



FIXED INCOME MARKUP DISCLOSURE SEMINAR

Tuesday, September 19, 2017 | 12:00PM-6:00PM | SIFMA Conference Center, NYC

OUR SPONSORS



BondWave is a financial technology company specializing in fixed income solutions. We serve a wide range of customers, from small independent RIAs to some of the largest broker-dealers and custody providers in the financial services industry. Traders and advisors use our tools to provide a superior fixed income experience to their clients. By creating sophisticated, yet simple solutions for all stakeholders in the investment process, we help traders and advisors better leverage individual bonds as they work to achieve the investment objectives of their clients. Our tools enable strategy-based investing – including portfolio creation, monitoring and rebalancing – while greatly enhancing the communications between the trading desk, advisors and their clients. BondWave is liquidity and trade agnostic and our sole focus is to provide a simple, sophisticated user experience around individual bond investing.

Contact: Anthony Miscimarra, Managing Director, Business Development, BondWave LLC, 120 E. Liberty Drive, Suite 400, Wheaton, IL, 60187 | 630.517.7017 | tonymiscimarra@bondwave.com | www.bondwave.com

Learn more about [Bondwave LLP](#) (PDF)



ICE Data Services offers a robust end-to-end solution for information, analytics and connectivity, with a range of proprietary data and tools for global markets across fixed income, equities, commodities, FX and options. From exclusive real-time data driven by 12 global exchanges, leading continuous fixed income evaluated pricing, reference data and analytics, to robust desktops, trading tools, and connectivity solutions, thousands of market participants around the world deploy our award-winning data services to inform their market and economic perspectives and decision-making.

Contact: Tim Monahan, Director, Product Management, ICE Data Services, 100 Church Street, New York, NY 10007 | 212.497.3106 | timothy.monahan@theice.com | www.theice.com/data



IHS Markit™

IHS Markit (Nasdaq: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 key business and government customers, including 85 percent of the Fortune Global 500 and the world's leading financial institutions.

Contact: Dan Huscher, Director, Fixed Income Pricing, IHS Markit, 450 West 33rd Street, 5th Floor, New York, NY 10001
| 212.205.1296 | dan.huscher@ihsmarkit.com | www.ihsmarkit.com

Learn more about [IHS Markit](#) (PDF)

TMC Bonds

For Principal Mark-up Disclosure regulations, TMC offers Cascade©, its newest tool leveraging our production-level compliance engine and reporting suite. Cascade© calculates the Prevailing Market Price (“PMP”) via a 7-step waterfall process when streamed execution(s) exceed a tolerance band in comparison to a firm’s Contemporaneous Cost. Cascade© generates real-time PMP figures for executions on TMC’s ATS, “away” venues (e.g., other ATS’s, Bloomberg ALLQ/PICK), and internalized principal orders. Using a proprietary algorithm, TMC contrasts transactions versus user-supplied mark-up/mark-down matrices by asset class. Cascade© supports FIX messaging and or FTP for inputs/outputs in real-time, on demand, or day-end batch. Users also have access to a full reporting and audit module. **Until 11.30.17, TMC is offering a free Proof of Concept to SIFMA members to receive prior day executions and generate verifiable output. Discount pricing is also available to SIFMA members through 12.15.17.**

Contact: Tom Meder, Sales Director, TMC Bonds, 850 Third Avenue, 9th Floor, New York, NY, 10022
| 646.237.4360 | t.meder@tmcbondd.com | www.tmcbondd.com

Learn more about [TMC Bonds](#) (PDF)



Tradeweb Direct is the retail fixed income division of Tradeweb Markets, a premier source for the fixed income security needs for the buy-side, broker-dealers, financial advisors, traders and middle market investors. Tradeweb Direct delivers access to real-time inventory, competitive pricing, leading operational infrastructure, and streamlined post-trade processing. Comprehensive integration with internal and third-party systems provides clients with seamless front-to-back connectivity, order validation and post-trade execution. Paired with enhanced portfolio management solutions for in-depth analysis and customized reporting, the Tradeweb Direct offering helps the retail trading community maximize efficiency, achieve best execution and improve the overall performance of their trading operations.

Contact: Brian Kanaley, Tradeweb Direct, 1177 Avenue of the Americas, New York, NY 10036
| 212.852.1295 | brian.kanaley@tradeweb.com | <http://www.tradeweb.com/Retail/>