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AxiomSL delivers industry leading solutions for regulatory and risk management requirements for all financial firms. The integrated platform minimizes end-user applications and manual processes, reduces time to market costs, leverages existing data, increases transparency and control while ensuring accurate and timely regulatory calculations and reporting. AxiomSL covers all regulatory bodies (SEC, FINRA, CFTC, NFA, FRB, UST and BEA etc.) on "One Strategic Platform" which seamlessly integrates the firm's source data across all departments while addressing external regulatory reporting requirements and internal reporting needs. Sample solutions include FOCUS, US Treasury - TIC, Net Capital computations (SEC Rule 15c3-1), Customer Reserve computations (SEC Rule 15c3-3), Shareholder Disclosures and more.

Leading financial firms use AxiomSL's data management, regulatory reporting and risk management solutions to administer all internal data procedures, simplify, standardize and automate processes, and improve data integrity to successfully enhance decision-making. The AxiomSL platform integrates clients' source data from disparate systems and locations, without any data conversion, in a cost-effective manner, providing flexibility, transparency, traceability and the ability to drill down into data sources. It also delivers analytical applications in the areas of data integration and warehousing, financial risk management, regulatory reporting, compliance and financial control.

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BDO's Financial Services dedicated professionals possess the skill set to meet the unique assurance, tax, and consulting requirements broker-dealer confront, while staying in tune with the latest PCAOB pronouncements and requirements.

BDO helps clients achieve stronger results through sound business and financial advice, backed by deep industry knowledge, broad-based services, global network and an accessible and responsive team. Our experienced professionals are highly in tune with the intricacies of broker-dealer tax issues as well as assurance and consulting requirements. We are not only adept at proactively guiding clients through complexities of the rapidly shifting regulatory environment, but also shape broader industry guidance through our involvement in many industry organizations.

Regulators have tightened their grip on broker-dealers in recent years, implementing a dizzying array of new pronouncements and requirements. As regulatory authorities like the SEC, CFTC and FINRA push for greater compliance rigor, the complexities for broker dealers are rising, requiring increasingly sophisticated adjustments to adapt to new demands.

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At Dash, we believe in designing advanced, future-proof and fully transparent tools for the institutional trading community and delivering highly customizable trading technologies, execution services, analytics and regulatory tools. We value craftsmanship and provide a consultative approach to help our partners achieve their goals to far exceed best execution requirements when it comes to workflow, execution, reporting and compliance. As microstructure, execution, and workflow experts, we believe in delivering simple, powerful, effective and transparent technologies with unmatched service and support.

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