

Gold Sponsors

Networking Reception

Sponsored by



Aplomb Strategies helps financial institutions capitalize on global market structure changes. Our focus guides our client partners to understand and implement new organizational processes to address global regulatory change, mitigate work flow inefficiencies, launch new products and implement cost optimization to drive opportunities for growth.

Aplomb advises senior management, recommends technology solutions, develops project plans, implements regulatory strategies and conducts end to end reviews of procedures to support compliance across asset classes (equities, listed options, futures, fx, fixed income and OTC derivatives).

Contact: Michelle Lindenberger, CMO, Aplomb Strategies / 347.256.2228 / michelle@aplombstrategies.com / www.aplombstrategies.com

Networking Lunch

Sponsored by



As the world's largest equity derivatives clearing organization and the foundation for secure markets, OCC is a cost-efficient, customer-driven organization that delivers world-class risk management, clearing and settlement services to the exchange- listed options market. Our role as a Systemically Important Financial Market Utility (SIFMU) is critical to providing financial stability for market participants, investors, and the economy. Today, our financial guarantee and central counterparty role extend beyond

listed options to OTC options, financial and commodity futures, security futures, and securities lending. Named 2016 Clearinghouse of the Year – The Americas by *FOW Magazine* and 2016 Clearinghouse of the Year by *Global Investor/ISF magazine*, OCC also promotes continued education of the exchange-listed options markets through The Options Industry Council (OIC).

Contact: OCC, 1 North Wacker Drive, Chicago, IL 60606 / 312.322.6200 / www.theocc.com

General Session Audio Visual

Sponsored by



Oppenheimer & Co. Inc. ("Oppenheimer") is a leading bank and full-service investment firm that provides financial services and advice to high net worth investors, individuals, businesses and institutions. For over 130 years, we have provided investors with the necessary expertise and insight to meet the challenge of achieving their financial goals. Our commitment to our clients' investment needs, our experienced and dedicated professionals, and our proud tradition empower us to deliver effective and innovative solutions to our clients.

Contact: Joseph Bile, Options Trading, Oppenheimer / <u>Joseph.Bile@opco.com</u> / <u>www.opco.com</u>

Learn more about Oppenheimer & Co. Inc. (PDF)

Silver Sponsors

Digital Event Guide & Pocket Guide

Sponsored by



Cboe Global Markets, Inc. (Cboe: CBOE | NASDAQ: CBOE) is one of the world's largest exchange holding companies, offering cutting-edge trading and investment solutions to investors around the world. The company is committed to relentless innovation, connecting global markets with world-class technology, and providing seamless solutions that enhance the customer experience. Cboe offers trading across a diverse range of products in multiple asset classes and geographies, including options, futures, U.S. and European equities, exchange-traded products (ETPs), global foreign exchange (FX) and multi-asset volatility products based on the Cboe Volatility Index (VIX Index), the world's barometer for equity market volatility. Cboe's trading venues include the largest options exchange in the U.S. and the largest

stock exchange by value traded in Europe. In addition, the company is the second-largest stock exchange operator in the U.S. and a leading market globally for ETP trading.

Contact: Choe Global Markets, Inc., 400 S LaSalle Street, Chicago, IL 60605 / 312.786.5600 / www.cboe.com

Learn more about Cboe Global Markets, Inc. (PDF)

Afternoon Refreshments

Sponsored by



IMC is a leading market maker, active on over 100 exchanges, platforms, and pools of liquidity around the world.

Founded in Amsterdam in 1989, IMC was among the first to value the importance of technology and innovation in the evolution of trading.

Today we operate globally - across time zones and continents.

Contact: Paul Jiganti, IMC / 312.244.3300 / informationchicago@imc.com / www.imc.com

Learn more about **IMC** (PDF)

Cell Phone Re-Charge Zone

Sponsored by



Nasdaq (Nasdaq: NDAQ) is a leading global provider of trading, clearing, exchange technology, listing, information and public company services. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets. As the creator of the world's first electronic stock market, its technology powers more than 90 marketplaces in 50 countries, and 1 in 10 of the world's securities transactions. Nasdaq is home to approximately 3,900 total listings with a market value of approximately \$12 trillion. To learn more, visit: http://business.nasdaq.com

Contact: Nasdaq, sales@nasdaq.com / http://business.nasdaq.com

Bronze Sponsor

Badge Lanyard Sponsored by



At Dash, we believe in designing advanced, future-proof and fully transparent tools for the institutional trading community and delivering highly customizable trading technologies, execution services, analytics and regulatory tools. We value craftsmanship and provide a consultative approach to help our partners achieve their goals to far exceed best execution requirements when it comes to workflow, execution, reporting and compliance. As microstructure, execution, and workflow experts, we believe in delivering simple, powerful, effective and transparent technologies with unmatched service and support.

Contact: David Cross, Managing Director, Dash Financial Technologies / 212.448.6039 / dcross@dashfinancial.com/www.dashfinancial.com

Learn more about Dash Financial Technologies (PDF)