

SIFMA and The Wall Street Tax Educational Corporation present

Global Tax Reporting Symposium

The Latest on Reporting and Withholding

OCTOBER 16-17, 2018 | SIFMA CONFERENCE CENTER, NYC

PROGRAM

Tuesday
October 16, 2018

Wednesday
October 17, 2018

9:30AM – 6:30PM

Registration Desk Hours

9:30AM – 10:00AM

Hospitality Refreshments

Sponsored by: [Broadridge Financial Solutions, Inc.](#)

10:00AM – 10:15AM

Opening Remarks

10:15AM – 10:45AM

Keynote Address

10:45AM – 12:15PM

Chapter 3, 4, and 61 Hot Topics

The panel will bring together members from industry, consulting, and the IRS to discuss current withholding agent issues around documentation, withholding and reporting along with RO certifications and audit issues.

MODERATOR



Kevin V. Sullivan
Managing Director & Tax
Executive, Head of U.S.
Information Reporting
Advisory
[Bank of America](#)
[Biography](#)

PANELISTS



Chip K. Collins
Managing Director & Group
Head of QI Compliance and
FATCA
[UBS AG](#)
[Biography](#)



Tara Ferris
Principal, Financial Services
[EY](#)
[Biography](#)



Nancy J. Lee
Senior Technical Reviewer
[Internal Revenue Service \(IRS\)](#)
[Biography](#)



Kimberly A. Schoenbacher
Senior Manager, Foreign
Payments Program Office
Manager
[Internal Revenue Service \(IRS\)](#)
[Biography](#)

12:15PM – 1:15PM

Networking Lunch

Sponsored by [Bloomberg L.P.](#)

1:15PM – 2:30PM

Latest IRS Audit Initiatives

Tune into this panel for a discussion with IRS officials and industry practitioners on the latest IRS audit campaigns. Panelists will discuss recent audit initiatives as well as best practices for avoiding foot faults.

MODERATOR

Karan Mosley

Director of Global Information
Reporting and Tax Withholding
[Barclays](#)
[Biography](#)

PANELISTS



Cyrus Daftary

Tax Principal
[KPMG](#)
[Biography](#)



Laurie Hatten-Boyd

Principal, Washington
National Tax
[KPMG](#)
[Biography](#)

Vivian Herrera

Managing Director, Corporate
Tax
[BNY Mellon](#)
[Biography](#)

Ed Kromberg

[IRS](#)
[Biography](#)

2:35PM – 3:50PM

Breakout 1: Challenges in Foreign Withholding and Reclaims

MODERATOR



David Weisner

Head of the Products &
Practice, Global Tax Services
[Brown Brothers Harriman](#)
[Biography](#)

PANELIST



Danielle C. Clark

Principal, International Tax
Services
[Ernst & Young LLP](#)
[Biography](#)

Breakout 2: QI and QDD Rules, and Responsible Officer Requirements

MODERATOR

Will Fernandez

Executive Director, Senior Tax
Operations Adviser
[Morgan Stanley](#)
[Biography](#)

PANELISTS



Tara Ferris

Principal, Financial Services
[EY](#)
[Biography](#)

Iain Hebbard

[Credit Suisse](#)
[Biography](#)

Iain Hebbard

[Credit Suisse](#)

[Biography](#)



Kimberly A. Schoenbacher

Senior Manager, Foreign
Payments Program Office
Manager

[Internal Revenue Service \(IRS\)](#)

[Biography](#)

3:50PM – 4:05PM

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Networking Break

Sponsored by [Deloitte](#)

4:05PM – 5:20PM

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Industry & Technology – Tax Reporting Challenges & Solutions

Although all phases of cost basis are now in effect, many challenges still exist. Doing more tax reporting (think FATCA and CRS) with fewer resources. This panel will discuss these challenges and will offer approaches and automation opportunities to mitigate risk, reduce ongoing operating costs and explore tax related applications for artificial intelligence (AI).

MODERATOR



Orinne McCloskey

Senior Tax Director

[Fidelity Investments](#)

[Biography](#)

PANELISTS



Cyrus Daftary

Tax Principal

KPMG

[Biography](#)



Rasheed Khan

Vice President, Tax
Operations

[Goldman Sachs](#)

[Biography](#)



David Morris

Senior Tax Counsel

[Fidelity Investments](#)

[Biography](#)



Cameron Routh

Chief Commercial Officer, Tax
& Analytics

[Scivantage](#)

[Biography](#)



Neal Ruskin

Managing Partner, Product
Development

[Silver Management Group,
Inc.](#)

[Biography](#)



William Sheridan

Executive Director

[IHS Markit](#)

[Biography](#)

5:20PM – 6:30PM

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Networking Reception

Sponsored by: [PwC](#)

Tuesday
October 16, 2018

Wednesday
October 17, 2018

8:30AM – 3:00PM

Registration Desk Hours

8:30AM – 9:00AM

Breakfast

Sponsored by: [KPMG LLP](#)

9:00AM – 9:30AM

Keynote Address

9:30AM – 10:45AM

The Forces of FATCA & CRS Awaken: Updates, Challenges and Audit Compliance

With the maturity of FATCA and CRS regimes, withholding agents continue to face a variety of challenges to ensure ongoing compliance as these regimes mature. With FATCA, there are challenges of ensuring certification of internal controls and elements of the regime which will begin appearing during audits of US withholding agents. With CRS, there are the growing pains of developmental challenges as the regime continues to be implemented country-by country and the application of the mandatory disclosure rules. This panel will discuss the latest updates, compliance challenges and audit best practices.

MODERATOR



Dana Flynn
Director, Corporate Tax
Department
[BNP Paribas](#)
[Biography](#)

PANELISTS



Denise Hintzke
Managing Director, Financial
Services Tax
[Deloitte](#)
[Biography](#)



Adam Ludman
Executive Director, Corporate
Tax
[JPMorgan Chase & Co.](#)
[Biography](#)

John Staples
[Ernst & Young](#)
[Biography](#)

10:45AM – 11:00AM

Networking Break

Sponsored by: [IHS Markit](#)

11:00AM – 12:15PM

871(m): The Long and Winding Road

Although section 871(m) final and temporary regulations were released on September 15, 2015, followed by deferrals pursuant to Notices 2016-76 and 2017-42, there are still many challenges and unknowns that remain. The panel will provide the most recent regulatory developments, and key implications should there be no additional deferrals before January 1, 2019.

MODERATOR



Marty Rosen
Director - Customer
Information Reporting
Department
[ICBC Financial Services](#)
[Biography](#)

PANELISTS



Stevie Conlon
Senior Director & Tax Counsel
[Wolters Kluwer](#)
[Biography](#)



Julio M. Jimenez, Esq.
Owner
[Jimenez Tax Law Firm, PLLC](#)
[Biography](#)



Jay Klein
[PwC](#)
[Biography](#)

12:15PM – 1:15PM

Networking Lunch

1:15PM – 1:45PM

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Keynote Address

SPEAKER



Rohit Kumar

[PwC](#)

[Biography](#)

1:45PM – 3:00PM

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Tax Cuts and Jobs Act (TCJA) – Bringing in the New Year

The Tax Cuts and Jobs Act (TCJA) is the most significant overhaul of the US tax code in more than 30 years, effectively lowering business and individual tax rates and modernizing the US international tax rules. There are a variety of provisions in the TCJA that are expected to be particularly impactful to withholding and information reporting. Understanding these provisions and related challenges in 2018 and beyond will be discussed in detail by the panelists.

MODERATOR

Anne Petralito

[Credit Suisse Securities \(USA\) LLC](#)

[Biography](#)

PANELISTS



Denise Hintzke

Managing Director, Financial Services Tax

[Deloitte](#)

[Biography](#)



Erika Nijenhuis

Partner

[Cleary Gottlieb Steen & Hamilton LLP](#)

[Biography](#)

3:00PM – 3:00PM

Symposium Adjourns