

## EXERCISE EVALUATION GUIDE

<p><i>Exercise Name:</i> Pandemic Accord, Phase II  <i>Exercise Date:</i> November 13 and 20, 2014</p>	<p><i>Organization/Jurisdiction:</i>            Securities Industry Financial Markets Association (SIFMA), New York City and New Jersey Federal Executive Boards (FEBs), and the U.S. Department of Homeland Security, Federal Emergency Management Agency Region II (FEMA Region II)</p>	<p><i>Venue:</i>            Multiple venues</p>
<b>Response</b>		
<p><i>Exercise Objective:</i> Substantiate mechanisms to effectively plan for, implement, and manage social distancing and other pandemic influenza protective measures among employees, stakeholders, and/or customers.</p>		
<p><i>Core Capabilities:</i> <b>Public Health and Medical Services</b>            Provide lifesaving medical treatment via emergency medical services and related operations and avoid additional disease and injury by providing targeted public health and medical support and products to all people in need within the affected area.</p>		
<p><b>Capability Target 1: Plan for and implement non-medical protective measures for employees, stakeholders, and/or customers.</b></p> <ol style="list-style-type: none"> <li>1. <i>Critical Task:</i> Identify strategies, such as social distancing, that would be considered to reduce/manage risk to your workforce, stakeholders, and customers.</li> <li>2. <i>Critical Task:</i> Identify potential key policy changes and resource requirements associated with each strategy.</li> <li>3. <i>Critical Task:</i> Describe how your agency/organization would prioritize the implementation of various strategies as the pandemic evolves (e.g., in what order are you likely to implement the various strategies?).</li> <li>4. <i>Critical Task:</i> Describe the process for developing guidelines to protect your workforce and their families.</li> <li>5. <i>Critical Task:</i> Describe how your agency would implement social distancing or other mitigation strategies.</li> <li>6. <i>Critical Task:</i> Define the process by which your agency/organization would periodically review and update guidance related to social distancing and other mitigation strategies.</li> </ol>		

Capability Target	Critical Tasks	Observation Notes and Explanation of Rating	Target Rating
Plan for and implement non-medical protective measures for employees, stakeholders, and/or customers.	<ul style="list-style-type: none"> <li>Identify strategies, such as social distancing, that would be considered to reduce/manage risk to your workforce, stakeholders, and customers.</li> </ul>		
	<ul style="list-style-type: none"> <li>Identify potential key policy changes and resource requirements associated with each strategy.</li> </ul>		
	<ul style="list-style-type: none"> <li>Describe how your agency/organization would prioritize the implementation of various strategies as the pandemic evolves.</li> </ul>		
	<ul style="list-style-type: none"> <li>Describe the process for developing guidelines to protect your workforce and their families.</li> </ul>		

	<ul style="list-style-type: none"> <li>Describe how your agency would implement social distancing or other mitigation strategies.</li> </ul>		
	<ul style="list-style-type: none"> <li>Define the process by which your agency/organization would periodically review and update guidance related to social distancing and other mitigation strategies.</li> </ul>		
<b>Final Core Capability Rating</b>			

Evaluator Name \_\_\_\_\_

Evaluator E-mail \_\_\_\_\_

Phone \_\_\_\_\_

<b>Ratings Key</b>
P – Performed without Challenges
S – Performed with Some Challenges
M – Performed with Major Challenges
U – Unable to be Performed

## Ratings Definitions

<b>Performed without Challenges (P)</b>	The targets and critical tasks associated with the core capability were completed in a manner that achieved the objective(s) and did not negatively impact the performance of other activities. Performance of this activity did not contribute to additional health and/or safety risks for the public or for emergency workers, and it was conducted in accordance with applicable plans, policies, procedures, regulations, and laws.
<b>Performed with Some Challenges (S)</b>	The targets and critical tasks associated with the core capability were completed in a manner that achieved the objective(s) and did not negatively impact the performance of other activities. Performance of this activity did not contribute to additional health and/or safety risks for the public or for emergency workers, and it was conducted in accordance with applicable plans, policies, procedures, regulations, and laws. However, opportunities to enhance effectiveness and/or efficiency were identified.
<b>Performed with Major Challenges (M)</b>	The targets and critical tasks associated with the core capability were completed in a manner that achieved the objective(s), but some or all of the following were observed: demonstrated performance had a negative impact on the performance of other activities; contributed to additional health and/or safety risks for the public or for emergency workers; and/or was not conducted in accordance with applicable plans, policies, procedures, regulations, and laws.
<b>Unable to be Performed (U)</b>	The targets and critical tasks associated with the core capability were not performed in a manner that achieved the objective(s).