

# **PLATINUM SPONSORS**



# **Welcome Reception, October 23**

Broadridge, a global fintech leader with over \$7 billion in market capitalization, provides communications, technology, data and analytics. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

Contact: Broadridge Financial Solutions, Inc., One Park Avenue, New York, NY 10016 | 1.800.353.0103 | www.broadridge.com

# GOLD SPONSORS



Securing Today. Shaping Tomorrow.®

## **Attendee Registration Packet**

With more than 40 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to financial markets. In 2016, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$1.5 quadrillion. Its depository provides custody and asset servicing for securities issues from over 130 countries and territories valued at U.S. \$49.2 trillion. DTCC's Global Trade Repository maintains approximately 40 million open OTC positions per week and processes over one billion messages per month.

Contact: www.dtcc.com



#### Attendee Wi-Fi

For more than 160 years, PwC has been committed to one goal – helping our clients thrive. With more than 223,000 employees and offices in 157 countries, we help global organizations and individuals alike create value in the world, by delivering quality audit, assurance, tax and advisory services. PwC's Financial Services Regulatory Practice works with top tier financial institutions to manage and implement change driven by new regulation and strategic risk management objectives. As the first major consulting and accounting firm to organize a dedicated regulatory practice, our market knowledge is unparalleled and is enhanced by our leading position as advisor and auditor to the top global banks, asset managers and insurers. As one of the leading professional services networks in the world, our reputation lies in building lasting relationships with our clients and delivering value in all we do.

Contact: Dan Ryan, Financial Services Advisory Leader, PwC | 646.471.8488 | dan.ryan@pwc.com | www.pwc.com



# **Attendee Lanyard**

Yext puts Financial Services on the map. The Yext Knowledge Engine™ lets companies manage their digital knowledge in the cloud and sync it to over 100 services in the PowerListings® Network. Yext Listings gives you direct connections to all the places where consumers seek answers about your branches, advisors, loan officers, ATMs, and more. Yext Pages makes it easy to add and update these answers on your website, the authoritative source for facts about your brand. Yext Reviews helps you unlock your brand's true rating by generating authentic reviews directly from your customers. Today, Yext helps businesses around the globe facilitate face-to-face and digital interactions that promote brand awareness, boost foot traffic, and drive business. Visit to learn more.

Contact: <u>www.yext.com/industries/financial-services</u>

## SILVER SPONSORS

# **Davis Polk**

# Morning Networking Refreshments, Tuesday, October 24th

Davis Polk & Wardwell LLP (including its associated entities) is a global law firm with offices strategically located in the world's key financial centers. For more than 160 years, our lawyers have advised industry-leading companies and global financial institutions on their most challenging legal and business matters. Davis Polk ranks among the world's preeminent law firms across the entire range of its practice, which spans such areas as capital markets, mergers and acquisitions, credit, antitrust and competition, litigation and enforcement, private equity, tax, financial regulation, investment management, insolvency and restructuring,

executive compensation, FinTech, intellectual property and technology, real estate, and trusts and estates. Davis Polk has more than 800 lawyers in offices located in New York, Menlo Park, Washington DC, São Paulo, London, Paris, Madrid, Tokyo, Beijing and Hong Kong.

Contact: Franca Franz, Business Development Manager, Financial Institutions, Davis Polk & Wardwell LLP | 212.450.4212 | franca.franz@davispolk.com | www.davispolk.com

Learn more about <a href="Davis Polk & Wardwell LLP">Davis Polk & Wardwell LLP</a> (PDF)



# Morning Networking Refreshments, Tuesday, October 24th

Fannie Mae serves the people who house America. We are a leading source of financing for mortgage lenders, providing access to affordable mortgage financing in all markets at all times. Our financing makes sustainable homeownership and workforce rental housing a reality for millions of Americans. We listen to our customers and partners to understand their needs, putting them at the center of everything we do. We apply our experience and expertise to deliver innovative, smart solutions to help our customers succeed. We are moving forward with our partners to build a stronger, safer, more efficient housing finance system – one that continues to create housing opportunities for homebuyers and renters in all communities across the nation.

Contact: www.fanniemae.com



### **Exhibitor**

Hearsay Systems offers leading Advisor Cloud solutions for financial services, empowering advisors to efficiently and compliantly use social media, websites, text and email to engage with customers, build stronger relationships and grow their business. Its prescriptive technology processes and prioritizes data from across digital channels and data systems, providing actionable suggestions for advisors on how they should engage with customers next. Built for the enterprise, Hearsay connects these advisor-client interactions and data to corporate CRM systems and digital marketing programs, and provides efficient compliance supervision and review workflows – all on a secure, enterprise-ready platform. Hearsay is used by more than 150,000 advisors and agents at the world's largest financial services and insurance firms including New York Life, Raymond James and Vanguard. The company is headquartered in Silicon Valley with offices throughout North America, Europe and Asia. Connect on Facebook, Twitter, LinkedIn and the Hearsay blog.

Contact: Mike Agarwal, Director, Northeast, Hearsay Systems, 185 Berry Street, Suite 3800, San Francisco, CA 94107 | 510.972.4443 | magarwal@hearsaycorp.com | www.hearsaysystems.com

Learn more about Hearsay Systems (PDF)



#### **Exhibitor**

The New York Institute of Finance (NYIF) is a global leader in training for financial services and related industries. NYIF courses cover everything from investment banking, asset pricing, insurance and market structure to financial modeling, treasury operations, and accounting. The New York Institute of Finance has a faculty of industry leaders and offers a range of program delivery options, including self-study, online courses, and in-person classes.

Started by the New York Stock Exchange in 1922, NYIF now trains 50,000 professionals online and in class, in over 120 countries. Its US customers include the SEC, the Treasury, Morgan Stanley, Bank of America and most leading worldwide banks. In 2017 NYIF launched two new financial designations, the Chartered Financial Risk Engineer™ (<a href="https://www.nyif.com/cfre">https://www.nyif.com/cfre</a>) and the Chartered Investment Banking Analyst™. See all of NYIF's training and qualifications here: (<a href="https://www.nyif.com/certificates">https://www.nyif.com/certificates</a>)

Contact: Brendan McNerney, Head of Sales, New York Institute of Finance & Execsense, 160 Broadway, 15<sup>th</sup> Floor, New York, NY 10038 | 347.305.4789 | <a href="mailto:brendan.mcnerney@ft.com">brendan.mcnerney@ft.com</a> | <a href="mailto:www.nyif.com">www.nyif.com</a>

Learn more about The New York Institute of Finance (PDF)