



Invested in America

**THE ASSET MANAGERS FORUM
MEMBERSHIP APPLICATION**

Firm Name _____
Address _____
City _____ State _____ Zip _____
Web Site Address _____

Principal Contact:

Name _____ Title _____
Department and Floor _____
Phone _____ Fax _____
E-mail Address _____

Type of Organization (please check appropriate space):

_____ Holding Company
_____ Corporation
_____ Partnership
_____ Sole Proprietorship
_____ (other) _____

Classification of Organization (please check appropriate space(s)):

_____ Asset Management Organization
_____ Fund Manager
_____ Custodian Bank
_____ Registered with the SEC
_____ Participant in the following markets:
 _____ Corporate Bonds; _____ Mortgage Securities;
 _____ U.S. Government Bonds and Notes;
 _____ Equity Securities; _____ Municipal Securities;
 _____ Money Market Instruments;
 _____ (other markets) _____

Year in Which Organized: _____

Related Organizations:

Please list parent company, if any: _____

Other related companies, if any: _____

Profile of the Organization:

Number of Professional Employees: _____

Number of Offices: Domestic _____ Overseas _____

Total Assets Under Management (if publicly reported): \$ _____

Membership or Associate Membership in Other Organizations (please check appropriate space(s)):

_____ ISITC
 _____ Emerging Markets Traders Association
 _____ Futures Industry Association
 _____ (Other) _____

Please specify any regulatory or self-regulatory organizations which require your firm to routinely file reports or other information: _____

Approval of Application/Membership Fees:

Membership in The Asset Managers Forum is open to all asset management firms. Applications for membership in the Forum are subject to the approval of the Steering Committee of the Forum. Annual membership fees are determined by the Forum. The annual membership fee for calendar year 2011 is \$15,000

Membership Agreement:

I/We hereby apply for membership in The Asset Managers Forum and certify that the statements made herein are true and complete. We further acknowledge that the purpose of the Forum is limited to pursuing the development of mutual industry-wide securities processing or operations projects and enhancements in connection with operations projects and other related activities that are of mutual interest to asset managers and broker-dealers.

By _____ Title _____

Signature _____ Date _____

Any and all information relating to the applicant contained in this Application shall be received and held in strict confidence by The Asset Managers Forum and The Securities Industry and Financial Markets Association.

Applicants are requested not to submit any payments of membership fees with this Application. An invoice in connection with the membership fee will be sent separately upon the approval of a firm as a member of The Asset Managers Forum.

