



**THE ASSET MANAGERS FORUM  
ASSOCIATE MEMBERSHIP APPLICATION**

Firm Name \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Web Site Address \_\_\_\_\_

Principal Contact:  
Name \_\_\_\_\_ Title \_\_\_\_\_  
Department and Floor \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_  
E-mail Address \_\_\_\_\_

Type of Organization (please check appropriate space):

- Holding Company
- Corporation
- Partnership
- Sole Proprietorship
- (other) \_\_\_\_\_

Classification of Organization (please check appropriate space(s)):

Custodian Bank  Vendor / Service Provider  Utility  Other

Organization participates in the following markets (please check all that apply):

- Corporate Bonds;  Mortgage Securities;
- U.S. Government Bonds and Notes;  Equity Securities;
- Municipal Securities;  Money Market Instruments;
- OTC Derivatives (please list types) \_\_\_\_\_
- \_\_\_\_\_
- (other markets, please list) \_\_\_\_\_

Year in Which Organized: \_\_\_\_\_

Related Organizations:

Please list parent company, if any: \_\_\_\_\_

Other related companies, if any: \_\_\_\_\_

Profile of the Organization:

Number of Professional Employees: \_\_\_\_\_

Number of Offices: Domestic \_\_\_\_\_ Overseas \_\_\_\_\_

Please list membership or associate membership in other organizations:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Please specify any regulatory or self-regulatory organizations which require your firm to routinely file reports or other information: \_\_\_\_\_

**Approval of Application/Membership Fees:**

Applications for associate membership in the Forum are subject to the approval of the Steering Committee of the AMF. Annual membership fees are determined by the Forum. The associate membership fee for fiscal year 2011 is \$20,000.

Please provide The Asset Managers Forum with any available informational material describing the business activities of your organization.

**Associate Membership Agreement:**

I/We hereby apply for associate membership in The Asset Managers Forum and certify that the statements made herein are true and complete. We further acknowledge that the purpose of the Forum is limited to pursuing the development of mutual industry-wide securities processing or operations projects and enhancements. We further agree to comply with the Operating Procedures of the Forum and to advance its purposes; and to pay such initial dues or assessments that are agreed to by the applicant.

By \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Any and all information relating to the applicant contained in this Application shall be received and held in strict confidence by The Asset Managers Forum and the Securities Industry and Financial Markets Association.

Applicants are requested not to submit any payments of associate membership fees with this Application. An invoice in connection with the associate membership fee will be sent separately upon the approval of a firm as an associate member of The Asset Managers Forum.