

15TH ANNUAL GLOBAL CORPORATE ACTIONS FORUM

October 11, 2018 | Renaissance Chicago Downtown Hotel

Thursday
October 11, 2018

8:00AM – 6:00PM

Registration Desk Hours

8:00AM – 9:00AM

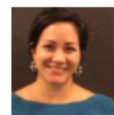
Networking Breakfast

9:00AM – 9:15AM

— President's Opening Remarks

Introduction and welcome to the 15th annual Global Corporate Actions Forum.

SPEAKER

**Carly Byrd**

Director, Global Tax Utility
[Deutsche Bank](#)
[Biography](#)

9:10AM – 10:00AM

— Regulatory Perspective Panel

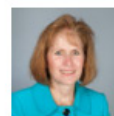
Panelists – representing FINRA, NYSE and NASDAQ – will review efforts and priorities within these organizations, which are helping to create efficiency and reduce risk. This session is a terrific opportunity for operations professionals to hear regulatory perspectives and to ask the panelists your questions.

MODERATOR

**Lawrence Conover**

Vice President,
Operations and Services
Group (OSG)
[Fidelity Investments](#)
[Biography](#)

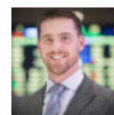
PANELISTS

**Patricia Casimates**

Vice President of Market
Operations
[FINRA](#)
[Biography](#)

**Tara Petta**

Director, Corporate
Actions Operations
[NASDAQ](#)
[Biography](#)

**Benjamin Sawyer**

Head of the Corporate
Actions and Market Watch
Groups
[New York Stock Exchange](#)

10:00AM – 10:45AM

DTCC Update

- Reorg Instructions/ISO 20022 Messaging
- Cash Claims Processing App
- Treasury Buy Backs CA Web

SPEAKER



Matthew Schill
Director, Asset Services
Product Management
[DTCC](#)
[Biography](#)

10:45AM – 11:00AM

Networking Break

Sponsored by [FIS](#)

11:00AM – 12:30PM

Industry Challenges and Best Practices – Breakout Sessions

This session will feature in-depth breakouts on:

- Dividends,
- Issuer Engagement, and
- Reorganization / Corporate Actions

SPEAKERS



Silvio (Joe) Conte
Manager, Corporate
Actions Product
[Equinti](#)
[Biography](#)



Larry Hammond, Jr.
Vice President, Corporate
& Investment Bank
[JPMorgan Chase & Co.](#)
[Biography](#)



Cynthia Rainis-Tirino
Vice President
[Deutsche Bank](#)
[Biography](#)



Neda Sheridan
U.S. Regional Manager –
Corporate Actions
[Computershare Inc.](#)
[Biography](#)



Christopher Thiebaut
Vice President, Corporate
Actions
[BlackRock](#)
[Biography](#)

12:30PM – 1:30PM

Networking Lunch

Sponsored by [FII-FTS](#)

12:30PM – 1:30PM

Networking Lunch

Sponsored by [FII-FTS](#)

1:30PM – 2:15PM

The Fork in the Policy Road: The 2018 Elections and the 116th Congress

At a time of political polarization of historic proportions, both the House and the Senate are (again) up for grabs. And with control of the committee gavels comes with it the power to shape the policy and oversight agendas for the next two years. With voters already casting early and absentee ballots across the country, Accenture's head of North American Government Relations, Robert Hoffman, will highlight the handful of races that will determine who leads the key committees, and also will preview the key issues that matter for the financial services industry when the 116th Congress convenes in January.

SPEAKER



Robert Hoffman

Managing Director,
Government Relations
(North America)

[Accenture](#)

[Biography](#)

2:15PM – 3:00PM

Transfer Agents / Administrators, Brokers, and DTCC – Life Cycle

Discussion topics include:

- Deadline extensions
- Odd Lot Preference
- Bankruptcy events (Seadrill)
- Worthless Securities

MODERATOR



James Monahan

Executive Director and
Regional Head of North
America Position Services

[Morgan Stanley](#)

[Biography](#)

PANELISTS



Christopher Scotto

Director of Product
Management

[Depository Trust &
Clearing Corporation](#)

[Biography](#)



Neda Sheridan

U.S. Regional Manager –
Corporate Actions

[Computershare Inc.](#)

[Biography](#)

3:00PM – 3:15PM

Networking Break

3:15PM – 4:00PM

Global Tax Regulations

SPEAKERS



Gregory Lewis

Director, Depositary, CSD
& Issuer Services

[GlobeTax](#)

[Biography](#)



Anna Vayser

Product Manager -
Withholding Tax Products

[Wolters Kluwer Financial
Services](#)

[Biography](#)

4:15PM – 4:45PM

Proxy and Corporate Action Shareholder Communications Update

Panelists will describe the latest corporate actions communications practices and challenges, and provide updates on proxy and shareholder communications.

MODERATOR



Leonard (Len) Belvedere

Executive Vice President,
Business Strategies &
Sales

[Financial Information
Incorporated \(FII\) /
Financial Technologies
Solutions \(FTS\)](#)

[Biography](#)

PANELISTS



Lawrence Conover

Vice President,
Operations and Services
Group (OSG)

[Fidelity Investments](#)

[Biography](#)



Charles (Chip) Pasfield

Vice President, Client
Services

[Broadridge Financial
Solutions](#)

[Biography](#)

Steven Watts

Executive Director,
Corporate Actions

[Morgan Stanley](#)

[Biography](#)

4:45PM – 5:15PM

—

State of Class Actions

SPEAKERS



James Tharin

Founder and Chief
Executive Officer

[Chicago Clearing
Corporation](#)

[Biography](#)

Jill Whitney

Vice President, Client
Services

[Broadridge Financial
Solutions, Inc.](#)

[Biography](#)

5:15PM – 5:20PM

—

Closing Remarks

SPEAKER



Carly Byrd

Director, Global Tax Utility

[Deutsche Bank](#)

[Biography](#)

5:20PM – 6:30PM

—

Networking Reception

Co-Sponsored by [Chicago Clearing Corporation](#) and [GlobeTax](#)