



Monday
October 1, 2018

3:00PM – 6:15PM

Registration Desk Hours

3:30PM – 4:45PM

— **Breakout Session I: Global Capital Markets: Cross-Border Regulatory Cooperation and Economic Growth**

The global regulatory response to the financial crisis has drastically transformed the world's capital markets. Financial stability is enhanced but supervision is fragmented and calls for regulatory recognition and equivalence abound on issues permeating everything from derivatives contracts to MiFID II. Can domestic regulators balance legitimate national interests with the G20's commitment to a safe, open and competitive global marketplace?

— **Breakout Session I: Where Should All the Trades Go? Exploring if Equity Market Structure is Affecting Capital Formation**

In the past ten years, U.S. equity markets have grown increasingly complex. With more than a dozen exchanges and an array of off-exchange platforms, the options for stock order execution have proliferated. Might changes to equity market structure - how and where stock trades are executed - help more companies go and stay public?

— **Breakout Session I: Deploying Fintech: Moving Innovation Beyond the Lab**

From AI to RPA, from blockchain to DLT, here's how firms are taking proofs of concept from the lab and deploying them into the heart of their businesses.

4:45PM – 5:00PM

Networking Break

5:00PM – 5:10PM

Welcome

5:10PM – 5:40PM

Featured Speaker

5:40PM – 6:10PM

Featured Speaker

6:10PM – 6:15PM

Closing Remarks

6:30PM – 9:00PM

Tuesday
October 2, 2018

7:30AM – 5:00PM

Registration Desk Hours

7:30AM – 8:30AM

Breakfast

8:00AM – 8:10AM

Opening Remarks

SPEAKERS



Lisa Kidd Hunt

Executive Vice President,
Business Initiatives
[Charles Schwab & Co., Inc.](#)

[Biography](#)



James R. Allen

Chairman & CEO
[Hilliard Lyons](#)

[Biography](#)



Kenneth E. Bentsen, Jr.

President and CEO
[SIFMA](#)

[Biography](#)

8:10AM – 8:40AM

Featured Speaker

8:40AM – 9:10AM

Featured Speaker

9:10AM – 9:30AM

Featured Speaker or Panel

9:30AM – 9:50AM

Networking Break

Tuesday
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9:50AM – 11:00AM

- **Breakout Session II: The Brexit Briefing: The Future of Transatlantic Trade**
If you think Brexit is just about the relationship between the U.K. and the European Union, think again. Negotiations are at an important juncture with huge implications for the capital markets. With less than 180 days until the U.K. leaves the EU and just two years until the transition period ends in 2020, how will third party relationships - including those between the U.S. and the U.K. and E.U. - be transformed?
- **Breakout Session II: Uncharted Territory: Defining the Future of Fixed Income Markets**
Transformational change in fixed income market structure is underway amidst a divergence in global central bank monetary policy and historically low volatility. Alongside an increased focus on regulation and transparency, market-led investments in client connectivity, electronic trading and automation, clearing and settlement infrastructure, and data management are changing the ways we service clients, interact with counterparties and manage risk. Find out how this evolution impacts competitive positioning, liquidity and the vibrancy of our markets.
- **Breakout Session II: The Future Workforce: Fostering a Diverse and Inclusive Financial Industry**
This is the discussion our industry, as a microcosm of society, needs to have: how can we promote diversity, inclusion and parity to shape the future of our workforce?

11:10AM – 11:50AM

- **One-on-One with Charles Schwab & Co. Chairman, Charles Schwab**

MODERATOR



Lisa Kidd Hunt
Executive Vice President,
Business Initiatives
[Charles Schwab & Co., Inc.](#)
[Biography](#)

SPEAKER



Charles R. Schwab
Chairman
[The Charles Schwab Corporation](#)
[Biography](#)

11:50AM – 12:20PM

Networking Luncheon

12:20PM – 1:05PM

Featured Speaker

Tuesday
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12:20PM – 1:05PM

Featured Speaker

1:20PM – 2:35PM

- **Breakout Session III: Life After LIBOR: The Transition to Alternative Reference Rates**
Global regulators have called on the market to develop alternatives to LIBOR, the long-standing interbank lending rate, by implementing alternative risk-free rates (RFRs) supported by larger, observable markets. Don't let the rug get pulled out from under you: analyze the key challenges in transitioning one of the most widely-embedded aspects of financial market contracts and practices, impacting loans, floating rate notes, derivatives and more.
- **Breakout Session III: Private Wealth Management: Synergizing Product Creation and Distribution**
As the face of the individual investor evolves, so too does the opportunity to create investment advice tailored to their diverse needs across a variety of life stages. How can private wealth managers and asset managers partner to create a new generation of customized investment solutions?
- **Breakout Session III: Personal Data: Protecting a Client's Most Valuable Asset**
Personal financial data is an increasingly valuable asset in today's global economy. Improving data quality to enhance the customer experience is critical to business success but protecting data from improper use is paramount. Find out best practices to collect, store and use data while also safeguarding it from internal and external threats in an evolving regulatory environment.

2:35PM – 2:55PM

Networking Break

2:55PM – 3:35PM

Featured Panel: Industry Analysts

3:35PM – 4:05PM

Featured Speaker

4:05PM – 4:50PM

Featured Panel: Buy Side Executives

4:50PM – 5:20PM

Featured Speaker

5:20PM – 5:30PM

Closing Remarks