

Prudential Regulation Conference



JUNE 19, 2018 | 7:45 AM – 6:00 PM
WASHINGTON D.C.

8:00AM – 5:00PM

Registration Desk Hours

Explore the program below and stay tuned for more speaker announcements.

8:00AM – 8:25AM

Networking Continental Breakfast

Sponsored by [Sullivan & Cromwell LLP](#)

8:25AM – 8:30AM

Welcome and Introduction

8:30AM – 9:15AM

Keynote Address: Comptroller of the Currency

A view of the banking industry from the perspective of the primary regulator overseeing the national banking system.

MODERATOR

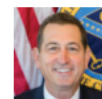


Greg Baer

President

[The Clearing House Association](#)
[Biography](#)

SPEAKER



Hon. Joseph M. Otting

Comptroller of the Currency

U.S. Department of the Treasury
[Biography](#)

9:15AM – 10:00AM

Legislative Perspective: Keynote Address by Senator Pat Toomey (R-PA)

A member of the Senate Banking Committee, Senator Pat Toomey (R-PA), will discuss the legislative priorities impacting the financial services industry.

MODERATOR



Kenneth E. Bentsen, Jr.

President and CEO

[SIFMA](#)
[Biography](#)

SPEAKER



Senator Pat Toomey (R-PA)

U.S. Senate
[Biography](#)

10:00AM – 10:15AM

Networking Break

10:15AM – 11:00AM

Prudential Agencies: Regulatory Priorities in 2018

Insight into the priorities and perspectives of the prudential agencies for the coming year.

PANELISTS



Jeremy Newell

Executive Managing Director,
Head of Regulatory Affairs and
General Counsel of the
Association

[The Clearing House](#)

[Biography](#)



Mark E. Van Der Weide

General Counsel
[Federal Reserve Board](#)

[Biography](#)

11:00AM – 12:00PM

Supervisory and Examination Issues and Updates

A panel of regulators and industry experts discuss the latest trends in the supervisory and examination context. Session topics include: the role and meaning of regulatory guidance; changes in the supervisory ratings process; perspectives on the treatment of attorney-client privilege in regulatory exams and investigations; changes in how MRAs/MRIAs are issued and resolved; a fresh look at “confidential supervisory information”; and more.

MODERATOR



Margaret E. Tahyar

Partner
[Davis Polk & Wardwell LLP](#)

[Biography](#)

PANELISTS



Greg Coleman

Deputy Comptroller for Large
Bank Supervision
[Office of the Comptroller of the
Currency \(OCC\)](#)

[Biography](#)

Michael S. Gibson

Director
[Federal Reserve Bank](#)

[Biography](#)

12:00PM – 1:15PM

Networking Lunch

1:15PM – 2:00PM

The Intersection of Politics and Prudential Policy

The Heads of the Washington Offices for Goldman Sachs and Morgan Stanley will discuss the policy of the financial services appointees for the Trump Administration. This session will also examine how these administration officials are working with Congress and the industry to set a new course for financial services regulation. Panelists will also offer perspectives on how prudential policy impacts the capital markets and what the 2018 election may mean for the industry.

MODERATOR



Carter K. McDowell

Managing Director, Associate
General Counsel
[SIFMA](#)

[Biography](#)

PANELISTS



Michael Paese

Global Co-Head of the Office of
Government Affairs
[Goldman Sachs](#)

[Biography](#)



Michael J. Stein

Global Head of Government
Relations
[Morgan Stanley](#)

[Biography](#)

2:00PM – 3:00PM

Societal and Technological Changes: How the Industry and Regulators Need to Adapt

This session will examine how societal and technological changes affect the industry, and how prudential agencies may respond to these changes.

PANELISTS



Ben Alden

Vice President and General Counsel
Betterment

[Biography](#)

Adam Gilbert

Partner, Global Regulatory
Leader of the Financial Services
Advisory Practice
PwC

[Biography](#)

Keith Noreika

Partner
Simpson Thacher & Bartlett LLP

[Biography](#)

3:00PM – 3:15PM

Networking Break

3:15PM – 4:15PM

Assessing the Evolving Prudential Framework: Practical Considerations

Senior industry executives describe how the prudential framework affects business and other internal practices, and discuss the issues that are creating the greatest challenges for banks.

MODERATOR



Mitchell S. Eitel

Partner
Sullivan & Cromwell LLP

[Biography](#)

PANELISTS



Roger A. Blissett

Managing Director, Head of
Government Affairs for the
Americas

Mitsubishi UFG Financial Group,
Inc. (MUFG)

MUFG Americas

[Biography](#)



Kieran J. Fallon

Senior Deputy General Counsel
The PNC Financial Services
Group, Inc.

[Biography](#)



Andy Navarrete

Executive Vice President,
External Affairs

Capital One Financial
Corporation

[Biography](#)



Betty A. Whelchel

U.S. Head of Public Policy and
Regulatory Affairs

BNP Paribas

[Biography](#)

4:15PM – 4:20PM

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Closing Remarks

SPEAKERS



John Court

Managing Director and Deputy
General Counsel

[The Clearing House Association](#)

[Biography](#)



Carter McDowell

Managing Director and
Associate General Counsel

[SIFMA](#)

[Biography](#)

4:20PM – 6:00PM

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Networking Reception

Sponsored by [Davis Polk](#)