP are next generation, HTML 5 technology platforms of mobile products consisting of Analytics, Advisor, Corporate Actions, Investor, and ACATs. These products were designed to improve efficiency by providing clients the ability to stay in the know on a real-time basis in and away from the office. TOP products operate independently of one another and can be purchased by need on an individual scale. Check out our TOP website and learn how TOP will keep your firm ahead of the curve.

Contact: [www.topproductsuite.com](http://www.topproductsuite.com)

Learn more about [Talisys](#) (PDF)

## Contributors



Copytalk provides Enterprise Solutions to prominent Financial Institutions and advisors. Copytalk's Mobile Scribe is a secure mobile transcription service that enhances efficiency, productivity and a proven best practice for compliance adherence - even more so in today's world of the DOL Fiduciary Rule. As a technology partner, we help ensure that your advisors can focus on what is important. Copytalk ensures privacy and security of data via controlled and monitored U.S, facility-based transcriptionists (modeled after FINRA testing centers).

Contact: Copytalk, 500 Tallevast Road #102, Sarasota, Fl 34243 / 866.267.9825 / [www.Copytalk.com/sifma](http://www.Copytalk.com/sifma)

Learn more about [Copytalk](#) (PDF)



Vestmark enables financial institutions and advisors to efficiently manage and trade investor portfolios through an innovative SAAS platform. Located in Wakefield MA, Vestmark's client list includes 43 Financial Institutions, with over 800 billion in assets and 2.5 million investor accounts on our platform.

Contact: Aubrey Ungvarsky, Marketing, 100 Quannapowitt Parkway, Wakefield, MA 01880 / 781.224.3640 / [aungvarsky@vestmark.com](mailto:aungvarsky@vestmark.com) / [www.vestmark.com](http://www.vestmark.com)

Learn more about [Vestmark](#) (PDF)