Prudential Regulation Conference

JUNE 19, 2018 | 7:45 AM – 6:00 PM
WASHINGTON D.C.

8:00AM – 5:00PM

Registration Desk Hours
Explore the program below and stay tuned for more speaker announcements.

8:00AM – 8:25AM

Networking Continental Breakfast
Sponsored by Sullivan & Cromwell LLP

8:25AM – 8:30AM

Welcome and Introduction

8:30AM – 9:15AM

Keynote Address: Comptroller of the Currency
A view of the banking industry from the perspective of the primary regulator overseeing the national banking system.

MODERATOR
Greg Baer
President
The Clearing House Association
Biography

SPEAKER
Hon. Joseph M. Otting
Comptroller of the Currency
U.S. Department of the Treasury
Biography

9:15AM – 10:00AM

Legislative Perspective: Keynote Address by Senator Pat Toomey (R-PA)
A member of the Senate Banking Committee, Senator Pat Toomey (R-PA), will discuss the legislative priorities impacting the financial services industry.

MODERATOR
Kenneth E. Bentsen, Jr.
President and CEO
SIFMA
Biography

SPEAKER
Senator Pat Toomey (R-PA)
U.S. Senate
Biography

10:00AM – 10:15AM

Networking Break

Program as of 5/25/18. All information subject to change. For the most current program, visit www.sifma.org.
10:15AM – 11:00AM

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**Prudential Agencies: Regulatory Priorities in 2018**

Insight into the priorities and perspectives of the prudential agencies for the coming year.

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**PANELISTS**

Jeremy Newell  
Executive Managing Director,  
Head of Regulatory Affairs and General Counsel of the Association  
The Clearing House  
[Biography](#)

Mark E. Van Der Weide  
General Counsel  
Federal Reserve Board  
[Biography](#)

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11:00AM – 12:00PM

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**Supervisory and Examination Issues and Updates**

A panel of regulators and industry experts discuss the latest trends in the supervisory and examination context. Session topics include: the role and meaning of regulatory guidance; changes in the supervisory ratings process; perspectives on the treatment of attorney-client privilege in regulatory exams and investigations; changes in how MIRAs/MRIAs are issued and resolved; a fresh look at "confidential supervisory information"; and more.

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**MODERATOR**

Margaret E. Tahyar  
Partner  
Davis Polk & Wardwell LLP  
[Biography](#)

**PANELISTS**

Greg Coleman  
Deputy Comptroller for Large Bank Supervision  
Office of the Comptroller of the Currency (OCC)  
[Biography](#)

Michael S. Gibson  
Director  
Federal Reserve Bank  
[Biography](#)

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12:00PM – 1:15PM

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**Networking Lunch**

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1:15PM – 2:00PM

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**The Intersection of Politics and Prudential Policy**

The Heads of the Washington Offices for Goldman Sachs and Morgan Stanley will discuss the policy of the financial services appointees for the Trump Administration. This session will also examine how these administration officials are working with Congress and the industry to set a new course for financial services regulation. Panelists will also offer perspectives on how prudential policy impacts the capital markets and what the 2018 election may mean for the industry.

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**MODERATOR**

Carter K. McDowell  
Managing Director, Associate General Counsel  
SIFMA  
[Biography](#)

**PANELISTS**

Michael Paesa  
Global Co-Head of the Office of Government Affairs  
Goldman Sachs  
[Biography](#)

Michael J. Stein  
Global Head of Government Relations  
Morgan Stanley  
[Biography](#)
2:00PM - 3:00PM

Societal and Technological Changes: How the Industry and Regulators Need to Adapt

This session will examine how societal and technological changes affect the industry, and how prudential agencies may respond to these changes.

PANELISTS

Ben Alden
Vice President and General Counsel
Renterment
Biography

Adam Gilbert
Partner, Global Regulatory Leader of the Financial Services Advisory Practice
PwC
Biography

Keith Noreika
Partner
Simpson Thacher & Bartlett LLP
Biography

3:00PM - 3:15PM

Networking Break

3:15PM - 4:15PM

Assessing the Evolving Prudential Framework: Practical Considerations

Senior industry executives describe how the prudential framework affects business and other internal practices, and discuss the issues that are creating the greatest challenges for banks.

MODERATOR

Mitchell S. Etel
Partner
Sullivan & Cromwell LLP
Biography

PANELISTS

Roger A. Blissett
Managing Director, Head of Government Affairs for the Americas
Mitsubishi UFJ Financial Group, Inc. (MUFG)
MUFG Americas
Biography

Kieran J. Fallon
Senior Deputy General Counsel
The PNC Financial Services Group, Inc.
Biography

Andy Navarre
Executive Vice President, External Affairs
Capital One Financial Corporation
Biography

Betty A. Wheichel
U.S. Head of Public Policy and Regulatory Affairs
BNP Paribas
Biography
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